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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Makoto Takahashi

3-18-02

2. Name and address of receiving party(ies)

Name: TDK Corporation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: 1-13-1, Nihonbashi, Chuo-ku

Tokyo 103-8272 Japan

City: _____ State: _____ Zip: _____

Execution Date: December 4, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/039,490

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Pamela G. Maher

Internal Address: Brown Raysman Millstein

Felder & Steiner, LLP

Street Address: 1880 Century Park East

Suite 711

City: Los Angeles State: CA Zip: 90067

6. Total number of applications and patents involved: ☒

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

02-4270

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Pamela G. Maher

Name of Person Signing

Signature

March 8, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

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Washington, D.C. 20231

04/04/2002 6T0N11 00000031 10039490

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PATENT
REEL: 012739 FRAME: 0248

ASSIGNMENT

This Assignment made by Makoto TAKAHASHI of Tokyo, JAPAN, Assignor, to TDK Corporation, a Japanese Corporation, Assignee, having a place of business at 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272, JAPAN.

WHEREAS, Assignor has invented a new and useful SEMICONDUCTOR LASER, METHOD OF PRODUCING THE SAME AND EVANESCENT OPTICAL HEAD USING THE SAME, for which an application for United States Letters Patent has been executed by him this day; and WHEREAS, Assignor believes himself to be the original first inventor of the invention disclosed and claimed as filed on October 26, 2001, Serial No. 10/039,490; and

WHEREAS, Assignee desires to acquire by formal, recordable assignment the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor hereby sells, assigns and transfers to Assignee, the entire right, title and interest in and to said invention, said application, and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise.

Further, Assignor agrees that, upon request and without further compensation, but at no expense to Assignor, he and his legal representative(s) and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that he has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as the assignee of the entire interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the
dates written hereinbelow.

Assignor(s):

Date: December 4, 2001

NAME: Makoto Takahashi
Makoto TAKAHASHI

Date: _____, 2001

NAME: _____