

03-15-2002



102017817

Docket No.: FIS9-2001-0298

T U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

H. Bernhard Pogge
Roy Yu
Chandrika Prasad
Chandrasekhar Narayan

3.5 02

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 12-18-01, 12-18-01, 02-15-02, 12-19-01

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address: _____

Street Address: New Orchard Road

City: Armonk State: NY ZIP: 10504

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

10/026103

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application(s) IN AN ENVELOPE
DRESSED TO: COMMISSIONER OF PATENTS AND TRADE
MARKS, WASHINGTON, D.C. 20231, ON

B. Patent No.(s)

DATE OF DEPOSIT

NAME OF PERSON MAKING DEPOSIT

SIGNATURE AND DATE

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jay H. Anderson

Internal Address: Zip 482

International Business Machines Corporation

03/14/2002 AHMED1 00000167 090458 10026103

01 FC:581 40.00 CH

Street Address: 2070 Route 52

City: Hopewell Junction State: NY ZIP: 12533

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0458 FI-063

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jay H. Anderson, Reg. No. 38,371

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

Whereas, we

Inventor and City	(1) H. Bernhard Pogge County of Dutchess	City of Hopewell Junction and State of New York
and	(2) Roy Yu County of Dutchess	City of Poughkeepsie and State of New York
and	(3) Chandrika Prasad County of Dutchess	City of Wappingers Falls and State of New York
and	(4) Chandrasekhar Narayan County of Dutchess	City of Hopewell Junction and State of New York

have invented certain improvements in

Title

CHIP AND WAFER INTEGRATION PROCESS USING VERTICAL CONNECTIONS

Dates That
Inventors
Signed the
Declaration

and have executed, respectively, a United States patent application therefor on

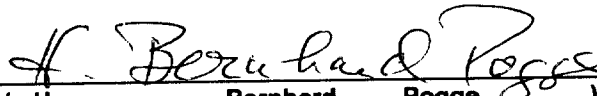
(1) 12-18-	2001, and (2) 12-18	2001, and
(3) 2-15-2002	2001 and (4) 12-19-01	2001.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

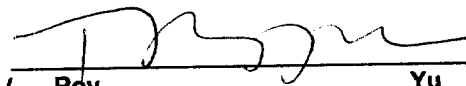
City
Date

(1) Signed at East Fishkill, NY
on 12-18-01

 signature
(H. Bernhard Pogge) inventor
First Name Middle Initial Last Name

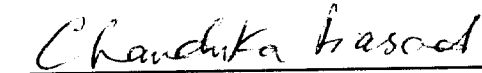
City
Date

(2) Signed at East Fishkill, NY
on 12-18-2001

 signature
(Roy Yu) inventor
First Name Middle Initial Last Name

City
Date

(3) Signed at Fishkill, NY
on 2-15-2002

 signature
(Chandrika Prasad) inventor
First Name Middle Initial Last Name

City
Date

(4) Signed at *E. Fishkill, NY*
on *12-19-01*

Chandrasekhara Narayan
(Chandrasekhar Narayan) signature
First Name Middle Initial Last Name) inventor