

03-28-2002

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICETO THE HONORABLE DIRECTOR OF THE U.S. PATENT AND TRADEMARK OFFICE  
ORIGINAL DOCUMENTS OR COPY THEREOF.

102034423

OFFICE. PLEASE RECORD THE ATTACHED

## 1. Name of conveying party(ies):

(a) Vascular Innovations, Inc.

(b)

(c)

Additional name(s) of conveying party(ies) attached?

☐

Yes

☒

No

## 2. Name and address of receiving party(ies):

Name: Cardica, Inc.

Street Address: 171 Jefferson Drive

City: Menlo Park

CA

94025

Country: USA

## 3. Nature of Conveyance:

☐

Assignment

☐

Merger

☐

Security Agreement

☒

Change of Name

☐

Other

Execution Date: November 13, 2001

## Name and address of receiving party(ies):

Name:

Street Address: {Enter Street Address}

City:

State:

Zip:

Country:

Additional name(s) &amp; address(es) attached?

☐

Yes

☒

No

## 4. Application number(s) or patent number(s): 09/363,255

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s) - 09/363,255

Title: Anastomosis System and Method for Controlling a  
Tissue Site

## B. Patent No.(s)

Additional numbers attached?

☐

Yes

☒

No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brian A. Schar, Esq.

Internal Address: Cardica, Inc.

Street Address: 171 Jefferson Drive

City: Menlo Park

State: CA

Zip: 94025

## 6. Total number of applications and patents involved: One

## 7. Total fee (37 CFR 3.41): \$40.00

☐

Authorized to be charged to Deposit Account 502108

☒

Charge Deposit Account 502108 for any additional fees required for this conveyance and credit deposit account 502108 any amounts overpaid

03/27/2002 TDIAZ1 00000047 09363255

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## 8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Brian A. Schar

45,076

Name of Person Signing

Signature

March 8, 2002

Date

Total number of pages comprising cover sheet: One

REEL: 012713 FRAME: 0233

March 8, 2002

Box Assignment  
Commissioner For Patents  
Washington, D.C. 20231

Re: Applicant(s): Vargas, Jaime; et. al.  
Assignee: Cardica, Inc.  
Title: Anastomosis System and Method for Controlling a Tissue Site  
Serial No.: 09/363,255  
Examiner: Not yet assigned  
Docket No.: 032405-009  
Filed: July 28, 1999  
Group Art Unit: 3731

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

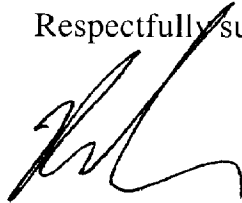
- (1) This Transmittal Letter;
- (2) Recordation Form Cover Sheet;
- (3) Certificate of Amendment of Restated Certificate of Incorporation;
- (4) Check no. 5285 in the amount of \$40.00; and
- (5) Return postcard.

- ☐ No additional fee is required.  
☒ The fee has been calculated as shown below:

<input checked="" type="checkbox"/>	Recordation fee under 37 CFR 1.21(h)	\$	\$40.00
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.		
<input type="checkbox"/>	Please charge our Deposit Account No. 502108 in the amount of	\$	<u>0.00</u>
<input type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.		
<b>Total:</b>		<b>\$</b>	<b><u>40.00</u></b>

**EXPRESS MAIL LABEL NO.  
EV060921562US**

Respectfully submitted,



Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076