

03-29-2002

MAR 21 2002

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102036861

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies) (assignor(s)):

Kurt R. SMITH, Richard D. BUCHHOLZ, and Timothy J. SCHAEWE

3-21-02

Additional name(s) of conveying party(ies) attached? ☐ yes ☒ no

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: Surgical Navigation Technologies, Inc.Address: 826 Coal Creek CircleCity: LouisvilleState: ColoradoZip: 80027Country: U.S.A.Additional name(s) & address(es) attached? ☐ yes ☒ no

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Change of Name☐ Verified Translation☐ Security Agreement☐ Other _____Execution Date: October 31, 2000, November 13, 2000, and November 22, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: _____

A. Patent Application No(s).B. Patent No(s).

09/877,144

Others on additional sheet(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Frank R. Agovino

Senniger, Powers, Leavitt & Roedel

One Metropolitan Square

16th Floor

St. Louis, Missouri 63102

(314) 231-5400 (telephone)

6. Total number of applications and patents involved:

Application(s) 1 + Patent(s): = Total 1

7. Total Fee (37 CFR 3.41)

\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit Account Number: 19-1345

(Duplicate copy of this sheet attached)

☒ Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE

9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Frank R. Agovino

Name of Person Signing

Signature

March 11, 2002

Date

Total number of pages including cover sheet, attachments, and document:

03/28/2002 LMUELLER 00000104 09877144

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PATENT
REEL: 012717 FRAME: 0677

12-26-2000



101560455

R SHEET

U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 6474.0002
Attorney Customer Number: 22,852

ATTN. BOX ASSIGNMENTS

To the Honorable Commis
Please record the attached

1. Name of conveying parties:
(1) Kurt R. Smith
(2) Richard D. Bucholz
(3) Timothy J. Schaewe

2. Name and address of receiving party:

Name: Surgical Navigation Technologies, Inc.

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

Internal Address:

3. Nature of conveyance:

Street Address: 826 Coal Creek Circle

☒ Assignment ☐ Merger

City: Louisville

☐ Security Agreement ☐ Change of Name

State: CO Zip Code: 80027

☐ Other:

Additional name(s) & Address(es) attached?

Execution Date: (1) October 31, 2000
(2) November 13, 2000
(3) November 22, 2000

☐ Yes ☒ No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application:

A. Patent Application Number(s):

B. Patent Number(s):

5,970,499

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and registrations involved:
1

Name: Anthony M. Gutowski

7. Total fee (37 CFR 3.41): \$40

☒ Enclosed (Please charge deficiency to deposit account)
☐ Authorized to be charged to deposit account

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 1300 I Street, N.W.

City: Washington

State: DC Zip: 20005-3315

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony M. Gutowski
Reg. No. 38,742

Signature

12/4/00
Date

Total number of pages including cover sheet, attachments and documents: 5

ASSIGNMENT

WHEREAS We, the below named inventors, hereinafter referred to as Assignors, have made an invention entitled:

METHOD AND APPARATUS FOR PRODUCING AND ACCESSING COMPOSITE DATA

for which We filed an application for United States Letters Patent on April 11, 1997 (Serial No. 08/832,688), which issued as United States Patent No. 5,970,499 on October 19, 1999; and

WHEREAS, Surgical Navigation Technologies, Inc., a corporation of Delaware whose post office address is 826 Coal Creek Circle, Louisville, Colorado 80027 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent that have issued and that are to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Patent No. 5,970,499, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY sell, assign, transfer, and set over to Assignee, its lawful successors and assigns all causes of action for infringement of U.S. Patent No. 5,970,499 that may have accrued prior to the date hereof;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

County of Boulder
State of Colorado

ss.

Kurt R. Smith
3400 Eldorado Spring Drive
Eldorado Springs, CO 80025
Dated: Oct 31, 2000

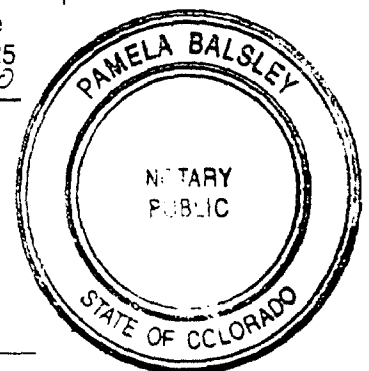
Subscribed and sworn to before me this 31st day of October, 2000.
Pamela Balsley, Notary Public

County of _____
State of _____

ss.

Richard D. Bucholz
3 Kingsbury Place
St. Louis, Missouri 63112
Dated: _____

Subscribed and sworn to before me this _____ day of _____, 2000.
_____, Notary Public



My Commission Expires
August 10, 2002

ASSIGNMENT

WHEREAS We, the below named inventors, hereinafter referred to as Assignors, have made an invention entitled:

METHOD AND APPARATUS FOR PRODUCING AND ACCESSING COMPOSITE DATA

for which We filed an application for United States Letters Patent on April 11, 1997 (Serial No. 08/832,688), which issued as United States Patent No. 5,970,499 on October 19, 1999; and

WHEREAS, Surgical Navigation Technologies, Inc., a corporation of Delaware whose post office address is 826 Coal Creek Circle, Louisville, Colorado 80027 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent that have issued and that are to be issued upon this application;

NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, We, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, U.S. Patent No. 5,970,499, and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I/We hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, WE HEREBY sell, assign, transfer, and set over to Assignee, its lawful successors and assigns all causes of action for infringement of U.S. Patent No. 5,970,499 that may have accrued prior to the date hereof;

AND, WE HEREBY covenant that We have the full right to convey the interest assigned by this Assignment, and We have not executed and will not execute any agreement in conflict with this Assignment;

AND, WE HEREBY further covenant and agree that We will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, We have hereunto set our hands.

County of _____)
State of _____)

ss.

Kurt R. Smith
3400 Eldorado Spring Drive
Eldorado Springs, CO 80025
Dated: _____

Subscribed and sworn to before me this _____ day of _____, 2000.
_____, Notary Public

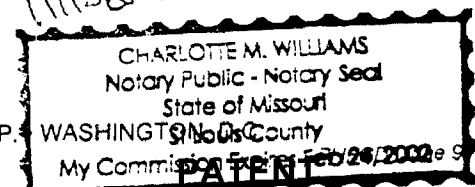
County of St. Louis)
State of Missouri)

ss.

Richard D. Bucholz
3 Kingsbury Place
St. Louis, Missouri 63112
Dated: 11/13/00

Subscribed and sworn to before me this 13 day of November, 2000.
Charlotte M. Williams, Notary Public

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.



REEL: 012717 FRAME: 0680

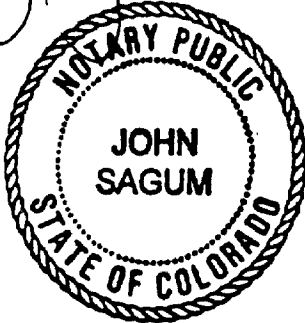
County of BOULDER)
State of COLORADO)

ss.

Timothy J. Schaewe
3537 Smuggler Way
Boulder, CO 80305
Dated: 11-22-00

Subscribed and sworn to before me this 22nd day of NOVEMBER, 2000.
_____, Notary Public

100



My Commission Expires 10-20-2004