

03-22-2002



Form PTO-1595 U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings ⇒ ⇒ ⇒ To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies) 1. Name of conveying party(ies): Name: BLOOMBERG LP Shai BEN-LEVY, Kenneth L. GAERTNER, George A. GEYER, David P. MULLEN and Todd A. SIBILLA Internal Address: ______ 3-12-02 Additional name(s) of conveying party(ies) attached? The Yes No. 3. Nature of conveyance: Assignment Merger 499 Park Avenue Street Address: Security Agreement Change of Name Other_____ New York NY 10022 City:______State:____Zip:_____ 02/22/02 Execution Date:____ Additional name(s) & address(es) attached? Yes No 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is:_ B. Patent No.(s) A. Patent Application No.(s) 09/943.442 Additional numbers attached? 📮 Yes 🛂 No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning document should be mailed: 40.00 Matthew J. MARQUARDT 7. Total fee (37 CFR 3.41).....\$____ **BROWN RAYSMAN MILLSTEIN** Enclosed Internal Address:__ FELDER & STEINER LLP Authorized to be charged to deposit account 8. Deposit account number: 900 Third Avenue Street Address:_____ 02-4270 New York (Attach duplicate copy of this page if paying by deposit account) 10022 City:____ _State:_ DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. RUN 3 40997 Matthew J. MARQUARDT Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

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PATENT REEL: 12692 FRAME: 0899

ASSIGNMENT

WHEREAS, we, Shai BEN-LEVY, a citizen of Israel residing at \$15\$ Cedar Drive, East Hills, New York 11576, U.S.A.; Kenneth L. GAERTNER, a citizen of the United States of America residing at 9 Ridgedell Avenue, Hastings-on-Hudson, New York 10706, U.S.A.; George A. GEYER, a citizen of the United States of America residing at 141 East 33rd Street, 4B, New York, New York 10016, U.S.A.; David P. MULLEN, a citizen of the United States of America residing at 1442 Shippan Avenue, Stamford, Connecticut 06902, U.S.A.; and Todd A. SIBILLA, a citizen of the United States of America residing at One Orchard Way, Warren, New Jersey 07059, U.S.A., (hereinafter together with our heirs, executors, administrators and legal representatives referred to herein as "Assignor"), have invented one or more inventions (hereinafter referred to as "said invention(s)") disclosed in the following applications for patent of the United States (hereinafter referred to as "said Applications"):

Title: COMPUTER TRADING OF FINANCIAL INTERESTS

Filing Date: 30 August 2001 Serial No.: 09/943,442

Title: COMPUTERIZED BOND AUCTION

Filing Date: 30 August 2000 Serial No.: 60/229,173

WHEREAS, BLOOMBERG, LP, (hereinafter together with its successors and assigns referred to as "Assignee"), a corporation organized and existing under the laws of the State of Delaware having a place of business at 499 Park Avenue, New York, New York 10022, U.S.A., is desirous of obtaining all right, title and interest in, to and under said invention(s) and said Applications;

NOW, THEREFORE, for the sum of \$10.00 and other good and valuable consideration, including employment by Assignee, the receipt and sufficiency of which Assignor hereby acknowledges, Assignor has sold, assigned, transferred and set over, and by these presents hereby sells, assigns, transfers and sets over to Assignee all of Assignor's right, title and interest in, to and under said invention(s) and said Applications, including (a) the right to apply for patents in the United States of America and in all foreign countries for said invention(s), (b) all applications for patents for said invention(s) or based on said Applications in all countries, now filed or to be filed, including all divisional, renewal, substitute, continuation, continuationin-part and convention applications based in whole or in part upon said invention(s) or upon said Applications, (c) all patents which may issue on said invention(s) and on any application transferred by this Assignment in the United States and foreign countries, and any and all reissues, extensions, divisions, renewals, substitutes, continuations or continuations-in-part of patents granted for said invention(s) or upon such Applications, for the full term or terms for which the patents may be issued, and (d) every priority right that is or may be predicated upon or arise from said invention(s), said Applications and such patents under any applicable international or bilateral treaty, agreement or convention. Assignor hereby authorizes Assignee to file patent applications in all countries for any or all of said invention(s) in Assignor's name,

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PATENT REEL: 12692 FRAME: 0900 or in Assignee's name, or otherwise as Assignee may deem advisable, under any international or bilateral treaty, agreement or convention, or otherwise.

