



Ticket No.: 717-302

FORM PTO 1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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03-18-2002



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents 102019163 attached original documents or copy thereof.

## 1. Name of conveying party(ies):

1. Gabriel Chauviaux
2. Paul Dery
3. Yves Poirier

11.23.01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: 1. 5/29/00 2. 6/2/00 3. 5/30/00

## 2. Name and address of receiving party(ies):

Name: The Holmes Group, Inc.

Internal Address:

Street Address: 233 Fortune Boulevard

City: Milford

State: MA ZIP: 01757

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/553,190

B. Patent No.(s)

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TECHNOLOGY CENTER R3700

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles R. Hoffmann, Esq.

Internal Address: HOFFMANN &amp; BARON, LLP

Street Address: 6900 Jericho Turnpike

City: Syosset

State: NY ZIP: 11791

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 0.00

- ☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

08-2461

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Rod S. Turner

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

10

PATENT

REEL: 012669 FRAME: 0700

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08-28-2000

Docket No.: 717-302

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SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Y

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

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2. Paul Dery
3. Yves Poirier

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7. Total fee (37 CFR 3.41):.....\$ 40.00 €

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Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 10

PATENT

REEL: 011021 FRAME: 0272

PATENT

REEL: 012669 FRAME: 0701

For: ☒ U.S. and/or ☒ Foreign Rights  
 For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
 By: ☒ Inventors or ☐ Present Owners

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Gabriel Chauviaux</u>	<u>Paul Dery</u>	<u>Yves Poirier</u>
Name	Name	Name
<u>257, de Lublin</u>	<u>8333, Lajeunesse</u>	<u>6590, Lacordaire</u>
Address	Address	Address
<u>Laval, Quebec, Canada H7M</u>	<u>Montreal, Quebec, Canada H2P</u>	<u>Montreal, Quebec, Canada H1T</u>
<u>4J9</u>	<u>2E7</u>	<u>2K1</u>
<u>Canadian</u>	<u>Canadian</u>	<u>Canadian</u>
Nationality	Nationality	Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on \_\_\_\_\_ Reel  
 Frame

hereby sells, assigns and transfers to

ASSIGNEE:

The Holmes Group, Inc.  
 (Type or print name of ASSIGNEE)

233 Fortune Boulevard  
 Address

Milford, MA 01757

USA  
 Nationality

Assignment of Invention - Page 1 of 5

PATENT  
 REEL: 011021 FRAME: 0273

PATENT  
 REEL: 012669 FRAME: 0702

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

### HUMIDIFIER HAVING INDUCTION HEATING SYSTEM

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith  
(b) ☐ U.S. patent application executed on \_\_\_\_\_  
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.  
    ☐ Express Mail label No.: \_\_\_\_\_, mailed: \_\_\_\_\_  
    ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known  
(d) ☒ U.S. application Serial No. 09/553,190 filed on April 20, 2000.  
(e) ☐ International application No. PCT/\_\_\_\_\_/\_\_\_\_\_  
(f) ☐ U.S. Patent No. \_\_\_\_\_ issued  
    ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.  
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said

Assignment of Invention - Page 2 of 5

**PATENT**  
**REEL: 011021 FRAME: 0274**

**PATENT**  
**REEL: 012669 FRAME: 0703**

Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:

Date of signing

Gabriel Chauviaux

Date of signing

Paul Dery

MAY 30, 2000

Date of Signing

Yves Poirier

*Yves Poirier, ing.*

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

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Assignment of Invention - Page 5 of 5

PATENT  
REEL: 011021 FRAME: 0275

PATENT  
REEL: 012669 FRAME: 0704

For: ☒ U.S. and/or ☒ Foreign Rights  
 For: ☒ U.S. Application;  
☐ U.S. Provisional Application;  
☐ U.S. Patent; or  
☐ PCT Application  
 By: ☒ Inventors or ☐ Present Owners

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<u>Gabriel Chauviaux</u> Name	<u>Paul Dery</u> Name	<u>Yves Poirier</u> Name
<u>257, de Lublin</u> Address	<u>8333, Lajeunesse</u> Address	<u>6590, Lacordaire</u> Address
<u>Laval, Quebec, Canada H7M 4J9</u>	<u>Montreal, Quebec, Canada H2P 2E7</u>	<u>Montreal, Quebec, Canada H1T 2K1</u>
<u>Canadian</u> Nationality	<u>Canadian</u> Nationality	<u>Canadian</u> Nationality

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(Type or print name of ASSIGNEE)

233 Fortune Boulevard  
Address

Milford, MA 01757

USA  
Nationality

Assignment of Invention - Page 1 of 5

PATENT  
REEL: 011021 FRAME: 0276

PATENT  
REEL: 012669 FRAME: 0705

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_\_% ) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

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### HUMIDIFIER HAVING INDUCTION HEATING SYSTEM

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Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date:

\_\_\_\_\_  
Date of signing

\_\_\_\_\_  
Gabriel Chauviaux

2 juin 2000  
\_\_\_\_\_  
Date of signing

Paul Dery  
\_\_\_\_\_  
Paul Dery

\_\_\_\_\_  
Date of Signing

\_\_\_\_\_  
Yves Poirier

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

Assignment of Invention – Page 4 of 5

RECORDED: 08/04/2000

PATENT  
REEL: 011021 FRAME: 0279

PATENT  
REEL: 012669 FRAME: 0707



Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

above. WARNING: Date of signing must be the **same** as the date of execution of the application if item (a) was checked

Date: 5/29/2000  
Date of signing

Gabriel Chauviaux  
Gabriel Chauviaux

\_\_\_\_\_  
Date of signing

\_\_\_\_\_  
Paul Dery

\_\_\_\_\_  
Date of Signing

\_\_\_\_\_  
Yves Poirier

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Assignment of Invention – Page 3 of 5

**PATENT**  
**REEL: 011021 FRAME: 0278**

**RECORDED: 09/17/2001**

**PATENT**  
**REEL: 012669 FRAME: 0708**