

03-27-2002

Docket No.: NEC 19851

ET

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To the Honorable Commissioner of Patents

102033709

attached original documents or copy thereof.

1. Name of conveying party(ies):

Sota SHINOHARA
Koichi TAKEMURA
Yasuhiro TSUJITA
Hidemitsu MORI

03/18/02

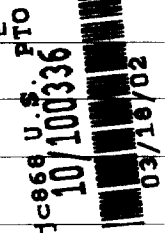
Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: NEC CORPORATION

Address: 7-1, Shiba 5-chome, Minato-ku



City: Tokyo State/Prov.:

Country: JAPAN ZIP:

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 18, 2002

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: March 18, 2002

Patent Application No. Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Norman P. Soloway

Registration No. 24,315

Address: HAYES SOLOWAY P.C.

130 W. Cushing Street

City: Tucson State/Prov.: Arizona

Country: USA ZIP: 85701

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-1391

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Norman P. Soloway

Name of Person Signing

Signature

March 18, 2002

Date

Total number of pages including cover sheet, attachments, and

3

01-75485

ASSIGNMENT

FOREIGN - IN THE UNITED STATES OF AMERICA

I/we Sota SHINOHARA, Koichi TAKEMURA, Yasuhiro TSUJITAand Hidemitsu MORIof Tokyo, JAPANhaving invented certain inventions and improvements in SEMICONDUCTOR MEMORY AND
PROCESS FOR FABRICATING THE SAME

and having executed an application for Letters Patent of the United States of America describing the same and based thereon on March 18, 2002; (and I/we hereby authorize our attorneys, authorized to prosecute said application, to here insert the filing date and serial number of said application, when known, Serial No. _____, filed _____), for good and valuable consideration, the receipt of which is hereby acknowledged from **NEC CORPORATION**, a Japanese corporation having its principal place of business at 7-1, Shiba 5-chome, Minato-Ku, Tokyo, Japan, (hereinafter called the Assignee), do hereby sell, assign and transfer unto the Assignee, its successors, and assigns my/our entire right, title, and interest, in and to said invention in the United States of America, including all rights, title and interest in the above-mentioned application and any divisional, continuing or reissue application or applications and any patent which may be issued on the said invention in the United states of America;


And for the same consideration I/we do agree that I/we will upon request execute any instrument which the Assignee may desire to carry this Assignment into effect and perfect the title transferred hereby or prosecute the above-mentioned application;

And I/we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America to issue Letters Patent on the said application to the Assignee;

And I/we do hereby jointly and severally covenant for ourselves and for our legal representatives that I/we have granted no right or license to make, use, or sell said inventions to anyone except the Assignee, that prior to the execution of this deed our right, title, and interest in and to said inventions or any of them or any part thereof has not been otherwise encumbered by us, and that I/we have not executed and will not execute any instrument in conflict herewith.

IN WITNESS WHEREOF, I/we hereunto set our hands and seals on the day and year
hereinafter noted.

Sole or first

Inventor's signature Sota Shinozuka  Date March 18, 2002

Full name (typed or printed) Sota SHINOHARA

Witness: Nitashi Ukeni Witness: Nitashi Ukeni

Date: March 18, 2002 Date: March 18, 2002

Second Inventor's


signature Koichi Takemura  Date March 18, 2002

Full name (typed or printed) Koichi TAKEMURA

Witness: Nitashi Ukeni Witness: Nitashi Ukeni

Date: March 18, 2002 Date: March 18, 2002

Third Inventor's

signature Yasuhiro Tsujita  Date March 18, 2002

Full name (typed or printed) Yasuhiro TSUJITA

Witness: Nitashi Ukeni Witness: Nitashi Ukeni

Date: March 18, 2002 Date: March 18, 2002

Fourth Inventor's

signature Hidemitsu Mori  Date March 18, 2002

Full name (typed or printed) Hidemitsu MORI

Witness: Nitashi Ukeni Witness: Nitashi Ukeni

Date: March 18, 2002 Date: March 18, 2002