04-02	-2002 -	
PRM PTO-1595 ev. 6-93) MB No. 0851-0011 (exp. 4/94)		ET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
Tab settings □ □ □ ▼ 10203	9963 -	<b>Y Y</b>
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.		
Name of conveying party(ies):	2. Name and address	ss of receiving party(ies)
of Cal:		egents of the University
Additional name(s) of conveying party(ies) attached?   Yes No		w.60
3. Nature of conveyance:	-	80.2
XX Assignment   Merger		1111 Franklin Sty,
☐ Security Agreement ☐ Change of Name	12th F1c	······································
□ Other	City: 0akland	State: <u>CA</u> ZIP: 94607-
Execution Date:03/18/2002	Additional name(s) &	5200 address(es) attached?   Yes KNo
Application number(s) or patent number(s):		
If this document is being filed together with a new application, the execution date of the application is: $\frac{03/18/2002}{}$		
A. Patent Application No.(s)  B. Patent No.(s)		
Additional numbers a	ttached? □ Yes <b>X</b> No	
	0.7.1111	
<ol><li>Name and address of party to whom correspondence concerning document should be mailed:</li></ol>	6. Total number of a	applications and patents involved:
Name: Henry P. Sartorio	7. Total fee (37 CF	R 3.41)\$40
Internal Address: Lawrence Berkeley Nat'l	☐ Enclosed	
Lab Patent Dept.	1	be charged to deposit account fee transmittal)
Street Address: One Cyclotron Road	8. Deposit account	number:
MS 90-1121	12-0690	
City: Berkeley State: CA ZIP: 94720		ppy of this page if paying by deposit account)
04/01/2002 TDIAZI 00000126 120690 10100955 DO NOT USE THIS SPACE		
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Henry P. Sartorio  Henry P. Sartorio  Jewy L Sartorio  3/18/2002		
Name of Person Signing:  Total number of pages including cover sheet, attachments, and document:  Date  Date		
Mail documents to be recorded with required cover sheet information to:		

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## **ASSIGNMENT**

LNBL Case No. IB-1675

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

Ka-Ngo Leung

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## Spherical Neutron Generator

and which is found in U.S. application executed on even date herewith entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenant(s) that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

Signature of Assignor(s)

Mixen

Date

March 18, 2002

PATENT REEL: 012724 FRAME: 0832

**RECORDED: 03/18/2002**