

4/18/02 IN THE UNITED STATES

04-17-2002



102058859

MARK OFFICE

Docket No.: SUN-P6856

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Assignment Branch, North Tower Building, Suite 10C35, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	04/08/02	Name of Person Making the Deposit:	ANTHONY CHOU	Signature of the Person Making the Deposit:	<i>Anthony Chou</i>
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The Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please record the attached original document(s) or copy(ies) thereof:

Transmittal of an Assignment

1. Name of conveying party(ies): Qingwen Cheng, Heng-Ming Hsu, Rajesh Kumar Arcot, James F. Nelson and Sai A. Allavarpu

Serial No.:

Filed: 04/08/02

Group Art Unit:

Examiner:

2. Name and Address of SUN MICROSYSTEMS, INC.

Receiving party(ies) 901 San Antonio Rd., Palo Alto, CA 94303

3. Nature of Conveyance: Assignment

Execution Date: 04/03/02, 04/03/02, 04/03/02, 04/08/02, 04/05/02

4. New Patent Application entitled: A METHOD AND SYSTEM FOR IMPLEMENTING POLICIES, RESOURCES, AND PRIVILEGES FOR USING SERVICES IN LDAP

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Anthony C. Murabito

Address: Wagner, Murabito & Hao LLP

Two North Market Street, Third Floor, San Jose, CA 95113

Phone: (408) 938-9060

6. Total Number of applications and patents involved: ONE

7. Fee Calculation (for other than a small entity)

Assignment Recordation Fee, per property

1 X \$40.00

\$40.00

Total Fees (37 CFR 3.41)

X The amount of \$ 40.00 is enclosed

8. At any time during the pendency of this application, please charge any additional fees required or credit any overpayments to Deposit Account 23-0085. A duplicate copy of this transmittal is enclosed.

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Name Anthony C. Murabito
Reg. No. 35,295

Date: April 8, 2002

Assignment to Sun Microsystems, Inc.

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Qingwen Cheng

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 901 San Antonio Road, Palo Alto, CA 94303, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

A METHOD AND SYSTEM FOR IMPLEMENTING POLICIES, RESOURCES, AND PRIVILEGES FOR
USING SERVICES IN LDAP

☒ filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

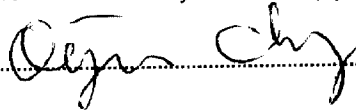
Serial No.: _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of Sun, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Sun, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Sun.

I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: _____



Date: _____

4/3/2002

Assignment to Sun Microsystems, Inc.

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Heng-Ming Hsu

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 901 San Antonio Road, Palo Alto, CA 94303, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

A METHOD AND SYSTEM FOR IMPLEMENTING POLICIES, RESOURCES, AND PRIVILEGES FOR USING SERVICES IN LDAP

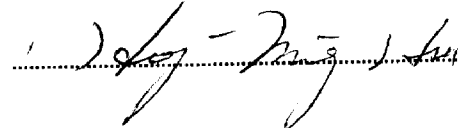
X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

Serial No.: _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, I/we agree promptly upon request of Sun, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional or reissue, or other papers which may be necessary or desirable fully to secure to Sun, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent provided the expenses which may be incurred by me/us in lending such cooperation and assistance are paid by Sun.

I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature:  Date: 4/3/2002

Assignment to Sun Microsystems, Inc.

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

Rajesh Kumar Arcot

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 901 San Antonio Road, Palo Alto, CA 94303, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

A METHOD AND SYSTEM FOR IMPLEMENTING POLICIES, RESOURCES, AND PRIVILEGES FOR
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I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: A. Rajesh Kumar Date: 04/03/2002

Assignment to Sun Microsystems, Inc.

In consideration of good and valuable consideration, receipt of which is hereby acknowledged, I/we

James F. Nelson

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 901 San Antonio Road, Palo Alto, CA 94303, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

A METHOD AND SYSTEM FOR IMPLEMENTING POLICIES, RESOURCES, AND PRIVILEGES FOR
USING SERVICES IN LDAP

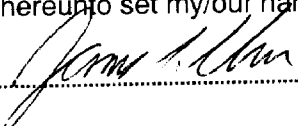
X filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

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In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature:  Date: 4/8/02

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Sai V. Allavarpu

do hereby sell, assign, and transfer unto Sun Microsystems, Inc. (hereinafter called Sun), a Delaware Corporation having its principal place of business at 901 San Antonio Road, Palo Alto, CA 94303, and its successors and assigns, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements, including the right of priority in, to, and under, the application for the United States patent entitled:

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Serial No.: _____ filed on _____ and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

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I/we further covenant with Sun, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned;

In witness whereof, I/we hereunto set my/our hand(s) and seal:

Inventor's Signature: _____

Sai V. Allavarpu

Date: _____

4/5/02