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RECORDATION FORM

PATENTS



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kwong Yu Chan
Xin Zhang
Chung Man Lam
Alfred C.C. Tseung

Pei Kang Shen
Jin Kua You

3-26-02

2. Name and address of receiving party(ies)

Name: The University of Hong Kong

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Street Address: Pokfulam Road

Hong Kong

City: _____ State: _____ Zip: _____

Execution Date: 3/12/2002, 3/13/2002, 3/15/2002

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/996,120

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen D. Scanlon

Internal Address: Jones, Day, Reavis & Pogue
North Point

Street Address: 901 Lakeside Avenue

City: Cleveland State: Ohio Zip: 44114

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathie J. Kopczyk

Name of Person Signing

Kathie J. Kopczyk
Signature

March 20, 2002
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 012753 FRAME: 0603

ASSIGNMENT

WHEREAS, new and useful inventions have been made by the undersigned in

METHODS AND APPARATUS FOR THE OXIDATION OF GLUCOSE MOLECULES

and are the subject of a patent application filed in the United States Patent and Trademark Office on November 28, 2001, under Application Serial No. 09/996,120, which application is identified as Jones, Day, Reavis & Pogue Docket No. 609920600024.

WHEREAS, The University of Hong Kong, a university of Hong Kong, located at Pokfulam Road, HONG KONG, hereinafter referred to as "assignee", is desirous of acquiring all right, title, and interest throughout the world in, to, and under said inventions and patent rights therefor.

NOW, THEREFORE, be it known that, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, all right, title, and interest, in the United States and throughout the world, in, to and under said inventions and all patents, patent applications, patent rights, and inventor's certificates thereof, therefor, and therein, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all right to sue for past infringement thereunder, all patents which may be granted for said inventions by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefor, have been and are hereby sold, assigned, transferred, and delivered unto assignee, its successors and assigns; and it is covenanted and agreed by the undersigned, and for executors, administrators, and legal representatives of the undersigned, that at assignee's request any and all applications, affidavits, assignments, and other instruments will be made, executed, and delivered as may be necessary, or desirable to secure for or vest in assignee, its successors or assigns, any improvement, inventions, right, title, interest, application, patent, patent right or other right or property covered by this assignment, and the United States Commissioner of Patents and Trademarks is hereby requested and authorized to issue any and all United States patents granted on any of said applications to assignee as owner of the entire right, title, and interest in, to, and under the same, and appropriately empowered officials of foreign countries are hereby authorized to issue any letters patent granted on any of said applications to assignee as owner of the entire right, title and interest in, to, and under the same.

The undersigned hereby grants the firm of Jones, Day, Reavis & Pogue the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, this Assignment has been executed below by the undersigned:

Today's Date

Tang Yuet Wai
Witness

12 March 2002

K. Y. Chan
Kwong Yu Chan

[Signature]
Witness

Address: Flat A, 15th Floor, Block 1, Pine Court, 23 Sha Wan Drive, Pokfulam, HONG KONG

Today's Date

Tang Yuet Wai
Witness

12 March 2002

Xin Zhang
Xin Zhang

Address: Room B114-501, 243 University Road, Shantou, Guangdong, CHINA 515063

Today's Date

K. Y. Chan
Witness

12 March 2002

[Signature]
Chung Man Lam

[Signature]
Witness

Address: Room 613, Yiu Wah House, Yiu Tung Estate, Shakeiwan, HONG KONG

Today's Date

Tag Yik Wei
Witness

[Signature]
Witness

12/3/2002

A C C Tseung
Alfred C.C. Tseung

Address: 60 Grove Avenue, London, N10 2AN,
UNITED KINGDOM

Today's Date

A C C Tseung
Witness

T Z Tian
Witness

13/3/2002

P. K. Shen
Pei Kang Shen

Address: Room 303, Block 17, 84 Wen Yi Road, Hangzhou,
CHINA 310012

Today's Date

Lin Zu-gang
Witness

Long Quanfeng
Witness

15/3/2002

J. K. You
Jin Kua You

Address: 140-701 Hu Bian South Road, Xiamen, CHINA
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