

04-04-2002

U.S. DEPARTMENT OF COMMERCE



IEET

Patent and Trademark Office
Docket No. 346392000900

102042836

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **3-18-02**
Guy Michael MILLER, Lesley A. BROWN,
Ughetta DEL BALZO, Stephen FLAIM, Sekhar BODDUPALLI
and Bing WANG

- ☒ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation-State ☐ Other

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Galileo Laboratories, Inc.
Internal Address: 5301 Patrick Henry Drive
Street Address: 5301 Patrick Henry Drive
City: Santa Clara, State: California ZIP: 95054

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: February 15, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/020,450 filed December 14, 2001
Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

OFFICE OF PUBLIC RECORDS
702 MAR 19 AM 11:43
FINANCE SECTION

5. Name and address of party to whom correspondence concerning document should be mailed:

Debra J. Glaister
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018

6. Total number of applications and patents involved: one (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account, referencing
Attorney Docket 346392000900

8. Deposit account number: 03-1952The Commissioner is hereby authorized to charge any fees under 37 C.F.R. § 1.21 that may be required by this paper, or to credit any overpayment to Deposit Account No. 03-1952.**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name: Debra J. Glaister
Registration No: 33,888

Signature

Date

04/03/2002 DRYRNE 00000058 031952 10020450

01 FC:581

40.00 CH

Total number of pages comprising cover sheet, attachments and document: ten (10)

Mail documents to be recorded with required cover sheet information to:

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Office of Public Records
Box Assignments
Crystal Gateway 4, Room 335
Washington, D.C. 20231

pa-672477

PATENT
REEL: 012736 FRAME: 0550

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Guy Michael MILLER; Lesley A. BROWN; Ughetta DEL BALZO; Stephen FLAIM; Sekhar BODDUPALLI and Bing WANG (hereinafter referred to as the assignors), residing at 130 E. San Fernando Street, PH-12, San Jose, California 95112; 760 N. 7th Street, #2204, San Jose, California 95112; 15720 La Tierra Drive, Morgan Hill, California 95037; 4455 Foxhollow Court, San Diego, California 92130; 6237 Cahalan Avenue, San Jose, California 95123 and 10042 Byrne Avenue, Cupertino, California 95014, respectively, witnesseth:

WHEREAS, said assignors have invented certain new and useful improvements in COMPOSITIONS AND METHODS FOR THE PREVENTION AND TREATMENT OF CEREBRAL ISCHEMIA, set forth in an application for Letters Patent of the United States, having an oath or declaration executed on even date herewith; bearing Serial No. 10/020,450 and filed on December 14, 2001; and


WHEREAS, Galileo Laboratories, Inc., a corporation duly organized under and pursuant to the laws of California and having its principal place of business at 5301 Patrick Henry Drive, Santa Clara, California 95054 is desirous of acquiring the entire right, title and interest in and to said inventions and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

(Signature)  Date 2/15/02
Name: **Guy Michael MILLER**


STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2002, personally appeared Guy M. MILLER known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

please see attached certificate

Notary Public
My Commission Expires:

[seal]

(Signature)  Date 2-15-02
Name: **Lesley A. BROWN**

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2002, personally appeared Lesley A. BROWN known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

please see attached certificate

Notary Public
My Commission Expires:

[seal]

(Signature)  Date 2-15-02
Name: **Ughetta DEL BALZO**

STATE OF _____)
) ss.
COUNTY OF _____)

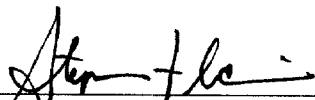
BEFORE ME, the undersigned authority, on this ____ day of 2-1, 2002, personally appeared Ughetta DEL BALZO known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

please see attached certificate

Notary Public
My Commission Expires:

[seal]

(Signature)



Date

2-15-02

Name: Stephen FLAIM

STATE OF)

) ss.

COUNTY OF)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2002, personally appeared Stephen FLAIM known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

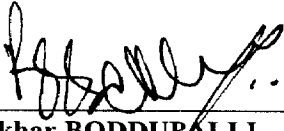
please see attached certificate

Notary Public

My Commission Expires:

[seal]

(Signature)



Date

2/15/02

Name: Sekhar BODDUPALLI

STATE OF)

) ss.

