

03-15-2002

IN



AND TRADEMARK OFFICE

102018388

Attorney Docket No. DKT 00122A
(BWI-00060)



COVER SHEET FOR RECORDAL OF PATENT DOCUMENT

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

3.502

Pursuant to 37 C.F.R. Section 3.31, enclosed herewith is a document for recordal in this case. The following information is provided:

(1) **Name of Party Conveying the Interest:**

Mark F. Lozen
John W. Duddles
Frederick K. Miller
Kevin C. Goldstein

(2) **Name and Address of Party Receiving the Interest:**

BorgWarner Inc.
a Corporation of the State of Delaware
having a place of business at
3001 West Big Beaver Road – Suite 200
Troy, Michigan 48084

09/974069

(3) **Description of the Transaction to be Recorded:**

☒ [X] Assignment ☐ [] License
☐ [] Change of Name ☐ [] Other _____

(4) **Application(s) and/or Patent(s) Against Which Enclosure is to be Recorded:**

☒ [X] Serial Number 09/974,069; filed October 10, 2001.
☐ [] Application being filed concurrently herewith.
☐ [] Patent Number _____; issued _____.

(5) **Name and Address of the Party to Whom Correspondence Concerning the Request to Record Should be Mailed:**

Greg Dziegielewski, Esq.
BorgWarner Inc.
3001 West Big Beaver Road – Suite 200
P.O. Box 5060
Troy, Michigan 48007-5060

03/14/2002 AAHMED1 00000131 023182
01 FC:581 40.00 CH

(6) **Number of Applications and/or Patents Identified in the Cover Sheet and Total Recordal Fee:**

Number of Applications/Patents One

Total Recordal Fee Enclosed \$40.00

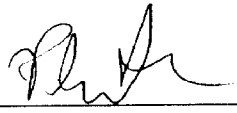
(7) **Date(s) the Document was Executed:** February 7, 2002
February 8, 2002

To the best of my knowledge and belief, the foregoing information is true and correct, and if the attached is not an original document, the undersigned verifies that it is a true copy of the original.

If, for some reason, applicant has not paid a sufficient fee, please charge Deposit Account No. 023182 (BorgWarner Inc.) for any further fees which may be due. A duplicate copy of this document is enclosed for this purpose.

Respectfully submitted,

WARN, BURGESS & HOFFMANN, P.C.
Attorneys for Applicant(s)

By: 
Philip R. Warn
Reg. No. 32775

P.O. Box 70098
Rochester Hills, MI 48307
(248) 364-4300

Dated: February 22, 2002

PRW:jmz

Attorney Docket No. DKT 00122A
(BWI-00060)

ASSIGNMENT BY JOINT INVENTORS

WHEREAS, the undersigned, hereinafter referred to as Assignors, have invented certain new and useful improvements in

**SHORT RUNNER VALVE PLATE AND
SHAFT BIASING LUBRICATION**

for which Assignors have made United States application for patent, under Serial No. 09/974,069, filed October 10, 2001, and

WHEREAS, BorgWarner Inc., a Delaware corporation, having a place of business at 3001 West Big Beaver Road - Suite 200, Troy, Michigan 48084, hereinafter referred to as Assignee, is desirous of acquiring an interest therein:

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, Assignors by these presents do sell, assign and transfer unto Assignee, its successors in interest, the full and exclusive right in the United States of America and all foreign countries, including the right to claim priority under the laws of the United States and the Paris Convention, to the said invention as described in the aforesaid application, said application for patent and all Letters Patent therefore to be held and enjoyed by Assignee to the full end of the term for which said Letters Patent are granted and any extensions thereof, as fully and entirely as the same would have been held by Assignors had this assignment and sale not been made; and Assignors hereby covenant and agree to execute all instruments or documents required or requested for the making and prosecution of applications for patent in the United States and in all foreign countries, for litigation regarding, or for the purpose of protecting title to the said invention, the United States application for patent, or Letters Patent therefor for the benefit of Assignee without further or other compensation than that above set forth; and Assignors hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee.

Mark F. Lozen

Name: Mark F. Lozen
(First Joint Inventor)

State of Michigan)

County of Macomb) ss.

On this 8 day of February, 2002, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Joan E. Henrion
Notary Public,

(seal)

Macomb County, State of Michigan

My Commission Expires:

ASSIGNMENT BY JOINT INVENTORS

John W. Duddles
Name: John W. Duddles
(Second Joint Inventor)

State of Michigan)
County of Macomb) ss.

On this 7th day of February, 2002, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

(seal) Joan E. Hennion
Notary Public
Macomb County, State of Michigan
My Commission Expires: October 1, 2003

Name: Frederick K. Miller
(Third Joint Inventor)

State of Michigan)
County of _____) ss.

On this _____ day of _____, 2002, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

(seal) _____
Notary Public,
_____ County, State of _____
My Commission Expires: _____

ASSIGNMENT BY JOINT INVENTORS

John W. Duddles

Name: John W. Duddles
(Second Joint Inventor)

State of Michigan)

County of Macomb) ss.

On this 7th day of February, 2002, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Joan E. Henrion

Notary Public

(seal)

Macomb County, State of Michigan

My Commission Expires:

JOAN E. HENRION
NOTARY PUBLIC STATE OF MICHIGAN
MACOMB COUNTY

October 1, 2003

Frederick K. Miller

Name: Frederick K. Miller
(Third Joint Inventor)

State of Mississippi

County of Yalobusha) ss.

On this 7th day of Feb, 2002, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

Judy N. Haw

Notary Public

(seal)

Yalobusha County, State of Mississippi

My Commission Expires:

8-7-03

ASSIGNMENT BY JOINT INVENTORS

Kevin C. Goldstein
Name: Kevin C. Goldstein
(Fourth Joint Inventor)

State of Michigan)
County of Macomb) ss.

On this 7th day of February, 2002, before me personally appeared the foregoing individual, who executed the foregoing instrument and who acknowledged to me that he executed the same of his own free will for the purpose therein set forth.

(seal) Joan E. Henrion
Notary Public,
Macomb County, State of Michigan

My Commission Expires: 