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Shuit-Tong Lee (4 March 2002)
 Igor Bello (25 February 2002)
 Chun-Sing Lee (27 February 2002)
 Quan Li (7 March 2002)
 Naigui Shang (6 March 2002)

4-1-02

2. Name and address of receiving party(ies):

City University of Hong Kong
 Tat Chee Avenue
 Kowloon
 Hong Kong

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ NoAdditional name(s) & address(es) attached? ☐ Yes ☒ No

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A. Patent Application No.(s)

B. Patent No.(s)

10/023418

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael D. Schumann
 Address: Merchant & Gould P.C.
 P.O. Box 2903
 Minneapolis, MN 55402-0903

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed
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Michael D. Schumann
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22 March 2002
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PATENT
 REEL: 012770 FRAME: 0256

INSTRUCTIONS

ASSIGNMENT OF U.S. RIGHTS TO CORPORATION

WHEREAS,

Inventor(s) Name,
Address, Citizenship

1. Shuit-Tong Lee residing at City University of Hong Kong, Tat Chee Avenue, Kowloon, Hong Kong and a citizen of United States;
2. Igor Bello residing at City University of Hong Kong, Tat Chee Avenue, Kowloon, Hong Kong and a citizen of Canada;
3. Chun-Sing Lee residing at City University of Hong Kong, Tat Chee Avenue, Kowloon, Hong Kong and a citizen of China;
4. Quan Li residing at City University of Hong Kong, Tat Chee Avenue, Kowloon, Hong Kong and a citizen of China;
5. Naigui Shang residing at City University of Hong Kong, Tat Chee Avenue, Kowloon, Hong Kong and a citizen of China;

Date Patent Declaration
Signed and Title Serial
No. and filing date (if
known)

(herein called "THE UNDERSIGNED") have made an invention and have executed an application for Letters Patent of the United States on ___ for this invention, which application is entitled LARGE AREA SILICON CONE ARRAYS FABRICATION AND CONE BASED NANOSTRUCTURE MODIFICATION and which has been given Serial Number 10/023418 and the filing date of 17 December 2001;

Name of Corporation

AND WHEREAS City University of Hong Kong (herein called "ASSIGNEE"), a corporation organized under the laws of Hong Kong, and having an office and place of business at Tat Chee Avenue, Kowloon, Hong Kong

State or Country of
Incorporation and Address

wishes to acquire the entire right, title and interest in and to said invention and patent application and any Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, THE UNDERSIGNED hereby sell, assign and transfer to the ASSIGNEE, its successors and assigns, the entire right, title and interest for the United States in and to the invention disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and THE UNDERSIGNED hereby authorize and request the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to the ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

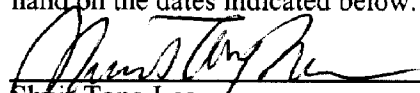
THE UNDERSIGNED hereby agree that THE UNDERSIGNED, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to THE UNDERSIGNED relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said invention, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

THE UNDERSIGNED represent and agree with said ASSIGNEE its successors and assigns, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by THE UNDERSIGNED, and that full right to convey the same as herein expressed is possessed by THE UNDERSIGNED.

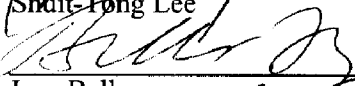
IN TESTIMONY WHEREOF, THE UNDERSIGNED have hereunto set their hand on the dates indicated below.

Each inventor must sign & date

Note: No legalization or other witness required


Shuit-Tong Lee


Mar. 4, 2002
Date


Igor Bello

Feb 25, 2002
Date


Chun-Sing Lee

Feb 27, 2002
Date


Quan Li

Mar 7, 2002
Date


Naigui Shang

March 6, 2002
Date