

04-15-2002

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

102054988

To the Honorable Commissioner of Patent... attached original documents or copy thereof.

1. Name of conveying party(ies):

Dany Berube
Pierre-Antoine Chapelon

3-29-02

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: March 13, 2002

2. Name and address of receiving party(ies):

Name: AFx inc.

Address: 47929 Fremont Blvd.

City: Fremont

State/Prov.: CA

Country: USA

ZIP: 94538

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

10/039873

January 3, 2002

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ross M. Carothers

Registration No. 44,593

Address: 47929 Fremont Blvd.

04/12/2002 DBYRNE 00000191 501894 10039873

01 FC:581 40.00 CH

City: Fremont

State/Prov.: CA

Country: USA

ZIP: 94538

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1894

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ross M. Carothers

Name of Person Signing

Signature

13-MARCH-02

Date

3

Total number of pages including cover sheet, attachments, and

ASSIGNMENT OF PATENT APPLICATION

Whereas I (we) the undersigned inventors(s) have invented certain new and useful improvements as set forth in the patent application entitled:

FLEXIBLE DEVICE FOR ABLATION OF BIOLOGICAL TISSUE

(Docket No.: **P032**) for which I (we) have executed an application for a United States Letters Patent which was filed on January 3, 2002 and bears the application number 10/039,873.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I (we) the undersigned inventor(s) hereby:

- 1) Sell(s), assigns(s) and transfer(s) to **AFx inc.**, a California corporation having a place of business at **47929 Fremont Blvd., Fremont, CA 94538**, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, applications(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuations(s), substitution(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assignees and other legal representative, and shall be binding upon the inventor(s), as well as each inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I (we) have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

1. Inventor Signature: _____

Date: 13 March - 02

Dany Berube

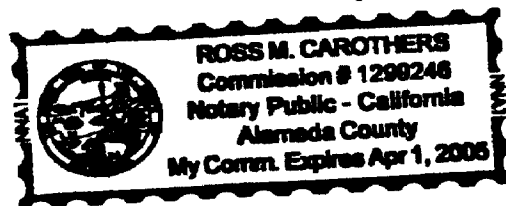
State of California)
) s.s.
County of Alameda)

On this 13th day of MARCH, in the year of 2002, before me, personally appeared Dany Berube, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

Notary Signature: _____

Ron M. Carothers



(SEAL)

2. Inventor Signature: _____

Date: 13 Mar 02

Pierre-Antoine Chapelon

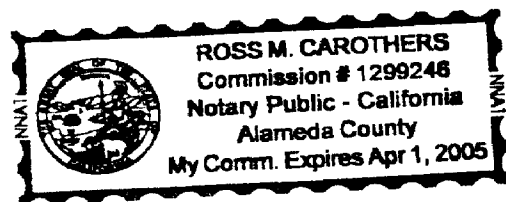
State of California)
) s.s.
County of Alameda)

On this 13th day of MARCH, in the year of 2002, before me, personally appeared Pierre-Antoine Chapelon, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS my hand and official seal.

Notary Signature: _____

Ron M. Carothers



(SEAL)