

03-14-2002

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

<b>1. Name(s) of conveying party/parties:</b> Joshua S. Auerbach Simon Antony Holdsworth Neil George Young <div style="text-align: right; margin-top: 10px;"><i>2-27-02</i></div>	<b>2. Name and address of receiving party</b> International Business Machines Corporation New Orchard Road Armonk, New York 10504						
Additional Names Attached?      Yes <input checked="" type="checkbox"/> No							
<b>3. Nature of Conveyance</b> <table style="width: 100%;"> <tr> <td><input checked="" type="checkbox"/> Assignment</td> <td><input type="checkbox"/> Merger</td> </tr> <tr> <td><input type="checkbox"/> Security Agreement</td> <td><input type="checkbox"/> Change of Name</td> </tr> <tr> <td colspan="2"><input type="checkbox"/> Other:</td> </tr> </table>		<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	<input type="checkbox"/> Other:	
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger						
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name						
<input type="checkbox"/> Other:							
Execution Date(s):    02/04/2002; 01/25/2002; 01/28/2002							
Additional Names Attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No							
<b>4. Application number(s) or patent number(s):</b>							

If this document is being filed with a new application, the execution date of the application is: 12/14/2001

A. Patent Application No(s)

B. Patent No(s)

10/017,728Additional Numbers Attached?      Yes    ☒    No

<b>5. Name and Address of party to whom correspondence concerning the document should be sent:</b> Name:      A. Bruce Clay Internal Address: IBM Corporation, T81/503 Street Address: PO Box 12195 City/State/Zip:    Res. Tri. Park, NC 27709	<table style="width: 100%;"> <tr> <td style="width: 80%;"><b>6. Total Number of applications and patents involved:</b></td> <td style="width: 20%; text-align: center;">1</td> </tr> <tr> <td><b>7. Total fee (37 CFR 3.41) ....\$</b></td> <td style="text-align: center;">40</td> </tr> <tr> <td colspan="2"> <input type="checkbox"/> Enclosed  <input checked="" type="checkbox"/> Charge to deposit account         </td> </tr> <tr> <td colspan="2"> <b>8. Deposit account Number: 09-0461</b> </td> </tr> </table>	<b>6. Total Number of applications and patents involved:</b>	1	<b>7. Total fee (37 CFR 3.41) ....\$</b>	40	<input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Charge to deposit account		<b>8. Deposit account Number: 09-0461</b>	
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A. Bruce Clay Name of Person Signing	 Signature	4/1/02 Date
Total Number of pages including cover sheet, attachments and document <input checked="" type="checkbox"/> 3		
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents & Trademarks; Box Assignments; Washington, D.C. 20231		

03/13/2002 GTOW11 00000098 090461 10017728

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PATENT  
REEL: 012661 FRAME: 0103

**ASSIGNMENT**

Whereas, we,

	(1)	<b>Joshua S Auerbach</b> County of	of City of and State of	<b>Ridgefield</b> <b>Connecticut</b>
and	(2)	<b>Simon Antony Holdsworth</b> County of <b>Hampshire</b>	of City of and State of	<b>Andover</b> <b>United Kingdom</b>
and	(3)	<b>Neil George Young</b> County of <b>Hampshire</b>	of City of and State of	<b>Southampton</b> <b>United Kingdom</b>

have invented certain improvements in

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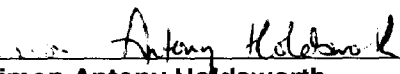
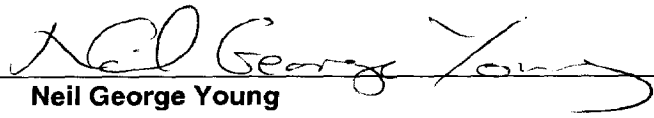
and executed, respectively, a United States patent application therefor on

(1) *02/04/2002* and (2) *01/25/2002*  
and (3) *01/28/2002*

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED

- ✓ (1)   
**Joshua S Auerbach**  
✓ at Hawthorne, NY, USA on February 4th, 2002  
(Town where signed) (Date)
- ✗ (2)   
**Simon Antony Holdsworth**  
✗ at WINCHESTER, ENGLAND on 25th JANUARY 2002 ✓  
(Town where signed) (Date)
- ✗ (3)   
**Neil George Young**  
✗ at WINCHESTER, ENGLAND on 28th JANUARY 2002 ✓  
(Town where signed) (Date)