

FORM PTO-1595 (Modified)
(Rev. 03-01)
OMB No. 0651-0027 (exp. 5/31/2002)
POB/REV03

RECORDAT

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

2002 FEB 26 AM 9:17

03-14-2002

PAT

Tab settings → → →

FINANCE SECTION



To the Honorable Commissioner of Patents and Trade

al documents or copy thereof.

1. Name of conveying party(ies):

Douglas E. Crafts
David J. Chapman
Steven M. Swain

2-26-02

102011567

2. Name and address of receiving party(ies):

Name: Scion Photonics, Inc.

Internal Address:

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Street Address: 345 Los Coches Street

City: Milpitas

State: CA

ZIP: 95035

Execution Date: 1/30/02; 1/28/02; 1/28/02

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/010,931

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin P. Radigan, Esq.

Internal Address: Heslin Rothenberg Farley & Mesiti P.C.

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

08-1935

(Attach duplicate copy of this page if paying by deposit account)

03/13/2002 BY KME 00000099 10010931

01 FC:581

40.00 DP

City: Albany

State: NY

ZIP: 12203

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin P. Radigan, Esq., Reg. No. 31,789

Name of Person Signing

Signature

4

Date

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

WHEREAS, We, **Douglas E. Crafts** a citizen of the United States, residing at 6585 Ingleside Court, San Jose, California 95120; **David J. Chapman**, a citizen of the United States of America, residing at 2267 Booksin Avenue, San Jose, California 95125; and **Steven M. Swain**, a citizen of the United States of America, residing at 6865 Casual Court, San Jose, California 95120, have invented certain new and useful improvements in **HIGH THERMAL EFFICIENCY, SMALL FORM-FACTOR PACKAGES INCLUDING THERMALLY INSULATIVE CAVITIES, AND TRANSFER MOLDED VARIANTS** which are the subject of United States Patent Application Serial No. 10/010,931, filed November 13, 2001; and

WHEREAS, **Scion Photonics, Inc.**, a corporation organized and existing under the laws of the State of Delaware, having offices at 345 Los Coches Street, Milpitas, California 95035, is desirous of obtaining the entire right, title and interest in, to and under said improvements and said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, said **Douglas E. Crafts, David J. Chapman, Steven M. Swain**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said **Scion Photonics, Inc.**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said **Scion Photonics, Inc.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to said **Scion Photonics, Inc.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said **Scion Photonics, Inc.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 30 day of January, 2002.



Douglas E. Crafts

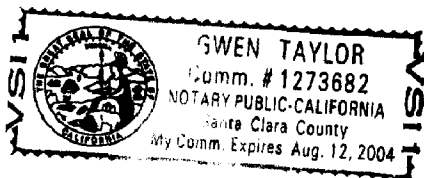
STATE OF CALIFORNIA)
) SS.
COUNTY OF Santa Clara)

On 1/30/02, before me, Gwen Taylor, Notary Public personally appeared Douglas E. Crafts, personally known to me to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.

Seal


Notary Public



IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 28th day of

January, 2002.

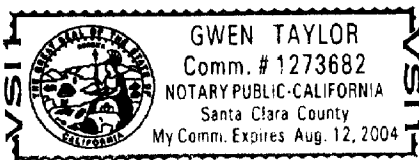
David J. Chapman
David J. Chapman

STATE OF CALIFORNIA)
) SS.
COUNTY OF Santa Clara

On 1/28/02, before me, Gwen Taylor, Notary Public personally appeared David J. Chapman, personally known to me to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~ they executed the same in his/~~her~~ their authorized capacity(~~ies~~), and that by his/~~her~~ their signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.

Seal



Gwen Taylor
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 28 day of

JANUARY, 2002.

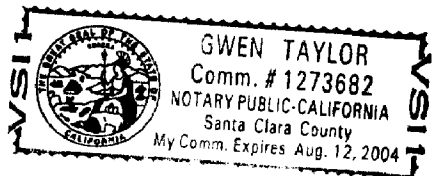
Steven M. Swain
Steven M. Swain

STATE OF CALIFORNIA)
) SS.
COUNTY OF Santa Clara

On 1/28/02, before me, Gwen Taylor, Notary Public personally appeared Steven M. Swain, personally known to me to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she~~ they executed the same in his/~~her~~ their authorized capacity(~~ies~~), and that by his/~~her~~ their signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of which the person(~~s~~) acted, executed the instrument.

WITNESS my hand and official seal.

Seal



Gwen Taylor
Notary Public