03-15-2002 Docket No.: FIS920010243US1 U.S. DEPARTMENT OF COMMERCE FORM PTO-1595 (Modified) (Rev. 03-01) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03 102017355 Tab settings → → To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Tze-Chiang Chen Name: International Business Machines Corporation Liang-Kai Han Address: New Orchard Road ☐ Yes 🏻 No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Merger Assignment _____ State/Prov.: NY City: Armonk ☐ Security Agreement ☐ Change of Name _____ZIP: 10504 Country: USA_ ☐ Other 02/07/02, 02/18/02 ☐ Yes **⊠** No Execution Date: Additional name(s) & address(es) 4. Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution date of the application is: 02/07/02, 02/18/02. I HEREBY CERTIFY THAT THIS CORRESPONDENCE B. Patent No.(s) IS BEING DEPOSITED WITH THE UNITED STATES 19082648 POSTAL SERVICE AS EXPRESS MAIL IN AN ENVELOPE ADDRESSED TO: UNITED STATES PATENT & TRADEMARK OFFICE, PO BOX 2327, ARLINGTON, VA 22202 ON 224cm Date of Deposit: 2 \$ 02 Express Mail No: ET926726302VS KARENCING-MARS Moren 2/22/02 □ Yes ⊠ No Name of Person Making Deposit 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Sean F. Sullivan, Esq. 7. Total fee (37 CFR 3.41):....\$ 40.00 38,328 Registration No. ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Cantor Colburn LLP Authorized to be charged to deposit account 55 Griffin Road South 3/15/2002 GTON11 00000090 090458 10082648 8. Deposit account number: 1 FC:581 40.00 CH 09-0458 (FI-070) City: Bloomfield State/Prov.: CT ZIP: 06002 (Attach duplicate copy of this page if paying by deposit account) Country: USA DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoting information is true and correct and any attached copy is a true copy of the original document.

> Total number of pages including cover sheet, attachments, and Mail documents to be recorded with required cover sheet information to:

Ira David Blecker, Reg. # 29,894

Name of Person Signing

PATENT

ASSIGNMENT

Whereas, We

Inventor (1) Tze-Chiang Chen of 3170 Cedar Road of Yorktown Heights County of Westchester and State of NY 10598
Inventor (2) Liang-Kai Han of 9H Millholland Drive City of Fishkill County of Dutchess and State of NY 12524
have invented certain improvements in
DEEP TRENCH ISOLATION OF EMBEDDED DRAM FOR IMPROVED LATCH-UP IMMUNITY
and executed, respectively, a United States patent application therefore on
(1) <u>Feb. 7, 2002</u> (2)
Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor; Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.
on Tal. 7, mi
(2) at

PATENT REEL: 012663 FRAME: 0206

Docket No.FIS920010243051

ASSIGNMENT

Whereas, We

Inventor (1) Tze-Chiang Chen of 3170 Cedar Road of Yorktown Heights
County of Westchester and State of NY 10598

Inventor (2) Liang-Kai Han of 9H Millholland Drive City of Fishkill
County of Dutchess and State of NY 12524

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DEEP TRENCH ISOLATION OF EMBEDDED DRAM FOR IMPROVED LATCH-UP IMMUNITY and executed, respectively, a United States patent application therefore on (1) Feb. 7, 2002 (2) Feb. 18 >002

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(2) at Hsinchu, Taiwan diang Kan Han

REEL: 012663 FRAME: 0207