

04-10-2002

Docket No.: DKT 10086 / 2

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings → → → ▼ ▼

To the Honorable Commissioner of Patent

102050207

attached original documents or copy thereof.

1. Name of conveying party(ies):

Massimo Berri
Ronald Busink
Richard Riehle
Wim Stevels

3-22-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: 5th and 11th of March 2002

2. Name and address of receiving party(ies):

Name: Hercules Incorporated

Internal Address: Law Department

Street Address: 1313 North Market Street

City: Wilmington State: DE ZIP: 19894

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/013,049

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joanne Rossi

Internal Address: Hercules Incorporated

Street Address: 1313 North Market Street

04/09/2002 DRYRNE 00000181 081800 10013049

01 FEB 2002 40.00 CH
City: Wilmington

State: DE ZIP: 19894

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☒ Authorized to be charged to deposit account

8. Deposit account number:

08-1800

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joanne Rossi Reg.No.: 43,061

Name of Person Signing

Signature

11

Date

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

WHEREAS, We, Massimo Berri, Ronald Busink, Richard Riehle and Wim Stevels of:

Amersfoort, and Country of The Netherlands;

, Country of The Netherlands in the, in the County of New Castle and State of Delaware; and Country of The Netherlands;

have invented certain new and useful improvements in Reduced Byproduct High Solids Polyamine-Epihalohydrin Compositions

described

in a patent application executed by us on the even date herewith; and identified as DKT 10086/2, and of which improvements, in and for the United States, its territories, dependencies and possessions, and for all foreign countries, we are now the joint owners; and

WHEREAS, **HERCULES INCORPORATED**, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, and having its principal office and place of business in Wilmington, Delaware, is desirous of acquiring the entire right, title and interest, for the United States, its territories, dependencies and possessions, and for all foreign countries, in and to the said improvements, application, invention or inventions, and any Letters Patent that might be granted therefor or thereupon, the said **HERCULES INCORPORATED**, its successors and assigns, being hereinafter referred to as "HERCULES."

NOW, THEREFORE, be it known that for good and valuable consideration by us received from said **HERCULES**, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to and unto **HERCULES**, our entire right, title and interest, for and in the United States, its territories, dependencies and possessions, and for and in all foreign countries, in and to:

- (1) Said invention or inventions, and, in, to and under the above- identified patent application, and
- (2) All improvements and modifications of said invention or inventions which we, solely or jointly have made or conceived, or shall make or conceive, while we are employed by **HERCULES**, and
- (3) All Letters Patent which may be granted by the United States of America, and countries foreign thereto, for or upon said application, invention or inventions, or said

improvements or modifications, and in, to and under all reissues and extensions of said Letters Patent, and in, to and under all renewals, divisions, substitutes and continuations of the aforesaid application, and of any application filed in any country for any improvement or modification mentioned in clause (2) above;

and we hereby authorize and request the Commissioner of Patents to issue all Letters Patent of the United States of America on the said invention or inventions to HERCULES as assignee of the entire interest therein.

The inventions and the improvements and modifications mentioned in clauses (1), (2), and (3), above, are hereinafter referred collectively as "said inventions".

And, for the above-mentioned considerations, we do hereby covenant and agree:

First: That we will promptly and fully disclose to HERCULES all such improvements and modifications invented by us, and we will freely, fully and promptly communicate (in writing if requested) to HERCULES, or its nominee, all facts, information and evidence possessed or known by or available to us which concern "said inventions", and, at the request of HERCULES, that we will testify in interferences and other legal proceedings which may involve "said inventions".

Second: That we will render HERCULES, at its expense, all assistance which in its sole opinion is necessary to obtain and enforce patent protection in the United States, its territories, dependencies and possessions, and countries foreign thereto, for "said inventions". If we are not employed by HERCULES, a reasonable per diem charge shall be paid by HERCULES for time spent by us in such connection.

