

03-19-2002



Docket No.: 1696A1

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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102022314



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kurt A. Humbert, Karl F. Schimmel, Karen A. Barkac,
Simion Coca and Joanne H. Smith

3-6-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: PPG INDUSTRIES OHIO, INC.

Internal Address:

Street Address: 3800 West 143rd Street

City: Cleveland

State: OH

ZIP: 44111

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: September 26, 2001

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/965,546

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PPG INDUSTRIES, INC.

Internal Address: Intellectual Property Department

03/19/2002 GTOW11 00000071 162025 09965546

01 FC:581 40.00 CH

Street Address: One PPG Place

City: Pittsburgh

State: PA

ZIP: 15272

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

16-2025

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Uhl

William J. Uhl

February 25, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

5

ASSIGNMENT

IN CONSIDERATION of good and valuable considerations received from **PPG INDUSTRIES OHIO, INC.**, a corporation of Delaware, having a place of business at Cleveland, Ohio and intending to be legally bound hereby, we, **Kurt A. Humbert**, of the City of Pittsburgh, County of Allegheny, and Commonwealth of Pennsylvania; **Karl F. Schimmel**, of the City of Verona, County of Allegheny, and Commonwealth of Pennsylvania; **Karen A. Barkac**, of the City of Murrysville, County of Westmoreland, and Commonwealth of Pennsylvania; **Simion Coca**, of the City of Pittsburgh, County of Allegheny, and Commonwealth of Pennsylvania; and **Joanne H. Smith**, of City of Allison Park, County of Allegheny, and Commonwealth of Pennsylvania, do hereby sell, assign and set over unto **PPG INDUSTRIES OHIO, INC.**, the entire right, title and interest in and to our invention of improvements in

Low Surface Tension (Meth)acrylate Containing Block Copolymer Prepared by Controlled Radical Polymerization

as set forth in our application for Letters Patent of the United States of America, executed by **Kurt A. Humbert** on the 26th day of September, 2001; by **Karl F. Schimmel** on the 26th day of September, 2001; by **Karen A. Barkac** on the 26th day of September, 2001, **Simion Coca** on the 26th day of September, 2001, and **Joanne H. Smith** on the 26th day of September, 2001, in and for the United States and all foreign countries, the same to be held and enjoyed by **PPG INDUSTRIES OHIO, INC.**, its successors, assigns, or legal representatives, to the full ends of the terms for which all Letters Patent therefor may be granted, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

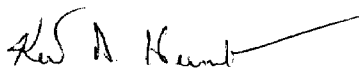
AND WE HEREBY AUTHORIZE **PPG INDUSTRIES OHIO, INC.**, to make application for and to receive Letters Patent for said invention in any or all foreign countries in its own name, or in any name, at its election.

AND WE HEREBY COVENANT AND AGREE that we will execute or procure any further necessary assurance of the title to said invention and Letters

Patent; and that we will at any time, upon the request and at the expense of **PPG INDUSTRIES OHIO, INC.**, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor, in **PPG INDUSTRIES OHIO, INC.**, its successors, assigns, or other legal representatives, and that we will, at any time, upon the request and at the expense of **PPG INDUSTRIES OHIO, INC.**, testify in any legal proceeding, sign all lawful papers, execute any additional, divisional, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid **PPG INDUSTRIES OHIO, INC.**, its successors, assigns, or other legal representatives to obtain and enforce patent protection on said invention in all countries, without further compensation, but at the expense of **PPG INDUSTRIES OHIO, INC.**, its successors, assigns, or other legal representatives.

AND WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks to issue any and all Letters Patent of the United States for said invention, or resulting from said application, or from any division, reissue, or extension thereof, to **PPG INDUSTRIES OHIO, INC.**, as sole assignee.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals.




Kurt A. Humbert

STATE OF PENNSYLVANIA)

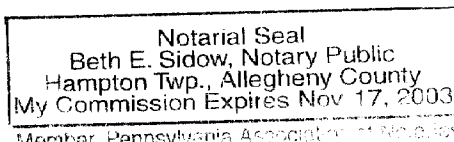
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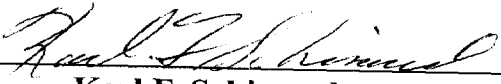
COUNTY OF ALLEGHENY)

On this 26th day of September, 2001, appeared before me in person the above **Kurt A. Humbert** and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.



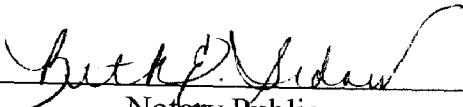
Notary Public

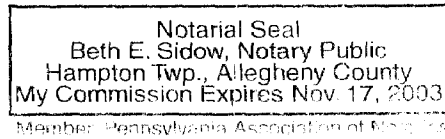


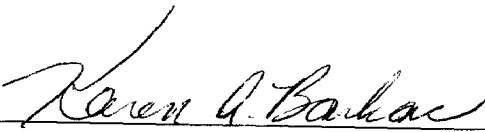

Karl F. Schimmel

STATE OF PENNSYLVANIA)
) SS:
COUNTY OF ALLEGHENY)

On this 26th day of September, 2001, appeared before me in person the above **Karl F. Schimmel** and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.



Notary Public

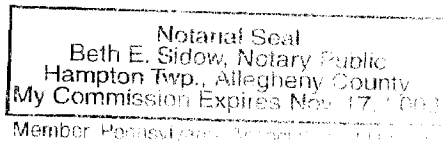



Karen A. Barkac

STATE OF PENNSYLVANIA)
) SS:
COUNTY OF ALLEGHENY)

On this 26th day of September, 2001, appeared before me in person the above **Karen A. Barkac** and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.


Notary Public



Simion Coca

Simion Coca

STATE OF PENNSYLVANIA)

) SS:

COUNTY OF ALLEGHENY)

On this 26th day of September, 2001, appeared before me in person the above **Simion Coca** and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

Beth E. Sidow
Notary Public

Notarial Seal
Beth E. Sidow, Notary Public
Hampton Twp., Allegheny County
My Commission Expires Nov. 17, 2003
Member, Pennsylvania Association of Notaries

Joanne H. Smith
Joanne H. Smith

STATE OF PENNSYLVANIA)

) SS:

COUNTY OF ALLEGHENY)

On this 26th day of September, 2001, appeared before me in person the above **Joanne H. Smith** and acknowledged the above to be his signature, and that he signed, sealed and delivered the above instrument as his voluntary act and deed and for the purposes herein set forth.

Beth E. Sidow
Notary Public

Notarial Seal
Beth E. Sidow, Notary Public
Hampton Twp., Allegheny County
My Commission Expires Nov. 17, 2003
Member, Pennsylvania Association of Notaries