

RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard J. Paolini JR.

David D. Miller

Barrett Comiskey

2. Name and address of receiving party(ies)

Name: E Ink Corporation

Internal Address: _____

Street Address: 733 Concord Avenue

City: Cambridge State: MA Zip: 02138

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: 03/26/2002, 04/17/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/683,903

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: E Ink Corporation

Internal Address: _____

Street Address: 733 Concord Avenue

City: Cambridge State: MA Zip: 02138

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

501162

DO NOT USE THIS SPACE

9. Signature.

Anthony E. Pullen

Name of Person Signing



Signature

05/07/2002

Date

Total number of pages including cover sheet, attachments, and documents: 9

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, We, Richard J. Paolini JR., David D. Miller and Barrett Comiskey have invented one or more improvements in:

ELECTROPHORETIC MEDIUM AND PROCESS FOR THE PRODUCTION THEREOF

described in an application for Letters Patent of the United States Serial No. 09/683,903 filed in the United States Patent Office on February 28, 2002, and


WHEREAS, E Ink Corporation (hereinafter "E INK"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at 733 Concord Avenue, Cambridge, Massachusetts 02138 desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said E INK, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention, including but not limited to continuations, divisionals, reissues, and reexaminations of said application of such Letters Patent; said inventions, applications and Letters Patent to be held and enjoyed by said E INK for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in E INK to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of E INK's selection and the right to procure the grant of all Letters Patent to E INK for its own name as E INK of our entire right, title and interest therein.

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said E INK, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize E INK or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;


AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said E INK, its successors, assigns, and legal representatives.

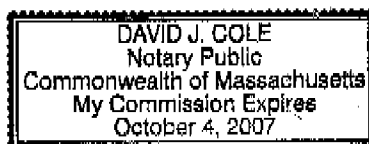
IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: 
Richard J. Paolini JR.

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Richard J. Paolini JR. this 26th day of March 2002.



Notary Public
My Commission Expires: October 4, 2007

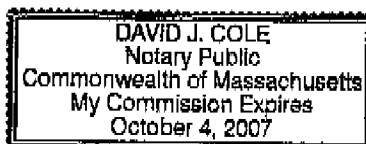
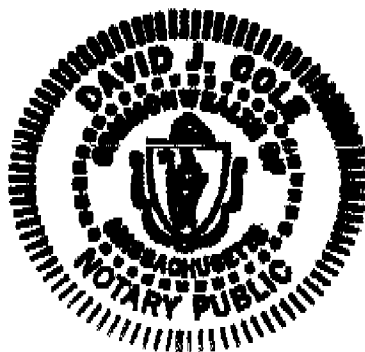


Inventor: 
David D. Miller

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named David D. Miller this 26th day of March 2002.


Notary Public
My Commission Expires: October 4, 2007



Inventor: _____
Barrett Comiskey

)
) ss

Subscribed and sworn to before me, by the above-named Barrett Comiskey this _____ day of
_____ 2002.

Notary Public

ASSIGNMENT

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AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said E INK, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize E INK or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said E INK, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: _____
Richard J. Paolini JR.

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Richard J. Paolini JR. this _____ day of March 2002.

Notary Public
My Commission Expires: October 4, 2007

Inventor: _____
David D. Miller

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named David D. Miller this _____ day of March 2002.

Notary Public
My Commission Expires: October 4, 2007

Certificate of Transmission under 37 CFR 1.8

I hereby certify that these papers (Certificate of Transmission under 37 CFR 1.8, Request under 37 CFR 1.8(b), Copy of Assignment Recordation, Copy of Assignment Fee Transmittal and Copy of Assignment) are being facsimile transmitted to the United States Patent and Trademark Office on facsimile number 703-306-5995 on May 7, 2002 (Total 9 pages, including this Certificate).



Signature

Anthony E. Pullen

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.



E · I N K

FAX FORM

To: Patent and Trademark Office **From:** Anthony E. Pullen
Fax: 703-306-5995 **Pages:** 9 (including cover sheet)
Phone: **Date:** 05/07/02
Re: Serial No. 09/683,903 **CC:**

☐ **Urgent** ☐ **For Review** ☐ **Please Comment** ☐ **Please Reply** ☐ **Please Recycle**

• **Comments:**

ATTORNEY CLIENT PRIVILEGED AND CONFIDENTIAL

Please record the attached Assignment in relation to the above application.

My facsimile number is 617-499-6200

Very truly yours,

Anthony E. Pullen

733 Concord Avenue
Cambridge, MA 02138

Ph: 617-499-6069
Fx: 617-499-6200

www.eink.com

RECORDED: 05/07/2002

PATENT
REEL: 012668 FRAME: 0110