

03-29-2002

[73100/1852]

FORM PTO 1585

RECORD A



S. DEPARTMENT OF COMMERCE

e

102036536

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Sulzer Brothers Limited (now Sulzer Ltd.)

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Sulzer Hexis AG

Street Address: _____

City: Winterthur, Switzerland

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement ☐ Change of Name☐ Other: _____

Execution date: February 5, 2002

4. Application numbers or patent numbers:

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application:

B. Patent No.(s)

5,212,023

Additional Number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael J. Lennon

Internal Address: KENYON & KENYON

Street Address: One Broadway

City: New York State: New York ZIP: 10004

6. The number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) \$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

11-0600

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Lennon (No. 26,562)

Name of Person Signing

Michael J. Lennon By:

Signature Mary E. Warner

3/8/02

Date

Total number of pages including cover sheet, attachments, and document: 3

OMB No. 0651-0011 (exp. 10/01)

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Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington DC 20231

03/28/2002 AHMED1 00000027 110600 5212023

01 FF:581
453577

40.00 CH

PATENT
REEL: 012707 FRAME: 0942

ASSIGNMENT

WHEREAS, SULZER BROTHERS LIMITED, a corporation organized under and by virtue of the laws of Switzerland and having an office at Winterthur, Switzerland (hereinafter "ASSIGNOR") owns the entire right, title and interest in and to U.S. Patent No. 5,212,023, issued May 18, 1993 entitled SOLID ELECTROLYTIC FUEL CELL AND METHOD OF DISSIPATING HEAT THEREIN, in the name of Roland Diethelm, by virtue of an assignment recorded in the U.S. Patent and Trademark Office on December 11, 1992.

WHEREAS, SULZER HEXIS AG, a corporation organized under and by virtue of the laws of Switzerland and having a place of business at Winterthur, Switzerland, and which, together with its successors, assigns and legal representatives, is hereinafter called "ASSIGNEE," is desirous of obtaining ASSIGNOR'S right, title and interest in and to said patents or for the improvements therein contained.

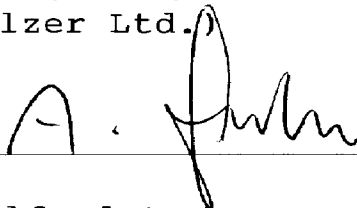
NOW, THEREFORE, for valuable consideration furnished by ASSIGNEE to ASSIGNOR, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE its successors and assigns, ASSIGNOR'S right, title and interest in and to the said patents and the inventions therein contained, including the right to apply for any Letters Patent in the United States of America on the invention, and any Letters Patent that may issue thereon or therefor, in the United States of America, and all reissues, extensions, renewals, divisions and continuations thereof, to the full end of the term or terms for which the Letters Patent may be issued, the same to be held and enjoyed by ASSIGNEE, its successors and assigns, the same as it would have been held and enjoyed by ASSIGNOR if this Assignment had not been made; together with all claims for damages by reason of past infringement of said patents and applications, with the right to sue for, and collect the same for its own use and for the use of its successors and assigns.

IN TESTIMONY WHEREOF, SULZER BROTHERS LIMITED, has hereunto set

its hand and seal this 5th day of February, 2002.

SULZER BROTHERS LIMITED
(now Sulzer Ltd.)

By: _____



Name: Alfred Gerber

Title: General Counsel

By: _____



Name: Dr. Stefan Kluthe

Title: Assistant Vice President