03-19-2002



Form **PTO-1595** U.S. DEPARTMENT OF COMMERCE (Rev. 03/01) U.S. Patent and Trademark Office 102020918 OMB No. 0651-0027 (exp. 5/31/2002) Tab settings ⇔⇔⇔ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Stephen M. Testa Name: The University of Rochester Matthew D. Disney Douglas H. Turner Internal Address: Additional name(s) of conveying party(ies) attached? The Yes I No 3. Nature of conveyance: Merger Assignment Street Address: 518 Hylan Building Security Agreement Change of Name Menlo Park State: California Zip: 94025 January 22, 2002 Execution Date:____ 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:____ B. Patent No.(s) A. Patent Application No.(s) 09/936,146 Additional numbers attached? 📮 Yes 🛂 No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: 7. Total fee (37 CFR 3.41).....\$\,\u00e40.00 Name: David J. Earp Enclosed Geron Corporation Internal Address: Authorized to be charged to deposit account 8. Deposit account number: 230 Constitution Drive Street Address: (Attach duplicate copy of this page if paying by deposit account) City: Menlo Park State: California Zip: 94025 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. David J. Earp Name of Person Signing Total number of pages including cover sheet, attachments, and documents: \ Mall documents to be recorded with required cover sheet information to:

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Commissioner of Patents & Trademarks, Box Assignments Washington, D.C. 20231

40.00 DA

PATENT

REEL: 012675 FRAME: 0836

PATENT Docket No.: 027/002

ASSIGNMENT



Whereas we, Stephen M. Testa, Matthew D. Disney, and Douglas H. Turner

executed intellectual property agreements with The University of Rochester (hereinafter "Rochester"), having its principal place of business at 518 Hylan Building, Rochester, New York 14627, whereby we have agreed to assign to Rochester all inventions (except as otherwise limited by law) which relate to Rochester business and which were first conceived or actually reduced to practice during our employment by Rochester;

Now, therefor, pursuant to the terms of the intellectual property agreements and for good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto Rochester, its successors and assigns, the entire right, title and interest, including the right of priority in, to and under an application for Letters Patent of the United States entitled:

METHODS AND COMPOSITIONS FOR INHIBITION OF RNA SPLICING

[X] Serial No. 09/936,146 filed on September 7, 2001 and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of Rochester, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Rochester, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me in lending such cooperation and assistance are paid by Rochester.

In witness whereof, we hereunto set our hands and seal:

Inventor's Signature:	Stort bol	Date:	1/22/02
·	Stephen M. Testa		
Inventor's Signature:	Mander Disney	Date:	1/25/02
	Matthew D. Disney		·
Inventor's Signature:	Dough St. Tune	Date:	1/25/02
	Douglas W. Turner		

PATENT

Docket No.: 027/002

State of Kentucky	
County of Fagette ss.	uory, 2002
Before me this 22 md day of 3 stephen M. Testa personally know to be the person whose name is subscribed to the forego	personally appeared personally appeared personally appeared person instrument and acknowledged to me that he executed the re on the instrument the person executed the instrument.
	The Student
Notary Stamp/Seal	Hongry Public
	MY COMMISSION EXPIRES 2/14/2004
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County of MonkoE	
Matthew D. Disney personally know	personally appeared on to me (or proved to me on the basis of satisfactory evidence) oing instrument and acknowledged to me that he executed the even on the instrument the person executed the instrument.
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	DONNA L. BEYEA
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County of MonRoE	, ··
	personally appeared per to me (or proved to me on the basis of satisfactory evidence) are on the instrument and acknowledged to me that he executed the me on the instrument.
Notary Stamp/Seal	Donna Al Serjean Notary Public
	DONNA L. BEYEA Notary Public, State of New York MONROE COUNTY, #9/BE58/42665
P	age 2 of 2

RECORDED: 03/04/2002

PATENT REEL: 012675 FRAME: 0838