

03-18-2002

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To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof:

| | |
|---|---|
| <p>1. Name of conveying party(ies):</p> <p>Michael S. DeFilippis</p> <p><i>03/04/02</i></p> | <p>2. Name and address of receiving party(ies):</p> <p>MTI MicroFuel Cells Inc. 431 New Karner Road Albany, New York 12205</p> |
| <p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____</p> <p>Execution Date(s):</p> <p>1st (sole) Inventor: March 1, 2002 2nd Inventor: 3rd Inventor: 4th Inventor:</p> | <p style="text-align: right;">JCS21 U.S. PTO 10/090336 03/04/02</p> |
| <p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is(are):</p> <p>1st (sole) Inventor: March 1, 2002 2nd Inventor: 3rd Inventor: 4th Inventor:</p> <p>A. Patent Application No.(s):</p> <p>B. Patent No.(s):</p> | |

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5. Name and address of party to whom correspondence concerning document should be mailed:

Joseph M. Noto
NIXON PEABODY LLP
Clinton Square
P.O. Box 31051
Rochester, New York 14603-1051

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41): **\$40.00**

☐ Previously paid (see original transmittal)

☒ A check in the amount of **\$40.00** is enclosed.

8. Deposit Account Number: 14-1138

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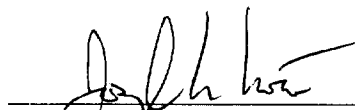
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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 3-1-02


Joseph M. Noto
Registration No. 32,163

[Total number of pages including cover sheet, attachments and document: **3**]

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ASSIGNMENT

WHEREAS, I, **Michael S. DeFilippis**, a United States citizen, residing at 10 Bunker Lane, Niskayuna, New York 12309, have invented certain new and useful improvements in **METHOD AND APPARATUS FOR WATER MANAGEMENT OF A FUEL CELL SYSTEM** for which I have executed an application for Letters Patent in the United States, filed herewith;

AND WHEREAS, **MTI MicroFuel Cells Inc.**, with its principal place of business at 431 New Karner Road, Albany, New York 12205, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, I, the said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **MTI MicroFuel Cells Inc.** its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **MTI MicroFuel Cells Inc.**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that I will communicate to the said **MTI MicroFuel Cells Inc.**, its successors, legal representatives and assigns, any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **MTI MicroFuel Cells Inc.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 1st day of March, 2002.


Michael S. DeFilippis

STATE OF New York
COUNTY OF Albany SS:

This 1st day of March, 2002, before me personally came the above-named **Michael S. DeFilippis**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

WILLIAM W DAILEY
Notary Public, State of New York
Registration #02DA6030420
Qualified in Albany County

My Commission Expires 09/14/2005
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Notary Public

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