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04-18-2002

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To the Assistant Commissioner of Patents. 102060467

Documents or copy thereof.

1. Name of conveying party(ies):

**Balakrishna Raghavendra Iyer  
Charles William Lickel**

 Additional name(s) of conveying  
party(ies) attached \_\_ Yes **XX** No

2. Name and address of receiving party(ies):

Name: **IBM Corporation**
 Street Address: **New Orchard Road**  
City: **Armonk** State: **NY** ZIP: **10504**

 Additional name(s) & address(es) attached?  
\_\_ Yes **XX** No

3. Nature of conveyance:

**XX** Assignment
 November 30, 2001 and  
Execution Date: **November 23, 2001**

4. Application number(s) or patent number(s):

If this document is being filed together with a new patent application, the execution date of the patent application is:

 A. Patent Application No.(s)  
**09/966,624** filed **September 27, 2001**

B. Patent No.(s)

Additional numbers attached? \_\_ Yes **XX** No

5. Name and address of party to whom correspondence concerning document should be mailed:

 Name: **Joseph A. Sawyer, Jr.**  
Internal  
Address: **Sawyer Law Group LLP**  
Street  
Address: **P.O. Box 51418**  
**Palo Alto, California 94303**
6. Total Number of applications and patents involved: **One**
 7. Total fee (37 CFR 3.41) \$ **40.00**  
\_\_ Enclosed  
**XX** Authorized to be charged to deposit account

 8. Deposit Account Number: **02-2120** (SawyerLawGroup)  
(Attach copy of this page)

9. Statement and signature.

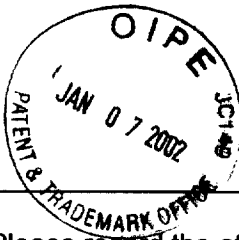
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**March 27, 2002**  
Date

Joseph A. Sawyer, Jr., Reg. No. 30,801

 Total number of pages including cover sheet, attachments, and documents: **2**
**PATENT  
REEL: 012790 FRAME: 0661**

1/7/2  
RECORDATION FORM COVER SHEET  
PATENTS ONLY



Attorney Docket: SVL920010077US1/2307P

01-14-2002

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To the Assistant Commissioner of Patents: Please record the attached

1. Name of conveying party(ies):

**Balakrishna Raghavendra Iyer**  
**Charles William Lickel**

1-7-02

Additional name(s) of conveying  
party(ies) attached \_\_Yes XX No

2. Name and address of receiving party(ies):

Name: **IBM Corporation**

Street Address: **New Orchard Road**  
City: **Armonk** State: **NY** ZIP: **10504**

Additional name(s) & address(es) attached?  
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3. Nature of conveyance:

XX Assignment

November 30, 2001 and  
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involved: **One**

7. Total fee (37 CFR 3.41) **\$ 40.00**  
\_\_ Enclosed  
XX Authorized to be charged to deposit account

8. Deposit Account Number: 02-2120 (SawyerLawGroup)  
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01/14/2002 AMHED1 00000397 022120 SAWYER J  
01 FC:581 40.00 CH

9. Statement and signature.

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12/7/01  
Date

Joseph A. Sawyer, Jr., Reg. No. 30,801

Total number of pages including cover sheet, attachments, and documents: 2

**PATENT**  
**REEL: 012790 FRAME: 0662**

**ASSIGNMENT**

Whereas, we,

- |     |   |   |
|-----|---|---|
| (1) | <b>Balakrishna Raghavendra Iyer</b><br>County of <b>Santa Clara</b> | City of <b>San Jose</b> ,<br>State of <b>California</b> , |
| (2) | <b>Charles William Lickel</b><br>County of <b>Santa Clara</b>       | City of <b>San Jose</b> ,<br>State of <b>California</b> , |

have invented certain improvements in

TITLE      **METHOD AND SYSTEM FOR UTILIZING A DATABASE AS A SERVICE**

and executed a United States Patent Application therefor on the following date(s):

(1) <u>11/30/</u> , 2001	(2) <u>11/23</u> , 2001
--------------------------	-------------------------

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed:

	<u>LOCATION</u>	<u>DATE</u>	<u>SIGNATURE</u>
(1)	<u>SAN JOSE</u>	<u>11/30/2001</u>	<u>Balakrishna Raghavendra Iyer</u> Balakrishna Raghavendra Iyer
(2)	<u>SAN JOSE</u>	<u>11/23/01</u>	<u>Charles William Lickel</u> Charles William Lickel