

05-24-2002

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TO THE ASSISTANT COMMISSIONER FOR PA:

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ents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

Edward J. Knobbe, Ph.D., Wah L. Lim, Ph.D. and Bruce A. Buckingham, M.D.

Additional name(s) of conveying party(ies) attached?  
( ) Yes (X) No

2. Name and address of receiving party(ies):

Name: Knobbe, Lim & Buckingham  
Internal Address:  
Street Address: 30 Canyon Fairway Drive  
City: Newport Beach State: CA ZIP: 92660

Additional name(s) of receiving party(ies) attached?  
( ) Yes (X) No

31105

3. Nature of conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) 1) December 6, 2001, 2) December 13, 2001 and 3) December 11, 2001

4. Application number(s) or Patcnt number(s):

( ) Application(s) filed herewith Execution Date(s):

(X) Patent Application No.: 09/960,855  
Filing Date: September 21, 2001

( ) Patcnt No.:  
Issue Date:

Additional numbers attached? ( ) Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Louis J. Knobbe  
KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995  
Internal Address: Sixteenth Floor  
Street Address: 620 Newport Center Drive  
City: Newport Beach State: CA ZIP: 92660  
Attorney's Docket No.: EKNOBBE.002A

7. Total fee (37 CFR 1.21(h)): \$40

- Enclosed
- Authorized to be charged to deposit account if any additional fees are required, or to credit any overpayment

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and parents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Louis J. Knobbe  
Name of Person Signing

Signature

March 6, 2002  
Date

18,780  
Registration No.

Total number of pages including cover sheet, attachments and document: 3

Mail documents to be recorded with required cover sheet information to:

03/12/2002 6TON11 00000071 09960855  
01 FC:581 40.00 DP

U.S. Patent and Trademark Office  
Attn: Assignment Division  
Crystal Gateway-4  
1213 Jefferson Davis Highway, Suite 320  
Arlington, VA 22202

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030502

Client Code: **EKNOBBE.002A**

12-26-2001

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(TO) THE ASSISTANT COMMISSIONER FOR PATENTS: Please record the attached original documents or copy thereof.

1. Name of conveying parties: (If multiple assignors, list numerically)

Edward J. Knobbe, Ph.D.; Wah L. Lim, Ph.D.; and  
Bruce A. Buckingham, M.D.

Additional name(s) of conveying party(ies) attached?  
( ) Yes (X) No

2. Name and address of receiving party:

Name: Knobbe, Martens, Olson and Bear, LLP  
Internal Address: 620 Newport Center Dr., Sixteenth Flr.  
Street Address: 620 Newport Center Dr., Sixteenth Flr.  
City: Newport Beach State: California ZIP: 92660

Additional name(s) of receiving party(ies) attached?  
( ) Yes (X) No

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- Merger
- Security Agreement
- Change of Name
- Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) December 6, 2001

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KNOBBE, MARTENS, OLSON & BEAR, LLP  
Customer No. 20,995  
Internal Address: Sixteenth Floor  
Street Address: 620 Newport Center Drive  
City: Newport Beach State: CA ZIP: 92660  
Attorney's Docket No.: EKNOBBE.002A

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Filing Date: September 21, 2001

( ) Patent No.:  
Issue Date:

Additional numbers attached? ( ) Yes (X) No  
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Name of Person Signing

Signature

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12/27/2001 GTOM11 00000034 09960855

01 FC:581

40.00 DP

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1213 Jefferson Davis Highway, Suite 320  
Arlington, VA 22202

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PATENT  
REEL: 012381 FRAME: 0837

PATENT  
REEL: 012773 FRAME: 0429

PATENT

Client Code: EKNOBBE.002A

Page 1

Application No.: 09/960,855  
Filing Date: September 21, 2001

ASSIGNMENT


WHEREAS, We, Edward J. Knobbe, Ph.D., a United States citizen, residing at 4010 Keri Way, Fallbrook, CA 92028, Wah L. Lim, Ph.D., a United States citizen, residing at 30 Canyon Fairway Drive, Newport Beach, CA 92660 and Bruce A. Buckingham, M.D., a United States citizen, residing at 761 Matadero, Palo Alto, CA 94306, have invented certain new and useful improvements in a METHOD AND APPARATUS FOR REAL-TIME CONTROL OF PHYSIOLOGICAL PARAMETERS; for which we have filed an application for Letters Patent in the United States, Application No. 09/960,855, filed September 21, 2001;

AND WHEREAS, Knobbe, Lim & Buckingham (hereinafter "ASSIGNEE"), a partnership, with its principal place of business at 30 Canyon Fairway Drive, Newport Beach, CA 92660, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 6 day of DEC, 2001.

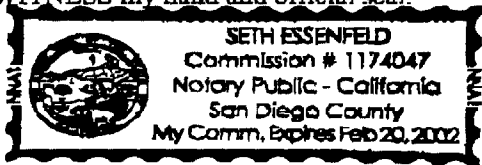
  
Edward J. Knobbe, Ph.D.

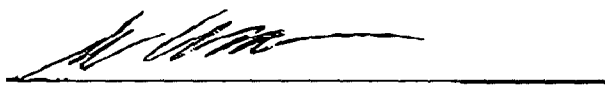
STATE OF CALIFORNIA }  
COUNTY OF SAN DIEGO } ss.

On 6 DEC 2001, before me, SETH ESSENFELD, personally appeared Edward J. Knobbe, Ph.D. personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]





PATENT

Application No.: 09/960,855  
Filing Date: September 21, 2001

Client Code: EKNOBBE.002A  
Page 2

Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of Dec, 2001

Wah L. Lim

Wah L. Lim, Ph.D.

STATE OF CALIFORNIA

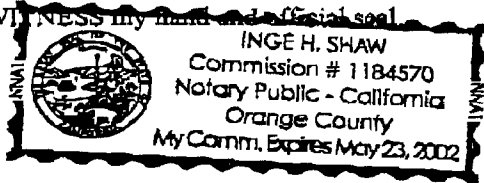
COUNTY OF ORANGE

ss.

On Dec. 12, 2001, before me, JANICE H. SHAW, personally appeared Wah L. Lim, Ph.D. ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



J. Shaw  
Notary Signature

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 11<sup>th</sup> day of DECEMBER, 2001

Bruce A. Buckingham, M.D.

Bruce A. Buckingham, M.D.

STATE OF CALIFORNIA

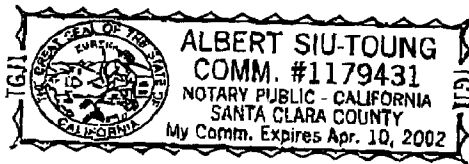
COUNTY OF SANTA CLARA

ss.

On 12-11-01, before me, ALBERT SIU-TOUNG, personally appeared Bruce A. Buckingham, M.D. ~~personally known to me~~ (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity(ies), and that by his signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



[Signature]  
Notary Signature

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112901

PATENT

RECORDED: 03/11/2002

REEL: 012773 FRAME: 0431