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FINANCE SECTION

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Commissioner of Patents and Trademarks
Washington, D.C. 20231

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hansjörg ROSI, Christian POPP and Klaus STEINHAUSER

C 2-28-02

2. Name and address of receiving party(ies):

Name: **ZF Friedrichshafen AG**

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address:

**D-88038 Friedrichshafen
FED REP GERMANY**

Execution Date: September 25, 2001, September 25, 2001 and
September 25, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **10/001,705** filed on November 1, 2001

B. Patent No(s). issued on _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer No. 020210
Davis & Bujold, P.L.L.C.
Fourth Floor
500 North Commercial Street
Manchester NH 03101-1151
Telephone 603-624-9220
Facsimile 603-624-9229
E-mail: patent@davisandbujold.com

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41).....\$ **40.00**

- ☒ is enclosed.
☐ was previously paid.
☒ Please charge any fee deficiency or credit any overpayment to our Deposit Account listed below.

Attorney Docket: **ZAHFRI P387US**

03/14/2002 LUELLER 00000044 10001705

01 FC:581

40.00 DP

8. Deposit account number:

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Michael J. Bujold
Name of Attorney of Record

Michael J. Bujold
Signature

February 11, 2002
Date

Attorney Registration No. 32,018

Total number of pages including cover sheet, attachments and document: **[2]**

Box Assignments
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Case U.S. PTO
10/001705
11/01/01

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying parties:

**Hansjörg ROSI, Christian POPP and Klaus
STEINHAUSER**

11-01-01

2. Name and address of receiving party:

Name: **ZF Friedrichshafen AG**

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Sept. 25, 2001, Sept. 25, 2001 & Sept. 25, 2001

Street Address:

**D-88068 Friedrichshafen
FED REP GERMANY**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the appln is: Sept. 25, 2001, Sept. 25, 2001 & Sept. 25, 2001

A. Patent Application No(s) filed on

B. Patent No(s) issued on

12/07/2001 VTRUONG1 00000080 10001705

01 FC:581

40.00 OP

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

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Michael J. Bujold
Name of Attorney of Record

Michael J. Bujold
Signature

November 1, 2001
Date

Attorney Registration No. 32,018

Total number of pages including cover sheet, attachments and document: [2]

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS: **Hansjörg Rosi, Schussenstr. 14, 88074 Meckenbeuren, Federal Republic of Germany**
Name(s) and address(es) of inventor(s) **Christian Popp, Kümmertsweiler 8, 88079 Kressbronn, Federal Republic of Germany**
Klaus Steinhauser, Öschweg 8, 88079 Kressbronn, Federal Republic of Germany

(hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled:

Title of Invention **METHOD AND DEVICE FOR CONTROLLING SHIFTING ELEMENTS**

for which application for Letters Patent of the United States has been executed on even date herewith

AND WHEREAS: **ZF FRIEDRICHSHAFEN AG**

Name and address of assignee **D-88038 Friedrichshafen**

Federal Republic of Germany

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and the United States Letters Patent to be obtained herefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration, of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$ 1.00), the receipt, of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefore on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with the assignment and sale:

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent (acts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, have hereunto set hand and seal this
 day of 2001

**Full name(s)
 of inventor(s)**

01.09.25 *Hansjörg Rosi*
 Hansjörg Rosi

01.09.25 *Christian Popp*
 Christian Popp

01.09.25 *Klaus Steinhauser*
 Klaus Steinhauser

PATENT

RECORDED: 02/28/2002

REEL: 012663 FRAME: 0777