

03-29-2002

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(Rev. 03/01)

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Tab settings



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN H. WHITON
PAUL R. MARGIOTT

2. Name and address of receiving party(ies)

Name: UTC FUEL CELLS, LLC

Internal Address: SOUTH, WINDSOR, CT

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: _____

City: _____ State: _____ Zip: _____

Execution Date: 3/12/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3/12/2002

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George A. Coury

Internal Address: Bachman & LaPointe, P.C.

Suite 1201

Street Address: 900 Chapel Street

City: New Haven State: CT Zip: 06510

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George A. Coury

Name of Person Signing

Signature

3/18/2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

03/25/2002 HUU06G1 00000012 10102386

01 FC:581

40.00 DP

PATENT
REEL: 012717 FRAME: 0283

ASSIGNMENT

WHEREAS, We, John^H Whiton of South Windsor and Paul^R Margiott of South Windsor, have invented certain improvements in AIR CONDITION ASSISTED WATER RECOVERY INTEGRATED WITH CELL STACK ASSEMBLY, identified as Attorney Docket No. 01-652/C-2628, and described in a patent application executed by the undersigned on the dates set after the signature(s) hereto, being owner(s) of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

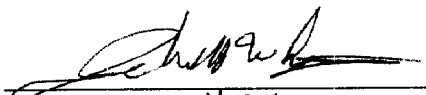
WHEREAS, UTC FUEL CELLS, LLC of South Windsor, Connecticut, a limited liability company of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does (do) hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

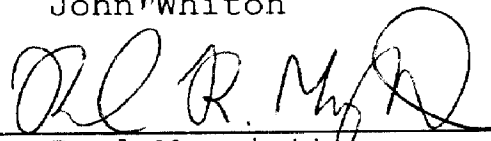
The undersigned further agree(s), without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and

nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has(have) hereunto set his(their) hand(s) and seal(s) on the date after his(their) signature(s).

(L.S.) 
John Whiton

3/12, 2002

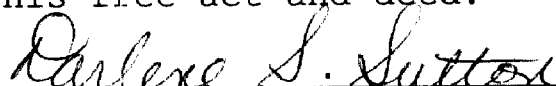
(L.S.) 
Paul Margiott

3/12, 2002

State of Connecticut)
County of Hartford)

ss: South Windsor

On this 12th day of March, 2002 before me personally appeared JOHN WHITON personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



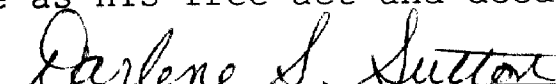
SEAL

Notary Public **DARLENE S. SUTTON**
My Commission Expires: **NOTARY PUBLIC**
MY COMMISSION EXPIRES MAY 31, 2002

State of Connecticut)
County of Hartford)

ss: South Windsor

On this 12th day of March, 2002 before me personally appeared PAUL MARGIOTT personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



SEAL

Notary Public
My Commission Expires:
DARLENE S. SUTTON
NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2002