

04-01-2002



PATENT APPLICATION
Attorney's Do. No. 8514-100 (ST-A14)

IN THE UN

102037697

PK OFFICE

In re application:

NED HOFFMAN; DAVID FERRIN PARE, JR.;
PHILIP DEAN LAPSLEY and
JONATHAN ALEXANDER LEE

Serial No. 09/215,058

3-22-02

Filed: December 17, 1998

For: TOKENLESS FINANCIAL ACCESS SYSTEM

Box Assignment
Commissioner of Patents and Trademarks
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:

COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON D.C. 20231

ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231

ASSISTANT COMMISSIONER FOR TRADEMARKS, 2900 CRYSTAL DRIVE ARLINGTON VA 22202-6613

DATE: 19 March 2002

Please record the attached original document or copy thereof and return the recorded instrument to the undersigned.

1. Name of party(ies) conveying an interest: (1) **NED HOFFMAN**, 977 Daniel Street, Sebastopol, California 95472; (2) **DAVID FERRIN PARE, JR.**, 1430 Josephine Street, Apt. R7, Berkeley, California 94703; (4) **PHILIP DEAN LAPSLEY**, 18 Russell Road, Newton, Massachusetts 02458; (4) **JONATHAN ALEXANDER LEE**, 6116 Telegraph Avenue, Oakland, California 94609.

2. Name and address of party(ies) receiving an interest: **SMARTTOUCH, INC.**, a Delaware corporation, 727 Allston Way, Berkeley, California 94710

3. Description of the interest conveyed: Assignment

Date of execution of attached document: (1) December 17, 1998; (2) December 17, 1998; (3) December 17, 1998; (4) December 17, 1998.

4. Application number: 09/215,058 Additional sheet attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Alexander C. Johnson, Jr.
MARGER JOHNSON & McCOLLOM, P.C.
1030 S.W. Morrison Street
Portland, Oregon 97205

Telephone: (503) 222-3613

6. Number of applications and patents involved: 1

7. The attached Certificate and Transmittal sheet shows PTO receipt for \$40 paid 01/04/99 to record this assignment.

8. Any deficiency or overpayment should be charged or credited to deposit account number 13-1703.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Dated: 19 March 2002

By:
Alexander C. Johnson, Jr.
Registration No. 29,396

MARGER JOHNSON & McCOLLOM, P.C.
1030 S.W. Morrison Street
Portland, Oregon 97205
Telephone: (503) 222-3613

cc: Michael J. Biber, Esq.

Total number of pages comprising cover sheet and attached assignment: 4

OFFICE OF REEL ID RECORDS
2002 MAR 22 AM 11:03
FINANCE SECTION

PATENT
REEL: 012722 FRAME: 0900

ASSIGNMENT

WHEREAS, We, Ned Hoffman, David Ferrin Pare, Jr., Philip Dean Lapsley, and Jonathan Alexander Lee, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: TOKENLESS FINANCIAL ACCESS SYSTEM

Filing Date: _____ Serial No.: _____

WHEREAS, SMARTTOUCH, Inc., 46 Shattuck Square, Suite 12, Berkeley, California 94704, a corporation of the State of California, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to Assignor by Assignee, receipt of which is hereby acknowledged, Assignor have sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignor further agrees that he will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his name to this assignment on the date indicated below.

Date: 12/17/98

Jonathan Lee
Jonathan Alexander Lee

STATE of : CALIFORNIA)
COUNTY of: ALAMEDA)

On this ___ day of _____, in the year of ____, before me, the undersigned notary public, personally appeared the above-named assignor, known to me (or proved to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

Notary Public

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his name to this assignment on the dates indicated below.

Date: 12/17/88



David Ferrin Pare, Jr.

STATE OF CALIFORNIA)
COUNTY OF ALAMEDA)


On this ___ day of _____, in the year of ____, before me, the undersigned notary public, personally appeared the above-named assignor, known to me (or proved to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

Notary Public

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his name to this assignment on the dates indicated below.

Date: 12/17/98


Ned Hoffman

STATE OF CALIFORNIA)

COUNTY OF ALAMEDA)

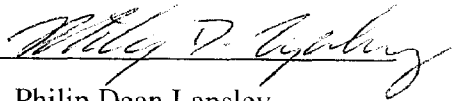
On this ___ day of _____, in the year of _____, before me, the undersigned notary public, personally appeared the above-named assignor, known to me (or proved to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

Notary Public

SEAL

IN TESTIMONY WHEREOF, Assignor has hereunto signed his name to this assignment on
the dates indicated below.

Date: 12/17/98


Philip Dean Lapsley

STATE OF CALIFORNIA)

COUNTY OF ALAMEDA)

On this ___ day of _____, in the year of ____, before me, the undersigned notary
public, personally appeared the above-named assignor, known to me (or proved to me on the basis of
satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and
acknowledged that they executed the same.

Notary Public

SEAL