

04-17-2002



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U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jack R. KELLY February 27, 2002
Haiji J. YUAN February 25, 2002
Qingyu (Tom) LI February 25, 2002

2. Name and address of receiving party(ies)

Name: **Coadna Photonics, Inc.**

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: **90 Great Oaks Boulevard, Suite 203**City: **San Jose** State: **CA** Zip: **95119**Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: **See above**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is _____

A. Patent Application No.(s)

10/035,804

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Cooley Godward LLP**Internal Address: **Patent Group**Street Address: **Five Palo Alto Square****3000 El Camino Real**City: **Palo Alto** State: **CA** Zip: **94306-2155**6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41)\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account8. Deposit account number: **03-3117**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William S. Galliani, Reg. 33,885

Name of Person Signing

Signature

3-20-02

Date

Total number of pages including cover sheet, attachments, and documents: **[3]**

Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on **March 20, 2002**.

04/16/2002 TDIAZ1 00000220 10035804

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By: _____

Dolores McKay
 Dolores McKay

PATENT
REEL: 012786 FRAME: 0257

**ASSIGNMENT
(Joint)**

Jack R. KELLY, residing at 2623 Golden Gate Circle, Stow, Ohio 44224;
Haiji J. YUAN, residing at 10705 Minette Drive, Cupertino, California 95014;
Qingyu (Tom) LI, residing at 10554 Santa Lucia Road, Cupertino, California 95014;
(each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States, entitled:

**APPARATUS AND METHOD FOR ACHROMATIC LIQUID CRYSTAL
ELECTRO-OPTIC MODULATION**

and which is a:

- ☒ non-provisional application
- (a) ☐ to be filed herewith; or
- (b) ☒ bearing Application No. 10/035,804 , and filed on **December 28, 2001**.

WHEREAS, **Coadna Photonics, Inc.**, a corporation duly organized under and pursuant to the laws of **California**, and having its principal place of business at **90 Great Oaks Boulevard, Suite 203, San Jose, California 95119** (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to these application(s); and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1) or (2);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Paris Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part; and

(f) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) – (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein.


The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY GODWARD L.L.P. to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.


Date: 2/27/2002

By:


Jack R. KELLY


Date: 2/25/2002

By:


Haiji J. YUAN

Date: 02-25-02

By:


Qingyu (Tom) LI