

03-26-2002

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORDAT

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Vijay-Anandh Shanmugam
Michael J. Vasile
Philip J. Coane

3-14-02

Additional name(s) of conveying party(ies) attached? ☐ Lab Yes ☒ Lab No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: February 15, 2002

2. Name and address of receiving party(ies)

Name: Louisiana Tech University Foundation, Inc.

Internal Address:

Street Address: 900 Tech Drive

City: Ruston State: Louisiana Zip: 71270

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/017,138

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lance A. Foster

Internal Address: Jones, Walker, Waechter,

Poitevent, Carrere & Denegre, L.L.P.

5th Floor, Four United Plaza

Street Address: 8555 United Plaza Boulevard

City: Baton Rouge State: Louisiana Zip: 70809

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

LANCE A. FOSTER

Name of Person Signing

Signature

5 MAR 02

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/26/2002 LMUELLER 00000020 10017138

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40.00 DP

PATENT
REEL: 012701 FRAME: 0427

ASSIGNMENT OF APPLICATION

Whereas, we,

VIJAY-ANANDH SHANMUGAM, an individual residing at 688 Santa Coleta Court, Sunnyvale, California 94085;

MICHAEL J. VASILE, an individual residing at 4817 Summersville Drive, NW, Albuquerque, New Mexico 87120; and

PHILIP J. COANE, an individual residing at 593 Pint Road, Farmerville, Louisiana 71241

hereafter referred to as "applicants," have invented certain new and useful improvements in Method for Producing Silica Micro-Structures from X-Ray Lithography of SOG Materials for which an application for a United States Patent was executed on December 14, 2001 and assigned United States serial number 10/017,138 and

Whereas, Louisiana Tech University Foundation, Inc., a Louisiana Non-Profit Corporation, having its principal place of business at 900 Tech Drive, Ruston, Louisiana 71270, hereafter referred to as "assignee," is desirous of acquiring the entire right, title and interest in the same;

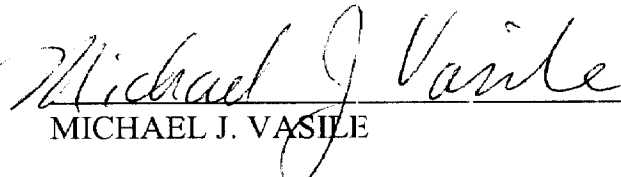
Now, for good and valuable consideration, we, the applicants, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States,

We hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for their sole use and benefit; and for the use and benefit of their legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

IN WITNESS WHEREOF, I have hereunto set hand and seal this 15th day of Feb, 2002.


VIJAY-ANANDH SHANMUGAM

IN WITNESS WHEREOF, I have hereunto set hand and seal this 10 day of Feb, 2002.

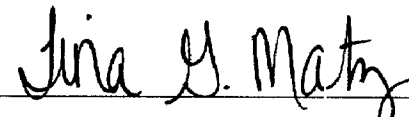

MICHAEL J. VASILE

IN WITNESS WHEREOF, I have hereunto set hand and seal this 15th day of Feb., 2002.


PHILIP J. COANE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to: Box ASSIGNMENTS, Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this 6th day of March, 2002.


Tina G. Matz