Third: That we will, at the request and at the expense of HERCULES, but without further compensation therefor, execute - (a) any and all original, divisional, continuation, substitute, renewal or other applications for Letters Patent of the United States, its territories, dependencies and possessions, and of foreign countries, for "said inventions", (b) any and all applications for the reissue or extension of any Letters Patent of the United States, its territories, dependencies and possessions, and of foreign countries that may be granted upon said application or for "said inventions", and (c) all assignments and other papers that HERCULES may deem necessary or expedient to secure the grant of each and all of said Letters Patent to HERCULES, or its nominee, and to protect and vest in HERCULES the entire right, title and interest, for the United States, its territories, dependencies and possessions, and for foreign countries, in and to "said inventions" and Letters Patent of the United States, its territories, dependencies and possessions, and for foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set my hand
respectively

this _____ day of _____, 2002.

Massimo Berri (L.S.)

COUNTRY OF

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I, the undersigned, a Notary Public in and for the
Country aforesaid, do hereby certify that Massimo Berri
_____, personally known to me to be the same person whose name
is subscribed to the foregoing instrument, appeared before me
this day in person and acknowledged that he executed the said
instrument as his free and voluntary act, for the uses and
purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial
seal this

_____ day of _____, 2002.

Notary signature expires _____

SEAL

IN TESTIMONY WHEREOF, I have hereunto set my hand
respectively

this _____ day of _____, 2002.

Country of

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) SS.
)

I, the undersigned, a Notary Public in and for the Country aforesaid, do hereby certify that Ronald Busink, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he executed the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal this _____ day of _____, 2002.

Notary signature expires ____

SEAL

IN TESTIMONY WHEREOF, I have hereunto set my hand respectively this 11th day of March, 2002.

Richard James Riehle
Richard James Riehle (L.S.)

. STATE OF DELAWARE

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COUNTY OF NEW CASTLE

I, the undersigned, a Notary Public in and for the State and County aforesaid, do hereby certify that Richard James Riehle, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he executed the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial
seal this 11th day of March, 2002.

Joseph G. Antall
Notary Signature expires 3-18-2003

SEAL

IN TESTIMONY WHEREOF, I have hereunto set my hand
respectively this _____ day of _____, 2002.

Wim Stevels (L.S.)

.Country of

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) SS.
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I, the undersigned, a Notary Public in and for the Country
aforesaid, do hereby certify that Wim Stevels, personally known
to me to be the same person whose name is subscribed to the
foregoing instrument, appeared before me this day in person and
acknowledged that he executed the said instrument as his free
and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial
seal this _____ day of _____, 2002.

Notary Signature expires _____

SEAL

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ASSIGNMENT

WHEREAS, We, Massimo Berri, Ronald Busink, Richard Riehle and Wim Stevels of:

Amersfoort, and Country of The Netherlands;

, Country of The Netherlands in the, in the County of New Castle and State of Delaware; and Country of The Netherlands;

have invented certain new and useful improvements in Reduced Byproduct High Solids Polyamine-Epihalohydrin Compositions

described

in a patent application executed by us on the even date herewith; and identified as DKT 10086/2, and of which improvements, in and for the United States, its territories, dependencies and possessions, and for all foreign countries, we are now the joint owners; and

WHEREAS, **HERCULES INCORPORATED**, a corporation duly organized and existing under and by virtue of the laws of the State of Delaware, and having its principal office and place of business in Wilmington, Delaware, is desirous of acquiring the entire right, title and interest, for the United States, its territories, dependencies and possessions, and for all foreign countries, in and to the said improvements, application, invention or inventions, and any Letters Patent that might be granted therefor or thereupon, the said **HERCULES INCORPORATED**, its successors and assigns, being hereinafter referred to as "HERCULES."

NOW, THEREFORE, be it known that for good and valuable consideration by us received from said **HERCULES**, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to and unto **HERCULES**, our entire right, title and interest, for and in the United States, its territories, dependencies and possessions, and for and in all foreign countries, in and to:

- (1) Said invention or inventions, and, in, to and under the above- identified patent application, and
- (2) All improvements and modifications of said invention or inventions which we, solely or jointly have made or conceived, or shall make or conceive, while we are employed by **HERCULES**, and
- (3) All Letters Patent which may be granted by the United States of America, and countries foreign thereto, for or upon said application, invention or inventions, or said

improvements or modifications, and in, to and under all reissues and extensions of said Letters Patent, and in, to and under all renewals, divisions, substitutes and continuations of the aforesaid application, and of any application filed in any country for any improvement or modification mentioned in clause (2) above;

and we hereby authorize and request the Commissioner of Patents to issue all Letters Patent of the United States of America on the said invention or inventions to HERCULES as assignee of the entire interest therein.

