

Form PTO-1595

(Rev. 03/01)

RECO

04-11-2002

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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102050697

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Waqidi Falicoff  
Juan C. Minano  
Yong Qiao

3-22-02

## 2. Name and address of receiving party(ies)

Name: LED PRODUCTS, INC.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

February 9, 2002, January 31, 2002,  
February 15, 2002, respectively

Execution Date:

Street Address: 13037 SIGNATURE POINT#184

City: SAN DIEGO State: CA Zip: 92130

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/028,662

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: JAMES D. MCFARLAND

Internal Address:

Street Address: 12555 HIGH BLUFF DRIVE  
SUITE 305

City: SAN DIEGO State: CA Zip: 92130

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-0948

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

JAMES D. MCFARLAND

Name of Person Signing

Signature

3/13/02

Date

Total number of pages including cover sheet, attachments, and documents: ☐ 6

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 012766 FRAME: 0885
 04/10/2002 LUNELLER 0000022510025662  
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Form PTO-1595  
(Rev. 03/01)RECORDATION FORM COVER SHEET  
**PATENTS ONLY**U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 5/31/2002)

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Roberto Alvarez**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

**February 9, 2002**

Execution Date:

## 2. Name and address of receiving party(ies)

Name: **LED PRODUCTS, INC.**

Internal Address:

Street Address: **13037 SIGNATURE POINT#184**City: **SAN DIEGO** State: **CA** Zip: **92130**Additional name(s) & address(es) attached? ☐ Yes ☐ No

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A. Patent Application No.(s)

**10/028,662**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JAMES D. MCFARLAND**

Internal Address:

Street Address: **12555 HIGH BLUFF DRIVE  
SUITE 305**City: **SAN DIEGO** State: **CA** Zip: **92130**6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41).....\$ **40.00**

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number: **50-0948**

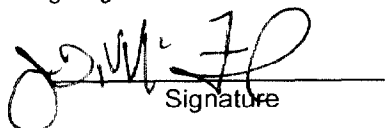
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Name of Person Signing



Signature

**3/13/02**  
DateTotal number of pages including cover sheet, attachments, and documents: **6**

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

**PATENT**  
**REEL: 012766 FRAME: 0886**

### ASSIGNMENT

WHEREAS, We, Waqidi Falicoff, a citizen of USA, residing at 13037 Signature Point #184, San Diego, CA, 92130, USA; Juan C. Miñano, a citizen of Spain, residing at Calle Sana Cruz de Marcendado, 31, 7, 28015 Madrid, Spain; Yong Qiao, a citizen of China, residing at 4 Bramble Way, Acton, MA, 01720, USA; and Roberto Alvarez, a citizen of USA, residing at 717 Adelaine Ave., South Pasadena, CA, 90130, USA have invented certain new and useful improvements relating to a(n) **OPTICAL TRANSFORMER FOR SMALL LIGHT SOURCES** for which an Application for Letters Patent was filed on **December 21, 2001**, and given **Application No. 10/028,662**.

AND WHEREAS, **LED PRODUCTS, INC.** (hereinafter ASSIGNEE), a **Delaware** Corporation, having a business address at **13037 Signature Point #184, San Diego, CA 92130** desires to acquire the entire right, title, and interest in and to the said improvements and the said Application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) each to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventor(s), do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue applications and any other patent applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

===== **FIRST (OR SOLE) INVENTOR** =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 9 day of Feb, 2002.

Waqidi Falicoff  
Waqidi Falicoff

STATE OF  
COUNTY OF

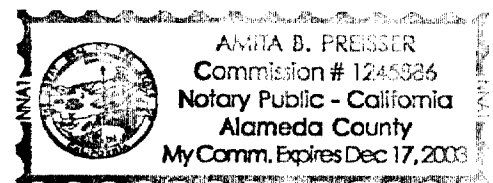
On Feb 9, 2002, before me, AMITA B. PREISSER personally appeared **Waqidi Falicoff** ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Amita B. Preisser  
Signature

In lieu of a Notary Public, the signature of **Waqidi Falicoff** is hereby witnessed by:



Witness Signature

Witness Name (Print)

Date

===== SECOND INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

30 day of January, 2002

Juan C. Miñano  
JUAN C. Miñano

STATE OF  
COUNTY OF

On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared **Juan C. Miñano** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Signature

In lieu of a Notary Public, the signature of **Juan C. Miñano** is hereby witnessed by:

Witness Signature

Witness Name (Print)

Date

===== THIRD INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

15<sup>th</sup> day of February, 2002

Yong Qiao  
Yong Qiao

STATE OF  
COUNTY OF

On February 15, 2002, before me, Robin K. Heathcock personally appeared **Yong Qiao** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

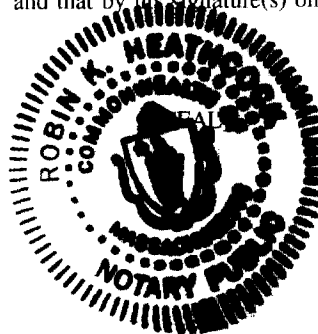
Signature

In lieu of a Notary Public, the signature of **Yong Qiao** is hereby witnessed by:

Witness Signature

Witness Name (Print)

Date



Yo, LUIS RUEDA ESTEBAN, Notario del Ilustre Colegio de esta Capital, con vecindad y residencia en la misma.

DOY FE:

Que considero legítima la firma y rúbrica que antecede de DON JUAN CARLOS MIÑANO DOMINGUEZ, por haber sido puesta en mi presencia. En Madrid, a 31 de Enero del año 2.002.-

25  
PTA  
0,156

SELLO DE  
LEGITIMACIONES Y  
LEGALIZACIONES

FE PÚBLICA  
NOTARIAL

NIHI PLUS FIDE  
A3608980



LIBRO INDICADOR Nº. 1/2002..... Aplicación Arancel Disposición Adicional 3ª. Ley 8/89  
NUMERO DE ORDEN 102..... DOCUMENTO SIN CANTIA



===== FOURTH INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this

9th day of FEBRUARY 2002

Roberto Alvarez  
Roberto Alvarez

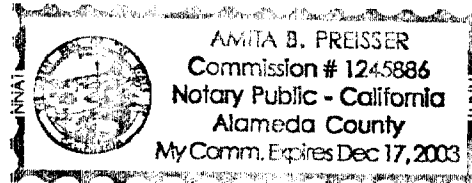
STATE OF  
COUNTY OF

On Feb 9, 2002, before me, AMITA B PREISSER personally appeared **Roberto Alvarez** personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

Amita B. Preisser  
Signature



In lieu of a Notary Public, the signature of **Roberto Alvarez** is hereby witnessed by:

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
Witness Name (Print)

\_\_\_\_\_  
Date

===== FIFTH INVENTOR =====

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_.

STATE OF  
COUNTY OF

On \_\_\_\_\_, before me, \_\_\_\_\_ personally appeared personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

\_\_\_\_\_  
Signature

In lieu of a Notary Public, the signature of \_\_\_\_\_ is hereby witnessed by:

\_\_\_\_\_  
Witness Signature

\_\_\_\_\_  
Witness Name (Print)

\_\_\_\_\_  
Date