COUNTY OF)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2002, personally appeared Sekhar BODDUPALLI known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

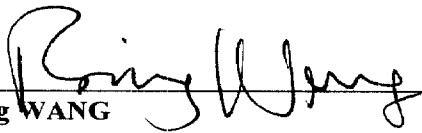
please see attached certificate

Notary Public

My Commission Expires:

[seal]

(Signature)



Date

2/15/02

Name: Bing WANG

STATE OF)

) ss.

COUNTY OF)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2002, personally appeared Bing WANG known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public

My Commission Expires:

[seal]

please see attached certificate

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara

ss.

On February 15, 2002, before me, Tammy L. Larson, Notary Public

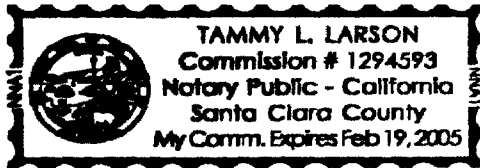
Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Guy Miller

Name(s) of Signer(s)

- ☐ personally known to me
☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: _____

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

SS.

On February 15, 2002

Date

, before me,

Tammy L. Larson, Notary Public

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

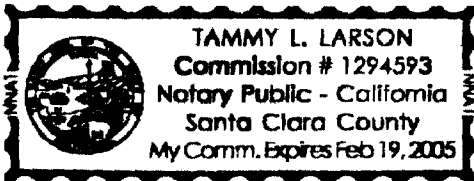
personally appeared

Lesley Ann Brown

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson

Signature of Notary Public

Place Notary Seal Above

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☐ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

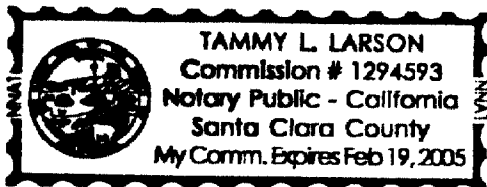
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara } ss.

On February 15, 2002, before me, Tammy L. Larson, Notary Public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Ughetta del Balzo,
Name(s) of Signer(s)



- ☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/~~are~~
subscribed to the within instrument and
acknowledged to me that ~~he/she/they~~ executed
the same in ~~his/her/their~~ authorized
capacity(ies), and that by ~~his/her/their~~
signature(s) on the instrument the person(s), or
the entity upon behalf of which the person(s)
acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson
Signature of Notary Public

Place Notary Seal Above

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☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

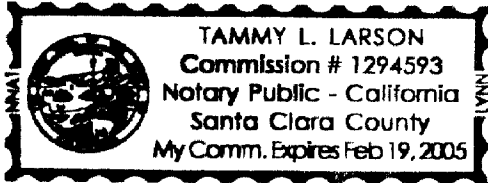
State of California

County of Santa Clara } ss.

On February 15, 2002, before me, Tammy L. Larson, Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Stephen Frederick Flaim
Name(s) of Signer(s)

- ☐ personally known to me
☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson
Signature of Notary Public

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☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

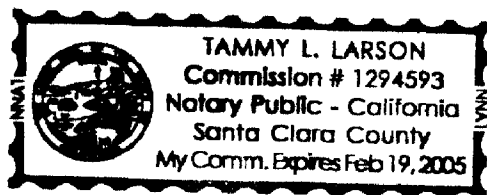
State of California

County of Santa Clara } ss.

On February 15, 2002, before me, Tammy L. Larson, Notary Public

personally appeared Sekhar S. Boddupali

- ☐ personally known to me
☒ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson
Signature of Notary Public

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☐ Other: _____

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

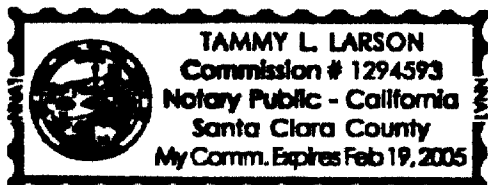
State of California

County of Santa Clara } ss.

On February 15, 2002, before me, Tammy L. Larson Notary Public
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Bing Wang
Name(s) of Signer(s)

- ☐ personally known to me
☒ proved to me on the basis of satisfactory evidence



to be the person~~(s)~~ whose name~~(s)~~ is/~~are~~ subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity~~(ies)~~, and that by his/~~her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

WITNESS my hand and official seal.

Tammy L. Larson
Signature of Notary Public

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Signer Is Representing: _____

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