

03-29-2002

ASSIGNMENT RECC  
-PATI



102037325

To: Honorable Commissioner of Patents and Trademarks.

Please record the attached original document or copy thereof.

1. Name of conveying parties:

- a) Jin shown Shie
- b) Chih yuan Yen
- c) Chien chen Hung
- d) Mei hsueh Peng

2. Name and address of receiving party:

- a) Name: Opto Tech Corporation
- Address: No. 8, Innovation Rd. 1, Hsinchu Science-Based Industrial Park  
Hsinchu, Taiwan, R.O.C.

3. Nature of conveyance

- |                                     |                    |                          |                   |
|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment         | <input type="checkbox"/> | Merger            |
| <input type="checkbox"/>            | Security Agreement | <input type="checkbox"/> | Change of Name    |
| <input type="checkbox"/>            | Other _____        | <input type="checkbox"/> | License Agreement |

Execution Dates: February 4, 2002, February 4, 2002, February 7, 2002 and February 7, 2002, respectively.

4. Application Number(s) or Patent Number(s): Not yet assigned

The title of the new application is: HEAT DISSIPATION STRUCTURE FOR SOLID-STATE LIGHT EMITTING DEVICE PACKAGE

5. Please send all correspondence concerning this document to:

**Albert S. Penilla**  
 MARTINE & PENILLA, LLP  
 710 Lakeway Drive, Suite 170  
 Sunnyvale, CA 94085  
**Customer Number 25920**  
 Tel. No. (408) 749-6900  
 Fax No.: (408) 749-6901

03/25/2002 RHARIS1 00000017 10102131  
 02 FC:581 40.00 OP

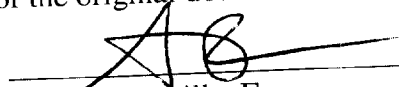
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- Enclosed
- Authorized to be charged to Deposit Account No. 50-0805 (Order No. JLINP080)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: March 19, 2002

  
 Albert S. Penilla, Esq.  
 Registration No. 39,487

Attorney Docket No. JLINP080

(Revised 01/96)



# ASSIGNMENT OF PATENT APPLICATION

Q0969

For good and valuable consideration, receipt of which hereby acknowledged,

I/We,

- (a) Jin-shown SHIE
- (b) Chih-yuan YEN
- (c) Chien-chen HUNG
- (d) Mei-hsueh PENG

residing at

- (a) 3F, No. 212, Ta Hsueh Road, Hsin Chu, Taiwan, R.O.C.
- (b) No. 1, Lihsin Rd. 5, Hsinchu Science-Based Industrial Park, HsinChu, Taiwan, R.O.C.
- (c) No. 1, Lihsin Rd. 5, Hsinchu Science-Based Industrial Park, HsinChu, Taiwan, R.O.C.
- (d) No. 1, Lihsin Rd. 5, Hsinchu Science-Based Industrial Park, HsinChu, Taiwan, R.O.C.

assign and transfer to: **OPTO TECH CORPORATION**

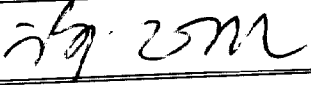
a corporation organized under the law of Taiwan and having a place of business at :

**No. 8, Innovation Road 1, SBIP, Hsin Chu, Taiwan, R.O.C.**

its successors and assigns, my entire right, title and interest, including the right of priority, in, to and under an application for Letters Patent of the United States entitled:

## HEAT DISSIPATION STRUCTURE FOR SOLID-STATE LIGHT EMITTING DEVICE PACKAGE

including divisions, reissues, continuations and extensions thereof, and all rights of priority without further authorization from me; I agree to execute all papers useful in connection with said United States application, and generally to do everything possible to aid said assignee, its successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in United States; and I request the Commissioner of Patents and Trademarks to issue all patents granted for said invention to the above-named assignee, its successors and assigns.

|                      |   |             |                |
|----------------------|---|-------------|----------------|
| Joint Inventor (a)   | Jin-shown SHIE  |             |                |
| Inventor's Signature |  | Date        | Feb 4, 2002    |
| Residence            | HsinChu   | Citizenship | Taiwan, R.O.C. |

|                      |                      |             |                |
|----------------------|----------------------|-------------|----------------|
| Joint Inventor (b)   | <b>Chih-yuan YEN</b> |             |                |
| Inventor's Signature | <i>Chih-Yuan Yen</i> | Date        | 2002/2/4       |
| Residence            | HsinChu              | Citizenship | Taiwan, R.O.C. |

|                      |                        |             |                |
|----------------------|------------------------|-------------|----------------|
| Joint Inventor (c)   | <b>Chien-chen HUNG</b> |             |                |
| Inventor's Signature | <i>Chien-Chen Hung</i> | Date        | 2002/2/11      |
| Residence            | HsinChu                | Citizenship | Taiwan, R.O.C. |

|                      |                       |             |                |
|----------------------|-----------------------|-------------|----------------|
| Joint Inventor (d)   | <b>Mei-hsueh PENG</b> |             |                |
| Inventor's Signature | <i>Mei-Hsueh Peng</i> | Date        | Feb 7, 2002    |
| Residence            | HsinChu               | Citizenship | Taiwan, R.O.C. |