

03-26-2002

U.S. Department of Commerce  
Patent and Trademark Office

RI



1

102032017

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.



1. Name of conveying party or parties:  
Robert S. Jacobs, Ph.D.  
Russell G. Kerr

3-20-02

2. Name and address of receiving party or parties:  
1. Name: The Regents of the University of California  
Street Address: 1111 Franklin Street, Twelfth Floor,  
City: Oakland State: CA  
Zip: 94607-5200  
Country: United States

2. Name: Florida Atlantic University Board of Trustees  
Street Address: 777 Glades Road, P.O. Box 3091  
City: Boca Raton State: FL  
Zip: 33431  
Country: United States

Additional conveying party or parties attached? ☐ Yes ☒ No

Additional receiving party or parties attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date(s): 26 February 2002 and 5 March 2002

4. Application number(s) or patent number(s): Additional numbers attached? ☐ Yes ☐ No

- ☐ A new application, the specification filed herewith.  
☒ Application No. 09/993,666; filed: 27 November 2001  
☐ Patent No. \_\_\_\_\_; filed:

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Jacobson Holman PLLC**  
Attn: Suzannah K. Sundby  
Street Address: The Jenifer Building  
400 Seventh Street, N.W.  
Washington, DC 20004-2201

6. Total number of applications and patents involved: 1

7. Total Fee (37 C.F.R. § 3.41): \$40.00  
☒ Enclosed  
☐ Authorized to be charged to deposit account:  
8. Deposit Account No.: 06-1358  
(Attach duplicate copy of page if charging to deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Suzannah K. Sundby Reg. No. 43,172

Date: 20 March 2002

Attorney Docket No.: P66752US1

OMB No. 0651-0011 (ext. 4/94)

Total number of pages including cover sheet, attachments and document 4

03/26/2002 6TON11

00000013 09993666

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

01 FC:581

40.00 DP

**PATENT**  
**REEL: 012699 FRAME: 0879**

# ASSIGNMENT

U.C. Case No. **2001-065-2**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

**1. Robert S. Jacobs, Ph.D.**

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## **ANTI-INFLAMMATORY COMPOUNDS DERIVED FROM PSEUDOPTEROGORGIA ELISABETHAE**

and which is found in

- (a) \_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c)   X   U.S. application serial no. **09/993,666**, filed on **27 November 2001**
- (d) \_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

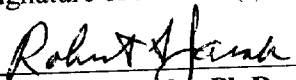
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary of desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

26 day of Feb., 200<sup>2</sup>0

Signature of Inventor(s)

  
Robert S. Jacobs, Ph.D.

# ASSIGNMENT

WHEREAS, I, (hereinafter referred to as "ASSIGNOR") have invented certain new and useful improvement for which I have on 27 November 2001, executed an application for Letters Patent of the United States entitled

**ANTI-INFLAMMATORY COMPOUNDS DERIVED FROM PSEUDOPTEROGORGIA ELISABETHAE**  
U.S. patent application serial no. **09/993,666**, and

WHEREAS, FLORIDA ATLANTIC UNIVERSITY, existing by virtue of the laws of the State of Florida, acting for and on behalf of the Florida Atlantic University Board of Trustees, and having an office at 777 Glades Road, P.O. Box 3091, Boca Raton, Florida 33431, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof:


NOW, THEREFORE, for and in consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNOR does hereby sell and assign to the ASSIGNEE, its successors and assigns, the ASSIGNOR's entire right, title and interest in and to the said invention and in and to the said application and all patents which may be granted therefor, and all divisions, reissues, substitutions, continuations and extensions thereof; and the ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue all patents for said invention, or patents resulting therefrom, insofar as the ASSIGNOR'S interest is concerned, to the ASSIGNEE.

The ASSIGNOR also hereby sells and assigns to the ASSIGNEE, the ASSIGNEE's successors and assigns, the ASSIGNORS foreign rights to the invention disclosed in said application, in all patent-granting countries of the world, including the right to file applications and obtain patents for said invention in the ASSIGNEES own name in said countries and including all rights of priority in said countries under the terms of the International Convention for the Protection of Industrial Property, and the ASSIGNOR further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

The ASSIGNOR also hereby agrees, at the request and expense of the ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, make all lawful oaths, and generally do everything possible to aid said ASSIGNEE, the ASSIGNEE'S successors and assigns, to obtain and enforce proper patent protection for said invention in all countries.

The ASSIGNOR also hereby grants SUZANNAH K. SUNDBY, Reg. No. 43,172, HARVEY B. JACOBSON, JR., Reg. No. 20,851; JOHN CLARKE HOLMAN, Reg. No. 22,769; MARVIN R. STERN, Reg. No. 20,640; ALLEN S. MELSER, Reg. No. 27,215; MICHAEL R. SLOBASKY, Reg. No. 26,421; JONATHAN L. SCHERER, Reg. No. 29,851; and IRWIN M. AISENBERG, Reg. No. 19,007, the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

Date: 3-5-02

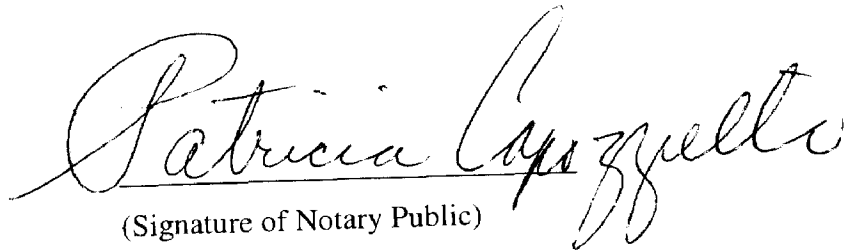


Russell G. Kerr  
ASSIGNOR

State of Florida )  
 ) :ss  
County of Palm Beach )

Before me, a notary public, in and for the State and County aforesaid, on this 5 day of March, 2002, personally appeared Russell G. Kerr, who being personally known to me, and who having been by me first duly sworn, did acknowledge the foregoing instrument as his free deed and act, signed, sealed and delivered by him for the purpose therein stated and intending to be bound thereby and intending that said instrument be recorded.

(Notary Seal)



(Signature of Notary Public)

Patricia Capozzello

(Print, Type or Stamp Commissioned  
Name of Notary Public)

My Commission expires:



Patricia Capozzello  
MY COMMISSION # CC894610 EXPIRES  
March 29, 2004  
BONDED THRU TROY FAIR INSURANCE, INC.