

04-11-2002



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TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

- (a) Donohoe, Brendan M.
(b) Bender, Theodore M.
(c) DuBois, Brian R.

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: Cardica, Inc.

Street Address: 171 Jefferson Drive

City: Menlo Park CA 94025

Country: USA

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: Completed 04/01/2002

Name and address of receiving party(ies):

Name:

Street Address: {Enter Street Address}

City: State: Zip:

Country:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No. 10/054,745

Title: Method and Apparatus for Creating an Opening in the Wall of a Tubular Vessel

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Brian A. Schar, Esq.

Internal Address: Cardica

Street Address: 171 Jefferson Drive

City: Menlo Park State: CA Zip: 94025

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Authorized to be charged to Deposit Account TBD
☒ Charge Deposit Account 502108 for any additional fees required for this conveyance and credit deposit account 502108 with any excess fees paid for this conveyance

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Brian A. Schar 45,076

Name of Person Signing

Signature

April 2, 2002
Date

Total number of pages comprising cover sheet: Two

1. Name of conveying parties:

- (d) Chamness, Scott O.
- (e) Yencho, Stephen A.
- (f) Vargas, Jaime S.
- (g) White, Nathan H.
- (h) Arcenio, Gregory B.
- (i) Klaubert, Heather L.
- (j) Mead Jr., Russell C.

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Brendan M. Donohoe	of	San Francisco, California
Theodore M. Bender	of	San Francisco, California
Brian R. DuBois	of	Redwood City, California
Scott O. Chamness	of	Menlo Park, California
Stephen A. Yencho	of	Menlo Park, California
Jaime S. Vargas	of	Menlo Park, California
Nathan H. White	of	Palo Alto, California
Gregory B. Arcenio	of	Redwood City, California
Heather L. Klaubert	of	Decatur, Georgia
Russell C. Mead, Jr.	of	Mountain View, California

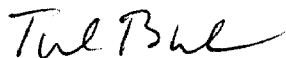
hereby sell, assign and transfer to Cardica, Inc., a Delaware corporation, having a place of business at 171 Jefferson Drive, Menlo Park, CA 94025, its successors and assigns, the entire right, title and interest throughout the world in our invention in

Method and Apparatus for Creating an Opening in the Wall of a Tubular Vessel

for which a United States Patent Application serial no. 10/054,745 was filed on January 22, 2002, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.


Brendan M. Donohoe

3.29.02
Date


Theodore M. Bender

4.01.02
Date


Brian R. DuBois

4-1-02
Date



Scott O. Chamness

3/29/02

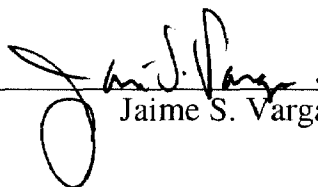
Date



Stephen A. Yencho

3/29/02

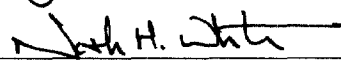
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Jaime S. Vargas

3/29/02

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Nathan H. White

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Heather L. Klaubert

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Russell C. Mead, Jr.



Date

Assignment
Dkt. No. 057

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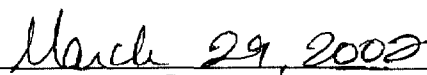
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