

03-18-2002



102020174

Docket No.: 70047-33

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniel J. Kane

2.26.02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Southwest Sciences Incorporated

Internal Address:

Street Address: 1570 Pacheco Street

Suite E-11

City: Santa Fe

State: NM

ZIP: 87505

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 11/09/2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/815,812

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey D. Myers

Internal Address: Peacock, Myers & Adams, P.C.

Street Address: P.O. Box 26927

City: Albuquerque

State: NM

ZIP: 87125

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

13-4213

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jeffrey D. Myers, Reg. No. 35,964

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 012672 FRAME: 0682

03/13/2002 L MUELLER 10000106 0945812

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ASSIGNMENT OF INVENTION AND PATENT RIGHTS

Inventor: Daniel J. Kane
Serial No.: 09/815,812
Filing Date: March 23, 2001

For valuable consideration received or to be received, and hereby acknowledged, DANIEL J. KANE of Santa Fe, New Mexico, (hereinafter referred to as "Inventor"), hereby sells, assigns and transfers unto SOUTHWEST SCIENCES INCORPORATED, a New Mexico corporation of 1570 Pacheco Street, Suite E-11, Santa Fe, New Mexico 87505 its successors and assigns (hereinafter referred to as "Assignee"), the entire interest for the United States of America and all foreign countries, including all rights of priority under the international conventions and treaties, in and to a certain invention or improvement known as *Electro-Optic Electric Field Probe*, and described in an application filed in the United States Patent and Trademark Office on March 23, 2001, as Attorney Docket No. 70047-33, and given U.S. Patent Application Serial No. 09/815,812, which claims priority of U.S. provisional application Serial No. 60/001,909 filed March 24, 2000, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or on any provisional, utility, divisional, continuing, continuation-in-part, reissue, reexamination or other applications based in whole or in part thereon. And Inventor agrees hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper in Assignee's sole discretion to secure to Assignee the grant of Letters Patent in the United States and/or in other countries as Assignee may determine in its sole discretion, with specifications and claims in such form as shall be approved by Assignee's counsel, and to vest and confirm in Assignee the legal and equitable title and full use and benefit of all such patents.

Inventor further agrees with Assignee that this Assignment covers all processes, specifications, procedures, techniques and other knowledge and information now or hereafter known to Inventor which are not in the public domain and which relate to the above-mentioned invention or improvement, its manufacture, uses or embodiments, or other matters (the "Related Know-How"). Inventor agrees to disclose or provide all Related Know-How to Assignee when discovered or upon request.

Inventor further covenants that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal

equivalents in foreign countries as may be known and accessible to Inventor and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

Inventor's rights in the above-mentioned invention or improvement and Related Know-How and any patent application(s) and Letters Patent thereon have not been previously assigned, mortgaged or otherwise encumbered, and Inventor has full right, power and authority to assign all such rights to Assignee hereunder.

As used herein, the terms "Inventor" and "Assignee" shall include such parties and their heirs, administrators, estates, successors and assigns of every kind.

Inventor hereby authorizes and requests the Director of the United States Patent and Trademark Office, and all foreign countries' equivalent officials, to issue such Letters Patent as shall be granted on said application or applications based thereon to Assignee.

DATED this 9th day of November, 2001.



DANIEL J. KANE

STATE OF NEW MEXICO

)

) ss.

COUNTY OF SANTA FE

)

This instrument was acknowledged before me this 9th day of November, 2001, by Daniel J. Kane.

My commission expires:

Vickie LeMay
Notary Public

October 15, 2005
SEAL

[\\JDM\DOCS\ASSIGN\SWSciences-Fish\UT.asn.doc]

70047-9101