

03-25-2002

Case No. N-30389/P1/A



102029168

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jeffrey D. Meister

2.14.02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other To replace an incorrect assignment

previously submitted, which assignment was  
recorded on reel 011519/frame 0990.

Execution Date: March 26, 1999

2. Name and address of receiving party(ies)

Name: Novartis Nutrition AG

Internal Address: \_\_\_\_\_

Street Address: Monbijoustrasse

City: Berne State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ No

OFFICE OF PROSECUTION  
2002 FEB 14 AM 11:21  
FINANCE SECTION

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/247,467

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis Corporation

Patent and Trademark Dept.

Street Address: 564 Morris Avenue

City: Summit State: NJ ZIP: 07901-1027

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

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03/22/2002 TDIAZ1 00000116 190134 09247467

01 FEB 5 40 00 CH  
Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Michael U. Lee

Name of Person Signing

Reg. No. 35,240

Signature

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Date

2/14/02

Total number of pages including cover sheet, attachments, and document: 8

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

PATENT  
REEL: 012697 FRAME: 0251

PATE

02-28-2001

Case No. N-30389/P1/A

To the Honorable Commissioner

e attached original documents or copy thereof.

## 1. Name of conveying party(ies):

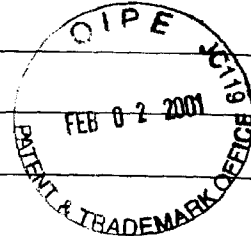
Jeffery D. Meister

2-2-01

## 2. Name and address of receiving party(ies)

Name: Novartis Nutrition AG

Internal Address:



Street Address: Monbijoustrasse 118

City: Berne State: Switzerland ZIP: 4058

Additional name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other

Execution Date: May 11, 2000

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/247,467

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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## 8. Deposit account number:

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(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John D. Thallemer

Name of Person Signing  
Reg. No. 34,940

Signature

Date

2/2/01

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

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02/21/2001 6TOM11 00000245 190134 09247467

01 FC:581

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COPY

PATENT

REEL: 012697 FRAME: 0252

ASSIGNMENT

I,

Jeffrey D. Meister                      residing at              3615 Evergreen Lane  
   Plymouth, Minnesota 55441,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG**, a company organized under the laws of the Swiss Confederation, having a place of business at Schwarzwaldallee 215, Basel, Switzerland 4058, its successors, assigns and legal representatives, all my right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to me by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

Composition and Process for Producing a Thickened Coffee Beverage

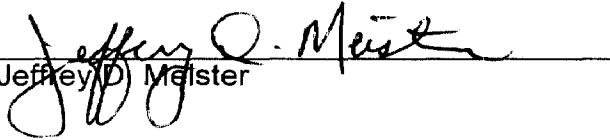
invented by me and described in the application for United States Letters Patent

Application No. 09/247,467, filed February 8, 1999,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis AG and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made;

And I hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 26<sup>th</sup> day of March, 1999 by

  
Jeffrey D. Meister

ASSIGNMENT

I,

Jeffery D. Meister                      residing at              3615 Evergreen Lane  
Plymouth, Minnesota 55441,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis Nutrition AG**, a company organized under the laws of the Swiss Confederation, having a place of business at Monbijoustrasse 118, Berne, Switzerland 3001, its successors, assigns and legal representatives, all my right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to me by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

COMPOSITION AND PROCESS FOR PRODUCING A THICKENED COFFEE BEVERAGE

invented by me and described in the application for United States Letters Patent

09/247,467              February 8, 1999  
Application No. ~~09/552,373~~, filed ~~April 19, 2000~~,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis Nutrition AG and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made;

COPY

PATENT

REEL: 012697 FRAME: 0255

And I hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this <sup>26th</sup> day of <sup>March</sup> ~~May~~, <sup>1999</sup> ~~2000~~ by Jeffrey S. Meister  
Jeffrey D. Meister

COPY