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CONVEYANCE TYPE

- ☒ Assignment ☐ Security Agreement
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☐ Department File ☐ Secret File

CONVEYING PARTY(IES): (Last name first)

South African Inventions Development Corporation
Execution Date January 14, 2002

Mark if additional names of conveying parties attached ☐

RECEIVING PARTY:

Name: Technology Finance Corporation
(Proprietary) Limited
Address: Ground Floor, Corporate Place,
23 Fredman Drive
City: Sandton, Gauteng Province
State: Republic of South Africa
Zip Code: _____

Mark if additional names of receiving parties attached ☐

APPLICATION NUMBER(S) OR PATENT NUMBER(S)

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).
If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named inventor: 00/00/00

Patent Application Number(s):

Patent Number(s):

4,975,298

TOTAL NUMBER OF PROPERTIES: Enter the total number of properties involved: _____

One

PATENT COOPERATION TREATY (PCT):

Enter PCT application number only if a U.S. Application Number has not been assigned:

NUMBER OF PAGES:

Enter the total number of pages contained in the conveyance document including any attachment(s). DO NOT include the Recordation Form Cover Sheet pages in this total.

Two

CORRESPONDENT NAME AND ADDRESS:

Rockey, Milnamow & Katz, Ltd.
Two Prudential Plaza
180 North Stetson Avenue, Suite 4700
Chicago, Illinois 60601
(312) 616-5400

FEE AMOUNT:

Total Fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Charge to Deposit Account 04-1644
☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account 04-1644.

STATEMENT AND SIGNATURE

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as herein indicated.

Martin L. Katz, Reg. No. 25,011

Name of Person Signing

Martin L. Katz
Signature

02-21-02

Date

ASSIGNMENT

In consideration of the payment by ASSIGNEE to assignor of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

SOUTH AFRICAN INVENTIONS DEVELOPMENT CORPORATION

of: Corporate Building, Scientia, PRETORIA, Gauteng Province, Republic of South Africa

a South African body corporate

who is the recorded assignee in terms of an assignment

recorded on: 17 October 1988 Reel: 4963
Frame: 782

hereby sells, assigns and transfers to:

ASSIGNEE:

TECHNOLOGY FINANCE CORPORATION (PROPRIETARY) LIMITED

of: Ground Floor, Corporate Place, 23 Fredman Drive, SANDTON, Gauteng Province,
Republic of South Africa

a South African Company,

and the successors, assigns and legal representatives of the ASSIGNEE for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

"3-(1-AMINO-1,3-DICARBOXY-3-HYDROXY-BUT-4-YL)-INDOLE COMPOUNDS"

invented by Pieter Johannes VAN WYK and Louis Gabriel Jozua ACKERMAN

and which is found in (37 C.F.R. §3.21)

U.S. patent no. 4 975 298 issued 4 December 1990

PATENT
REEL: 012676 FRAME: 0265

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal

this 14th day of January 2002.



SOUTH AFRICAN INVENTIONS
DEVELOPMENT CORPORATION

A. J. JORDAAN

(Type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

DIRECTOR

Title:

CERTIFICATE OF MAILING BY EXPRESS MAIL

Applicant: Van Wyk
Serial No.: 07/207,066
Filing Date: 6-15-88
For: 3-(1-AMINO-1,3-DICARBOXY-3-HYDROXY-BUT-4-YL)-INDOLE
COMPOUNDS

I hereby certify that this Assignment and any other documents referred to as enclosed herein, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and addressed to: Commissioner for Patents, Washington, D.C. 20231.

Express Mail Label No.: EV033931300US

Date of Deposit: 2-21-02

Typed/Printed Name
of Person Signing: Carla Phillips

Signature:

