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U.S. DEPARTMENT OF COMMERCE
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F49620-97-1-0430



102026813

1. Name of conveying party(ies):

California Institute of Technology

3-5-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other Confirmatory License

Execution Date(s): 07 February 2002

☒ This instrument should be recorded on the governmental register in accordance with 37 CFR 7.1(e)(1).

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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s):

10/007,630

B. Patent No.(s):

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: United States Air Force
Internal Address: AFOSR/JA
Street Address: 801 N. Randolph Street - Rm 732
City: Arlington
State: Virginia ZIP: 22203-1977

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41)\$ 0

☐ Enclosed ☒ Not applicable

The instrument to be recorded is not an instrument affecting title (37 CFR 7.1(e)(2) and (j)).

8. Deposit account number:

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Signature

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Total number of pages including cover sheet, attachments, and document: 3

License to the United States Government

Invention Title: Duel-Wavelength Hybrid Waveguide Coupler

Inventors: Ming Cai; Kerry Vahala

Patent Application Serial No: 10/007,630

US Filing/Issue Date: 11/9/2001

Grant/Contract Identification No: F49620-97-1-0430

Foreign Applications filed/intended in (countries):

The invention identified above is a Subject Invention under 35 U.S.C. 200, et seq., and the Standard Patent Rights clause at 37 CFR 401.14 or FAR 52.227-11, which are included among the terms of the above-identified grant/contract award from the Air Force (AFOSR). This document is confirmatory of:

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The Government is here by granted an irrevocable power to inspect and make copies of the above-identified patent application.

California Institute of Technology
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Dated: January 29, 2002



Adam Cochran
The Intellectual Property Counsel

CIT File No: 3345