

03-29-2002

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APPENDIX B

DOCKET NO. 210_249

Form PTO 1595



102036593

U.S. Department of Commerce

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Dong-Joon Yim

3-1-02

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: Carrier Corporation
 Address: Carrier World Headquarters Building
 One Carrier Place
 Farmington, CT 06034

Additional name(s) attached? ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date February 2, 2002

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of this application is .

A. Patent Application No.(s)

10/005,109 filed December 5, 2001

B. Patent No.(s)

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Christopher R. Pastel

Address: WALL MARJAMA & BILINSKI LLP
 101 South Salina Street, Suite 400
 Syracuse, NY 13202
 Telephone: (315) 425-9000
 Facsimile: (315) 425-9114

6. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

☒ Enclosed
☒ Authorized to charge any deficiency or credit any over payment to
 Deposit Account No. 50-0289.

8. Charge Deposit account

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert E. Furell
 Reg. No. 28,532

3/1

February 28, 2002

Date

Total Number of Pages Comprising Cover Sheet 3

OMB NO 0651-0011 (EXP. 4/94)

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Mail documents to be recorded with required cover sheet information to:

COMMISSIONER OF PATENTS AND TRADEMARKS
 BOX ASSIGNMENTS
 WASHINGTON D.C. 20231

10/005,109 filed December 5, 2001

40.00 3P

PATENT
 REEL: 012717 FRAME: 0964

ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1) Dong-Joon Yim (5) _____
(2) _____ (6) _____
(3) _____ (7) _____
(4) _____ (8) _____

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee

(9) Carrier Corporation

(10) Insert address of Assignee

(10) Carrier World Headquarters Building, One Carrier Place,
Farmington, CT 06034

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention,
such as Title, Case Number or
Foreign Application Number

(11) SYSTEM AND METHOD FOR DEFROST TERMINATION
FEEDBACK
(Case No. 210 249)

for which the undersigned have executed an application for patent in the United States of America

(12) Insert Date of Signing of
Application

(12) on _____

(13) Alternative identification

(13) U.S. Application(s) Serial Number(s) 10/005,109
for filed application(s) filed December 5, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Christopher R. Pastel, of WALL MARJAMA & BILINSKI LLP, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date Feb 02, 2002 Signature  (SEAL)
Dong-Joon Yim

Date _____ Signature _____ (SEAL)

Date _____ Signature _____ (SEAL)

Date _____ Signature _____ (SEAL)

This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date _____ Witness _____

Date _____ Witness _____

STATE OF _____ }
COUNTY OF _____ } SS:

On this _____ day of _____, before me, the subscriber(s) personally came Dong-Joon Yim to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he (they) executed the same.

Notary Public