

04-04-2002



102044360

Docket No.: 1-23600

HEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James T. Worthington, II
Mark J. Koenig
Clifford N. Dodson
Rodney D. Jenkins

3-22-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Battelle Memorial Institute

Address: 505 King Avenue

11129 U.S. PRO
10/104946

03/22/02

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Columbus

State/Prov.: Ohio

Country: USA

ZIP: 43201-2693

Execution Date: March 13, 2002 & March 19, 2002

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 3-13 & 3-19-2002

Patent Application No.

Filing date

B. Patent No.(s)

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ted C. Gillespie

Registration No. 27,981

Address: MacMillan, Sobanski & Todd, LLC

One Maritime Plaza, Fourth Floor

720 Water Street

City: Toledo

State/Prov.: Ohio

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

13-0005

(Attach duplicate copy of this page if paying by deposit account)

04/05/2002 BYRNE 00000198 130005 10164946 43604

01 FC:581

40.00 CH

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ted C. Gillespie

Name of Person Signing

Signature

March 13, 2002

Date

21

Total number of pages including cover sheet, attachments, and

ASSIGNMENT

WHEREAS, we, James T. Worthington of Worthington, Ohio, Mark J. Koenig of Worthington, Ohio, Clifford N. Dodson of Dublin, Ohio Rodney D. Jenkins of Arlington, Ohio have invented a DELIVERY SYSTEM FOR CARBON DIOXIDE ABSORPTION MATERIAL, as described in an Application for Patent No. _____ filed with the United States Patent and Trademark Office on or about _____, having Attorney Docket No. 23600, and in and to said application, any patent applications claiming priority to said application, and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof, and all other lawful forms of protection for said inventions in the United States and its territories, and in any and all foreign countries.

AND, to comply with 37 CFR 3.21 for recordal of this assignment in the U.S. Patent and Trademark Office, I hereby authorize and request my attorney to insert above the filing information when it becomes known.

AND WHEREAS, Battelle Memorial Institute of 505 King Ave., Columbus, OH 43201-2693, a corporation of Ohio, is desirous of acquiring the entire interest in and to said invention and any Letters Patent to issue therefore;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other valuable considerations to us in hand paid, the receipt whereof is hereby acknowledged, we, James T. Worthington, Mark J. Koenig, Clifford N. Dodson, and Rodney D. Jenkins do hereby sell, assign and transfer unto the said Battelle Memorial Institute, its successors or assigns, the entire right, title and interest in and to said application and invention in the United States and all countries foreign thereto, including the right of priority under the International Convention of 1883 and later modifications thereof, and to any Letters Patent to issue therefor, and we hereby request the Commissioner of Patents and Trademarks of the United States to issue to said company any Letters Patent thereon, in accordance with the terms of this assignment.

We agree to execute any and all documents required by said company, its successors or assigns, for the prosecution of said application, or of any division, reissue or continuation thereof, or for securing foreign patents covering said invention, and also any documents required by it, its successors or assigns, more fully to vest in it or them the title to said invention, or to any application or patent that may be filed therefor or secured thereon. We hereby irrevocably appoint Battelle Memorial Institute as our attorney in fact to execute any documents on our behalf for procuring in its name and ownership patents in all countries for the invention.

IN TESTIMONY HEREOF, I hereunto set my hand and seal this 13TH day of

MARCH, 2002.

STATE OF CALIFORNIA
COUNTY OF SAN DIEGO SS.

James T. Worthington II

On this 13TH day of MARCH, 2002 before me appeared

JAMES T. NORTHINGTON II, to me personally known, and acknowledged the signing of the

above instrument to be his free act and deed.

(SEAL)

Notary Public

LCDR USN

IN TESTIMONY HEREOF, I hereunto set my hand and seal this _____ day of _____, 20__.

Mark J. Koenig

STATE OF _____ :
COUNTY OF _____ : SS.
:

On this _____ day of _____, 20__, before me appeared _____, to me personally known, and acknowledged the signing of the above instrument to be his free act and deed.

Notary Public

(SEAL)

IN TESTIMONY HEREOF, I hereunto set my hand and seal this _____ day of _____, 20__.

Clifford N. Dodson

STATE OF _____ :
COUNTY OF _____ : SS.
:

On this _____ day of _____, 20__, before me appeared _____, to me personally known, and acknowledged the signing of the above instrument to be his free act and deed. •

Notary Public

(SEAL)

_____, 20__.

STATE OF _____ :
 : SS.
COUNTY OF _____ :

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

(SEAL)

ASSIGNMENT

WHEREAS, we, James T. Worthington of Worthington, Ohio, Mark J. Koenig of Worthington, Ohio, Clifford N. Dodson of Dublin, Ohio Rodney D. Jenkins of Arlington, Ohio have invented a DELIVERY SYSTEM FOR CARBON DIOXIDE ABSORPTION MATERIAL, as described in an Application for Patent No. _____ filed with the United States Patent and Trademark Office on or about _____, having Attorney Docket No. 23600, and in and to said application, any patent applications claiming priority to said application, and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues and extensions thereof, and all other lawful forms of protection for said inventions in the United States and its territories, and in any and all foreign countries.

