

03-15-2002



Docket No.: FUJ2-BP90

SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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102018666

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Kunio Otsuki and Yutaka Saijo**

3-5-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **October 19, 2001**

2. Name and address of receiving party(ies):

Name: **HORIBA, Ltd.**

Address: **2, Miyanohigashi-cho, Kisshoin, Minami-ku**

City: **Kyoto**

State/Prov.: \_\_\_\_\_

Country: **Japan**

ZIP: \_\_\_\_\_

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

**10/004,250**

**October 23, 2001**

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Joseph W. Price**

Registration No. **25,124**

Address: **PRICE and GESS**

**2100 S.E. Main Street, Suite 250**

03/14/2002 DBYRNE 00000292 10004250

01 FC:581

40.00 00

City: **Irvine**

State/Prov.: **CA**

Country: **USA**

ZIP: **92614**

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

**16-2462**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Joseph W. Price**

Name of Person Signing

Signature

**February 21, 2002**

Date

3

Total number of pages including cover sheet, attachments, and

PATENT

REEL: 012666 FRAME: 0964

ASSIGNMENT

WHEREAS, I/we, Kunio OTSUKI and Yutaka SAIJO

(hereinafter "Assignor(s)), citizen(s) of Japan, have invented certain new and useful improvements in a A SPECTRAL ELLIPSOMETER WITHOUT CHROMATIC ABERRATIONS for which I/we have executed application for Letters Patent of the United States, of even date herewith, and

WHEREAS, HORIBA, Ltd.

hereinafter "Assignee"), a corporation of Japan, having a principal place of business at 2, Miyanohigashi-cho, Kisshoin, Minami-ku, Kyoto, Japan

is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application,

NOW, THEREFORE, in consideration of the sum of One Hundred Yen (¥100) to me/us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I/we, the Assignor(s) have sold, assigned, transferred and set over, unto said Assignee, its successors, legal representatives and assigns, the entire right, title and interest in, to and under said improvements, and said application and all divisions, renewals and continuations thereof, and all Letters Patents of the United States, which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every country of the Union, and all applications for Letters Patents which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patents which may be granted for said improvements in any country or countries foreign to the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents, on applications as aforesaid, to issue all Letters Patents for said improvements to said Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I/WE, HEREBY covenant that I/we have full right to convey the entire interest herein assigned, and that I/we have not executed, and will not execute, any agreement in conflict herewith.

AND I/WE HEREBY further covenant and agree that I/we will communicate to said Assignee, its successors, legal representatives and assigns, any facts known to me/us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything

possible to aid said Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand this 19th  
day of October, 2001.

Kunio Otsuki  
Kunio OTSUKI

IN TESTIMONY WHEREOF, I hereunto set my hand this 19th  
day of October, 2001.

Yutaka Saijo  
Yutaka SAIJO