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Form PTO-1595 **FINANCE SECTION** RE
(Rev. 03/01)
OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Krzysztof Matyjaszewski, Jean-Francois Lutz,
Hosei ShinodaAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: March 25, 2002, March 25, 2002 and
March 25, 2002

2. Name and address of receiving party(ies)

Name: Carnegie Mellon University

Internal Address: _____

Street Address: 5000 Forbes AvenueCity: Pittsburgh State: PA Zip: 15213Additional name(s) and address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/034,908

B. Patent No.(s) _____

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed.

Name: Bernard G. Pike, Esq.Internal Address: Kirkpatrick & Lockhart LLPHenry W. Oliver BuildingStreet Address: 535 Smithfield StreetCity: Pittsburgh State: PA Zip: 15222-23126. Total number of applications and patents involved: 1

7. Total fee (37 CFR

3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bernard G. Pike

Name of Person Signing


SignatureApril 2, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 9Mail documents to be recorded with required cover sheet information to:
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04/16/2002 04:00 PM 00000086 10034906

01 FD:561

40.00 DP

PI-831880 v1 0201710-0730

PATENT
REEL: 012786 FRAME: 0766

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

PATENT
REEL: 012786 FRAME: 0767

hereby sells, assigns and transfers to

ASSIGNEE:

Carnegie Mellon University
(type or print name of ASSIGNEE)

5000 Forbes Avenue
Address

(a non-profit Pennsylvania organization)
Nationality

Pittsburgh, PA 15213

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled **PROCESS FOR PREPARATION OF GRAFT POLYMERS**

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: _____

Mailed: _____

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no.: 10/034,908 filed on December 21, 2001

(e) ☐ International application no. PCT / _____ / _____
filed on _____

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.


ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

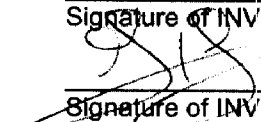
IN WITNESS WHEREOF, We have hereunto set hand and seal on the date(s) indicated below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Krzysztof Matyjaszewski
(type name of Inventor)

 3/25/02
Signature of INVENTOR Date

Jean-Francois Lutz
(type name of Inventor)

 3/25/02
Signature of INVENTOR Date

Hosei Shinoda
(type name of Inventor)

Signature of INVENTOR Date

☐ Notarization or Legalization Page Added.

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

Attorney's Docket No. 001153**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or
☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Krzysztof Matyaszevski
(type or print name of Inventors)

9 Queens Court
Pittsburgh, PA 15238 USA
Address Nationality

Jean-Francois Lutz

6204 5th Avenue, Apartment 3B
Pittsburgh, PA 15238

Hosei Shinoda

C38-102 Mitsui Shataku, Yushudainishi 2-4-1,
Ichihara City, Chiba 299-0125, Japan Japan

hereby sells, assigns and transfers to

ASSIGNEE:

Carnegie Mellon University

(Type or print name of ASSIGNEE)

5000 Forbes Avenue

Address

(a non-profit Pennsylvania organization)

Nationality

Pittsburgh, PA 15213

and the successors, assigns and legal representatives of the ASSIGNEE:

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided _____ percent (_____ %) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled **PROCESS FOR PREPARATION OF GRAFT POLYMERS**

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on _____

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: _____

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filed on _____

(f) ☐ U.S. patent no. _____ issued _____

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(Assignment of Invention--Multiple Inventors [16-3.2]--page 2 of 2)

PATENT

REEL: 012786 FRAME: 0771

- (g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal on the date(s) indicated below.

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Krzysztof Matyaszewski
(Type name of Inventor)

Jean-Francois Lutz
(Type name of Inventor)

Hosoi Shinoda
(Type name of Inventor)

Signature of INVENTOR Date

Signature of INVENTOR Date

Hosoi Shinoda 3/25/02
Signature of INVENTOR Date

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NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

(Assignment of Invention-Multiple Inventors [16-3.2]-page 3 of 3)

TOTAL P.07

PATENT

RECORDED: 04/02/2002

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