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We agree to execute any and all documents required by said company, its successors or assigns, for the prosecution of said application, or of any division, reissue or continuation thereof, or for securing foreign patents covering said invention, and also any documents required by it, its successors or assigns, more fully to vest in it or them the title to said invention, or to any application or patent that may be filed therefor or secured thereon. We hereby irrevocably appoint Battelle Memorial Institute as our attorney in fact to execute any documents on our behalf for procuring in its name and ownership patents in all countries for the invention.

_____, 20__.

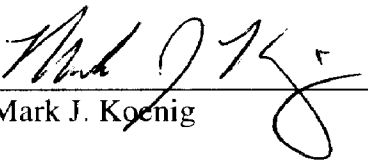
STATE OF _____ :
 : SS.
COUNTY OF _____ :

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

(SEAL).

IN TESTIMONY HEREOF, I hereunto set my hand and seal this 13th day of

March, 2022.


Mark J. Koenig

STATE OF Ohio

:

: SS.

COUNTY OF Franklin

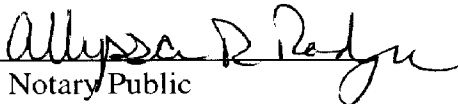
:

On this 13th day of March, 2022 before me appeared

Mark Koenig, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.



ALLYSSA R. RODGERS
Notary Public, State of Ohio
My Commission Expires 10-04-05


Notary Public

IN TESTIMONY HEREOF, I hereunto set my hand and seal this _____ day of _____, 20__.

Clifford N. Dodson

STATE OF _____ :
 : SS.
COUNTY OF _____ :

On this _____ day of _____, 20__, before me appeared _____, to me personally known, and acknowledged the signing of the above instrument to be his free act and deed.

(SEAL) _____
Notary Public

_____, 20__.

Rodney D. Jenkins

STATE OF _____ :
 : SS.
COUNTY OF _____ :

On this ____ day of _____, 20__, before me appeared

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

Notary Public

(SEAL)

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STATE OF _____ :
 : SS.
COUNTY OF _____ :

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above instrument to be his free act and deed.

(SEAL).

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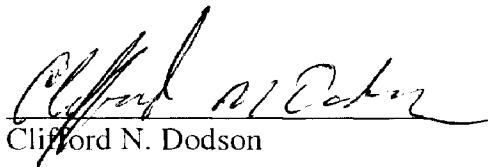
STATE OF _____ :
 : SS.
COUNTY OF _____ :

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

(SEAL)

IN TESTIMONY HEREOF, I hereunto set my hand and seal this 19 day of

MARCH, 2002


Clifford N. Dodson

STATE OF Hawaii

:
: SS.

COUNTY OF Honolulu

:

On this 19th day of March, 2002, before me appeared

Clifford N. Dodson, to me personally known, and acknowledged the signing of the

above instrument to be his free act and deed.



Notary Public

Myrna Peralta

My Commission expires 12/27/2002

(SEAL)

IN TESTIMONY HEREOF, I hereunto set my hand and seal this _____ day of _____, 20__.

Rodney D. Jenkins

STATE OF _____ :
 : SS.
COUNTY OF _____ :

On this _____ day of _____, 20__, before me appeared _____, to me personally known, and acknowledged the signing of the above instrument to be his free act and deed.

(SEAL) _____
Notary Public

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_____, 20__.

STATE OF _____ :
 : SS.
COUNTY OF _____ :

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

(SEAL).

_____, 20____.

STATE OF _____ :
 : SS.
COUNTY OF _____ :

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

(SEAL)

_____, 20__.

STATE OF _____ :
 : SS.
COUNTY OF _____ :

_____, to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.

(SEAL)

IN TESTIMONY HEREOF, I hereunto set my hand and seal this 13th day of

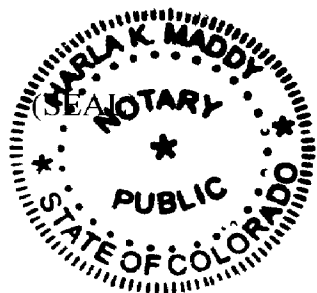
MARCH, 2002

Rodney D. Jenkins
Rodney D. Jenkins

STATE OF Colorado :
COUNTY OF Jefferson : SS.
:

On this 13th day of March, 2002 before me appeared

Rodney D. Jenkins to me personally known, and acknowledged the signing of the
above instrument to be his free act and deed.



Harla K. Maddy
Notary Public

**My Commission
Expires 03/16/03**