The inventions and the improvements and modifications mentioned in clauses (1), (2), and (3), above, are hereinafter referred collectively as "said inventions".

And, for the above-mentioned considerations, we do hereby covenant and agree:

First: That we will promptly and fully disclose to HERCULES all such improvements and modifications invented by us, and we will freely, fully and promptly communicate (in writing if requested) to HERCULES, or its nominee, all facts, information and evidence possessed or known by or available to us which concern "said inventions", and, at the request of HERCULES, that we will testify in interferences and other legal proceedings which may involve "said inventions".

Second: That we will render HERCULES, at its expense, all assistance which in its sole opinion is necessary to obtain and enforce patent protection in the United States, its territories, dependencies and possessions, and countries foreign thereto, for "said inventions". If we are not employed by HERCULES, a reasonable per diem charge shall be paid by HERCULES for time spent by us in such connection.

Third: That we will, at the request and at the expense of HERCULES, but without further compensation therefor, execute - (a) any and all original, divisional, continuation, substitute, renewal or other applications for Letters Patent of the United States, its territories, dependencies and possessions, and of foreign countries, for "said inventions", (b) any and all applications for the reissue or extension of any Letters Patent of the United States, its territories, dependencies and possessions, and of foreign countries that may be granted upon said application or for "said inventions", and (c) all assignments and other papers that HERCULES may deem necessary or expedient to secure the grant of each and all of said Letters Patent to HERCULES, or its nominee, and to protect and vest in HERCULES the entire right, title and interest, for the United States, its territories, dependencies and possessions, and for foreign countries, in and to "said inventions" and Letters Patent of the United States, its territories, dependencies and possessions, and for foreign countries.

IN TESTIMONY WHEREOF, I have hereunto set my hand
respectively

this 5 day of MARCH, 2002.

Massimo Berri

Massimo Berri (L.S.)

COUNTRY OF

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) SS.
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I, the undersigned, a Notary Public in and for the
Country aforesaid, do hereby certify that Massimo Berri
_____, personally known to me to be the same person whose name
is subscribed to the foregoing instrument, appeared before me
this day in person and acknowledged that he executed the said
instrument as his free and voluntary act, for the uses and
purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial
seal this

5 day of MARCH, 2002.

SEAL



Notary signature expires

IN TESTIMONY WHEREOF, I have hereunto set my hand
respectively

this 5 day of MARCH, 2002.



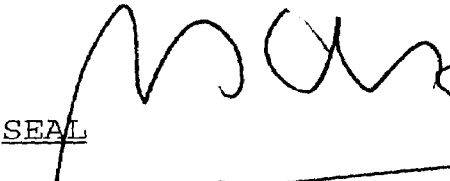
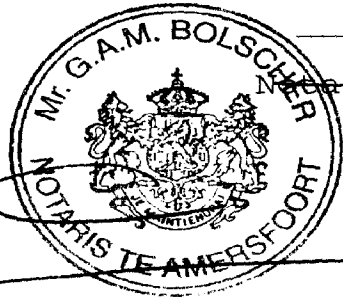
Ronald Busink (L.S.)

Country of

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I, the undersigned, a Notary Public in and for the Country aforesaid, do hereby certify that Ronald Busink_____, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he executed the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal this 5 day of MARCH, 2002.


SEAL  _____
Notary signature expires _____

IN TESTIMONY WHEREOF, I have hereunto set my hand respectively this 5 day of MARCH, 2002.

Richard James Riehle (L.S.)

. STATE OF DELAWARE

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COUNTY OF NEW CASTLE

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SS.
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I, the undersigned, a Notary Public in and for the State and County aforesaid, do hereby certify that Richard James Riehle, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he executed the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal this 5 day of MARCH, 2002.

~~Notary Signature expires~~ _____

SEAL

IN TESTIMONY WHEREOF, I have hereunto set my hand respectively this 5 day of MARCH, 2002.


Wim Stevels (L.S.)

.Country of

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) SS.
)

I, the undersigned, a Notary Public in and for the Country aforesaid, do hereby certify that Wim Stevels, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he executed the said instrument as his free and voluntary act, for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and notarial seal this 5 day of MARCH, 2002.



~~Notary Signature expires~~ _____

SEAL

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