

03/25/02

Attorney Docket No. 8017-1008

Form PTO-1595

04-02-2002

R SHEET

U.S. Department of Commerce  
U.S. Patent and Trademark Office

To the Honorable Commissioner



102039456

he attached original documents or copy thereof.

1. Name of Conveying Party(ies):

Hironori YAMAMOTO  
Jiro IRIYAMA  
Tamaki MIURA  
Mitsuhiro MORI  
Koji UTSUGI

2. Name and address of receiving party(ies)

Name: NEC Corporation

Address: 7-1, Shiba 5-chome  
Minato-ku  
Tokyo, Japan

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other: \_\_\_\_\_

Additional name(s) and address(es) attached?

☐ Yes☒ NoExecution Date: March 15, 2002; March 15, 2002;  
March 15, 2002; March 15, 2002; March 15, 2002

4. Application number(s) or patent number(s):

This document is being filed with a new application, the execution date of the application is: March 15, 2002; March 15, 2002; March 15, 2002; March 15, 2002

A. Patent Application No(s).

03/28/2002 MARZ11 00000050 10103701

02 FC:581

40.00 DP

B. Patent No(s).

10/103701

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Customer No.: 000466

YOUNG &amp; THOMPSON

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745 South 23<sup>rd</sup> Street

Arlington, VA 22202

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6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$40.00☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit Account No. 25-0120

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Melanie Avila

March 25, 2002

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: 2JC931 U.S. PTO  
10/103701  
03/25/02

ASSIGNMENT

WHEREAS, Hironori YAMAMOTO, Jiro IRIYAMA, Tamaki MIURA, Mitsuhiro MORI and  
Koji UTSUGI, all of Tokyo, Japan

have invented certain new and useful improvements in ANODE FOR SECONDARY BATTERY AND  
SECONDARY BATTERY THEREWITH

for which we are about to make application for Letters  
(I/we have made—or am/are about to make)

Patent of the United States of America;

AND WHEREAS, NEC Corporation, 7-1, Shiba 5-chome,  
Minato-ku, Tokyo, Japan is  
desirous of acquiring an interest therein and in the Letters Patent to be obtained therefor from the  
United States;

NOW THEREFORE, be it known by all whom it may concern, that for and in consideration of  
One Dollars (\$ 1.00)  
to us in hand paid, the receipt of which is hereby acknowledged the said ASSIGNORS  
have assigned, sold, and set over, and by these presents do hereby assign, sell, and set over unto the said  
ASSIGNEE, NEC Corporation  
\* the full and exclusive right, title, and interest in and to the said invention,  
as fully set forth and described in the:

Delete  
inapplicable  
wording

(a) specification prepared and executed by us on the 15th day of March, 2002,  
(b) ~~application filed on the~~ day of ~~Serial No.~~,  
preparatory to obtaining Letters Patent therefor; said invention, application and Letters Patent to be  
held and enjoyed by the said ASSIGNEE, NEC Corporation  
for their own use and behoof, and for their legal representatives, to the full end of the term  
for which said Letters Patent are granted, as fully and entirely as the same would have been held by  
us had this assignment and sale not been made.

IN TESTIMONY WHEREOF, we hereunto set our hands and affix our seals at  
Tokyo, Japan this 15th day of March, A.D. 2002

Witness:

Fumitake Watanabe  
Akira Mori

Hironori Yamamoto (Inventor's full Signature) Hironori YAMAMOTO  
Jiro Iriyama Jiro IRIYAMA  
Tamaki Miura Tamaki MIURA  
Mitsuhiro Mori Mitsuhiro MORI  
Koji Utsugi Koji UTSUGI

\* State whether the full and exclusive right, or what part of the whole interest is assigned.