

03-18-2002



FR SHEET

DOCKET #: 135-01

To the Honorable Commissioner of Patent,

102019083

or copy thereof

1. Name of conveying party(ies):

Rodney W. Rickards
Geoffrey D. Smith
Kieran Kirk

2-27-02

2. Name and address of receiving party(ies)

Name: **The Australian National University**Address: **Acton, Australian Capital Territory 2601, Australia**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of name
- ☐ Other

Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: **12/21/01, 12/21/01, 12/21/01**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the Application is

A. Patent Application No.(s)

B. Patent No.(s)

09/980,506Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Greenlee, Winner & Sullivan, P.C.

Internal Address:

Street Address: 5370 Manhattan Circle, Ste. 201City: Boulder State: CO ZIP: 803036. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number 07-1969

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any Attached copy is a true copy of the original document.*Susan K. Doughty
Name of Person SigningSusan K. Doughty
SignatureFebruary 11, 2002
DateTotal number of pages including cover sheet, attachments, and document: 2

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ASSIGNMENT

WHEREAS, I/WE (1) **Rodney W RICKARDS**
an Australian citizen, residing at 3 Davis Street, Weetangera, Australian Capital Territory 2614, Australia
(2) **Geoffrey D SMITH**
an Australian citizen, residing at 64 Goodwin Street, Lyneham, Australian Capital Territory 2602, Australia
(3) **Kiaran KIRK**
an Australian citizen, residing at 18 Hardman Street, O'Connor, Australian Capital Territory 2602, Australia

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in **COMPOUNDS AND THERAPEUTIC METHODS** the specification of which:

- (a) ☐ was executed on even date herewith;
- (b) ☐ was filed on _____ as ☐ Application No 0/ _____
or ☐ Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or
- (c) ☒ was described and claimed in PCT International Application No **PCT/AU00/00458** filed on **12 May, 2000** and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on **6 February, 2001** (if any).

AND WHEREAS, **THE AUSTRALIAN NATIONAL UNIVERSITY** with its principal place of business at **Acton, Australian Capital Territory 2601, Australia**

(hereinafter referred to as Assignee) desires to acquire the entire rights, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFOR, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that is has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.


This 21st day of December, 2001


Signature of **Rodney W RICKARDS**

This 21st day of December, 2001


Signature of **Geoffrey D SMITH**

This 21st day of December, 2001


Signature of **Kiaran KIRK**