Assignor hereby covenants and agrees that Assignor will, upon request of Assignee, and without further consideration but at the expense of the Assignee, communicate any facts known to Assignor relating to said invention(s) and the history thereof, testify in any legal proceeding, execute all lawful papers required to effect the foregoing, and generally do all further acts which may be deemed necessary by Assignee to obtain and enforce proper patent protection for said invention(s) in all countries.

If any part of this Assignment shall be held by a court of competent jurisdiction to be void, invalid or inoperative, the remaining provisions of this Assignment shall not be affected and shall continue in effect, and the invalid provision shall be deemed modified to the least degree necessary to remedy such invalidity.

IN TESTIMONY WHEREOF, Assignor has executed this document on the date indicated below.

Date: 125/02

Date: 2/25/02

Date: 2/22/02

Date: 2-22.62

Date: 2-27-02

(Signature) Shai BEN-LEVY

(Signature) Kenneth L. GAERTNER

(Signature) George A. GEYER

(Signature) David P. MULLEN

(Signature) Todd A. SIBILLA

STATE OF NEW YORK
COUNTY OF NEW YORK) ss.:
On February, 2000, before me, the undersigned, personally appeared Shai BEN-LEVY, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he or she executed the same in his capacity, and that by his or her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.
Caolot-Rando
Signature and Office of individual taking acknowledgment PAOLO A. RAMUNDO Notary Public, State of New York No. 02RA6029081 Qualified in New York County Commission Expres August 09, 2005
STATE OF NEW YORK)) ss.: COUNTY OF NEW YORK)
COUNTY OF NEW YORK)
On <u>february 75</u> , 2002, before me, the undersigned, personally appeared Kenneth L. GAERTNER, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he or she executed the same in his capacity, and that by his or her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.
Signature and Office of individual
taking acknowledgment

PAOLO A. RAMUNDO
Notary Public, State of New York
No. 02RA6029081
Qualified in New York County
Commission Excires August 09, 2005

STATE OF NEW YORK	
COUNTY OF NEW YORK) ss.:	
evidence to be the individual whose name is acknowledged to me that he or she executed	before me, the undersigned, personally appeared or proved to me on the basis of satisfactory subscribed to the within instrument and the same in his capacity, and that by his or her or the person upon behalf of which the individual
Signature and Office of individual taking acknowledgment	PAOLO A. RAMUNDO Notary Public, State of New York No. 02RA6029081 Qualified in New York County Commission Extires August 10. 2005
STATE OF NEW YORK)	Commission Expires August 09, 2006
COUNTY OF NEW YORK) ss.:	
David P. MULLEN, personally known to me evidence to be the individual whose name is acknowledged to me that he or she executed	before me, the undersigned, personally appeared e or proved to me on the basis of satisfactory subscribed to the within instrument and the same in his capacity, and that by his or her or the person upon behalf of which the individual
Signature and Office of individual taking acknowledgment	PAOLO A. RAMUNDO Notary Public, State of New York No. 02RA6029081 Qualified in New York County Commission Excises August 09, 20 6

STATE OF NEW YORK)	
)	ss.:
COUNTY OF NEW YORK)	

On February 22, 2002, before me, the undersigned, personally appeared Todd A. SIBILLA, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he or she executed the same in his capacity, and that by his or her signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

Signature and Office of individual taking acknowledgment

PAOLO A. RAMUNDO
Notary Public, State of New York
No. 02RA6029081
Qualified in New York County
Commission Expires August 09, 20_05

RECORDED: 03/12/2002

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