

04-12-2002

FLH Ref. No.: 450100-03357

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

102052900

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Takeshi SUZUKI, Shigeho OGAWA, Yoshinori SHIMIZU, Hiromasa
WATANABE, Takashi TAJIRI, Nobuyuki AOKI, Shinji NAGASAKAAdditional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: SONY CORPORATION
7-35 Kitashinagawa 6-chome
Shinagawa-Ku, Tokyo 141-0001, JapanAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment
☐ Security Agreement
☐ Merger
☐ Change of Name
☒ Other - Void previously recorded Assignment (Reel/Frame
12434/0170 against Serial No. 09/901,984 and Record
Assignment against Serial No. 09/910,984Execution Date(s): September 20, September 20, September 20,
September 20, September 20, September 20 and September 24,
2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of
the application is:A. Patent Application No.(s) 09/910,984 filed July 23, 2001Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: WILLIAM S. FROMMER

Internal Address: FROMMER LAWRENCE & HAUG LLP

Street Address: 745 FIFTH AVENUE

City: NEW YORK State: N.Y. Zip: 101516. Total number of applications and
patents involved

1

7. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed
☐ Authorized to be charged to
deposit account 50-03208. Deposit account number:
(Attach duplicate copy of this page if paying by deposit
account)

Do not use this space

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.

WILLIAM S. FROMMER

Name of Person Signing

Signature

March 22, 2002

Date

Total number of pages including cover
sheet, attachments, and document: 8

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded,
including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet.
Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems,
PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011),
Washington, D.C. 20503.

01-11-2002

FLH Ref. No.: 450100-03357

1.4.08



101941936

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Takeshi SUZUKI, Shigeho OGAWA, Yoshinori SHIMIZU, Hiromasa
WATANABE, Takashi TAJIRI, Nobuyuki AOKI, Shinji NAGASAKAAdditional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: SONY CORPORATION
7-35 Kitashinagawa 6-chome
Shinagawa-Ku, Tokyo 141-0001, JapanAdditional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒
- Assignment
-
- ☐
- Security Agreement
-
- ☐
- Merger
-
- ☐
- Change of Name
-
- ☐
- Other

Execution Date(s): September 20, September 20, September 20,
September 20, September 20, September 20 and September 24,
2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of
the application is:A. Patent Application No.(s) 09/901,984 filed July 23, 2001Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom correspondence
concerning document should be mailed:

Name: WILLIAM S. FROMMER

Internal Address: FROMMER LAWRENCE & HAUG LLP

Street Address: 745 FIFTH AVENUE

City: NEW YORK State: N.Y. Zip: 101516. Total number of applications and
patents involved

1

7. Total fee (37 CFR 3.41) \$ 40.00☒ Enclosed
☐ Authorized to be charged to
deposit account 50-0320

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit
account)

Do not use this space

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true
copy of the original document.

GORDON KESSLER

Name of Person Signing

Signature

October 24, 2001

Date

Total number of pages including cover
sheet, attachments, and document: 3

01/10/2002 LMEILLER 00000095 09901984

01 FC:581

40.00 DP

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded,
including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet.
Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems,
PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011),
Washington, D.C. 20503.

WHEREAS, I, as below named inventors, residing at the addresses stated next to our names, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in

DISK RECORDING AND/OR REPRODUCING APPARATUS AND CONTROL METHOD THEREOF

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, SONY CORPORATION, a Japanese corporation, with offices at 7-35 Kitashinagawa 6-Chome, Shinagawa-Ku, Tokyo 141, Japan (hereinafter referred to as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the serial number and filing date of this application in the spaces that follow: Serial Number: 09/901,984, Filing Date: July 23, 2001.

This assignment executed on the dates indicated below.

09/910,984
W/A 3/20/02

Takeshi SUZUKI

Name of first or sole inventor

Execution date of U.S. Patent Application

Tokyo, Japan

Residence of first or sole inventor

Takeshi Suzuki

September 20, 2001

Signature of first or sole inventor

Date of this assignment

Shigeho OGAWA

Name of second inventor

Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of second inventor

Shigeho Ogawa

September 20, 2001

Signature of second inventor

Date of this assignment

Yoshinori SHIMIZU

Name of third inventor

Execution date of U.S. Patent Application

Tokyo, Japan

Residence of third inventor

Yoshinori Shimizu

September 20, 2001

Signature of third inventor

Date of this assignment

ADDITIONAL INVENTORS

Hiromasa WATANABE

Name of fourth inventor

Execution date of U.S. Patent Application

Tokyo, Japan

Residence of fourth inventor

*Hiromasa Watanabe**September 20, 2001*

Signature of fourth inventor

Date of this assignment

Takashi TAJIRI

Name of fifth inventor

Execution date of U.S. Patent Application

Saitama, Japan

Residence of fifth inventor

*Takashi Tajiri**September 20, 2001*

Signature of fifth inventor

Date of this assignment

Nobuyuki AOKI

Name of sixth inventor

Execution date of U.S. Patent Application

Kanagawa, Japan

Residence of sixth inventor

*Nobuyuki Aoki**September 20, 2001*

Signature of sixth inventor

Date of this assignment

Shinji NAGASAKA

Name of seventh inventor

Execution date of U.S. Patent Application

Chiba, Japan

Residence of seventh inventor

*Shinji Nagasaka**September 24, 2001*

Signature of seventh inventor

Date of this assignment


This typographical error in the Serial Number on the Assignment document has been corrected, and the correction duly dated and initialled by the undersigned as the attorney of record. A new Recordation Cover Sheet, and a check for \$40.00 are also enclosed.

It is respectfully requested that the PTO void the previously recorded assignment against Serial Number 09/901,984, and record the same against Application Serial Number 09/910,984, filed August 23, 2001.

Please charge additional fees, if any, or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 
William S. Frommer
Reg. No. 25,506
Tel. (212) 588-0800