

03-22-2002

EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102027939

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

KELLY, Kevin W.
HARRIS, Chad R.
DESPA, Mircea S.

3-8-02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 19, 20, and 26, 2002

2. Name and address of receiving party(ies):

Name: Board of Supervisors of Louisiana State University
and Agricultural and Mechanical CollegeAddress: Louisiana State University
Office of Intellectual Property
203 David Boyd

City: Baton Rouge State/Prov.: LA

Country: US ZIP: 70803

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. Filing Date:
10/003,882 October 25, 2001

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: André J. PorterRegistration No. 50,341

Address: Taylor, Porter, Brooks & Phillips, L.L.P.
P.O. Box 2471

City: Baton Rouge State/Prov. LACountry: U.S. ZIP: 70821-24716. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed - Any excess or insufficiency should be credit
or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

20-0096

(Attach duplicate copy of this page if paying by deposit account.)

03/22/2002 TDIAZI 00000009 10003882

01 FC:581

40.00 DP

DO NOT USE THIS SPACE

9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.*

André J. Porter
 Name of Person Signing

Signature

March 1, 2002
 Date

Total number of pages including cover sheet, attachments, and document:

5**PATENT****REEL: 012695 FRAME: 0310**

ASSIGNMENT

WHEREAS we, Kevin W. Kelly, a citizen of the United States of America, residing at 5071 Greenside Lane, Baton Rouge, LA 70806, Chad R. Harris, a citizen of the United States, residing at 730 North Elder Grove Drive, Pearland, TX 77584, and Mircea S. Despa, a citizen of Romania, residing at 3450 Nicholson Drive, Apt. 2037, Baton Rouge, LA 70802, having made an invention in "Crossflow Micro Heat Exchanger," which invention is the subject of Patent Application Serial No. 10/003,882, filed on October 25, 2001, which application is a continuation-in-part of application serial number 09/501,215, filed on February 9, 2000 (Atty. File No. 9911.1 Kelly);

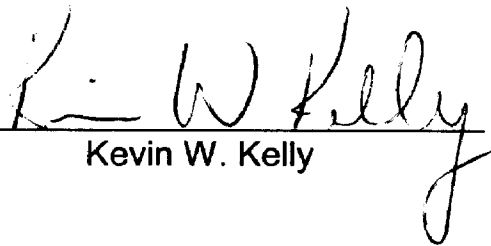
Now, therefore, for good and valuable consideration, receipt of which is acknowledged, we have agreed to assign and transfer and do hereby assign and transfer unto the Board of Supervisors of Louisiana State University and Agricultural and Mechanical College, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division, continuation, or continuation-in-part of said application, and in and to any and all Letters Patent and reissues and extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted on any of the foregoing to be held and enjoyed as fully and exclusively as they would have been by us had this assignment and transfer not been made.

We do further agree for ourselves and for our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such acts as we lawfully may, that may be deemed necessary by the said Board of Supervisors of Louisiana State University and Agricultural

and Mechanical College, its successors, assigns, and nominees, fully to secure its interest as aforesaid and to obtain or maintain Letters Patent in any and all countries;

And we do hereby authorize the Director of the United States Patent and Trademark Office, and any foreign counterpart to the Director, to issue any and all Letters Patent which may be granted upon any of the said applications, to the said Board of Supervisors of Louisiana State University and Agricultural and Mechanical College as the assignee of the entire interest therein.

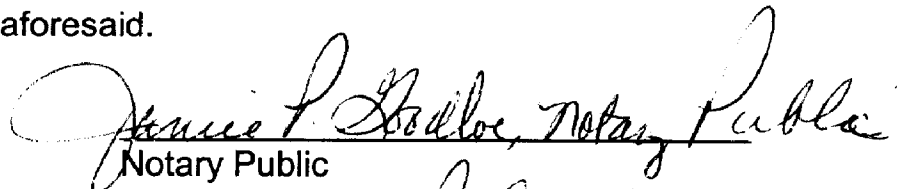
In witness whereof, we have hereunto signed our names on the day and year set forth below.


Kevin W. Kelly

STATE OF LOUISIANA

PARISH OF EAST BATON ROUGE

On February 26, 2002, before me personally appeared Kevin W. Kelly, personally known to me, and known by me to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.


Notary Public

Janice P. Goodloe
Printed Name of Notary

My Commission expires

Commissioned for life

[Seal]

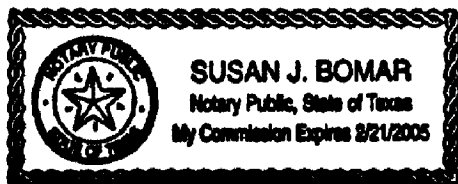
Chad Harris

Chad R. Harris

STATE OF TEXAS

COUNTY OF ~~BRAZORIA~~ HARRIS

On February 20TH, 2002, before me personally appeared Chad R. Harris, personally known to me, and known by me to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.

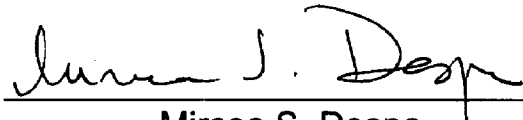


Susan J. Bomar
Notary Public

SUSAN J. Bomar
Printed Name of Notary

My Commission expires 2-21-2005


[Seal]


Mircea S. Despa

STATE OF NEW YORK

COUNTY OF STEUBEN

On February 19th, 2002, before me personally appeared Mircea S. Despa, personally known to me, and known by me to be the person described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free act and deed, on the day and year aforesaid.


Notary Public

DAVID LY SMITH
Notary Public, State of New York
Commission No. 010M4831539
My Commission expires 06/20/06

[Seal]