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RECORD



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J.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

George Frey \*3/23/02  
John L. White \*3/19/02  
Steven D. DeRidder \*3/19/02  
Harald Ebner 4/2/02

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: See Above \*

2. Name and address of receiving party(ies)

Name: SDGI Holdings, Inc.

Internal Address: \_\_\_\_\_

Street Address: 300 Delaware Ave., Suite 508

City: Wilmington State: Delaware Zip: 19801

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is: See Above \*

A. Patent Application No.(s)

B. Patent No.(s)

Additional number(s) attached? ☐ Yes ☒ No

10/120104

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas A. Collier

Internal Address: Woodard, Emhardt, Naughton, Moriarty  
& McNett

Street Address: Bank One Center/Tower, 111 Monument

Circle, Suite 3700

City: Indianapolis State: Indiana Zip: 46204-5137

6. Total number of applications and patents involved

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

23-3030

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas A. Collier

Name of Person Signing

Signature

April 10, 2002

Date

Total number of pages including cover sheet, attachments, and document: ☐

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

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PATENT  
REEL: 012792 FRAME: 0111

## ASSIGNMENT

WHEREAS, George Frey of 2 Sunrise Drive, Englewood, Colorado 80110; John L. White of 8017 Sandywood Lane, Bartlett, Tennessee 38133; Steven D. DeRidder of 6255 Skylight Drive, Bartlett, Tennessee 38135; and Harald Ebner of AM Streubügel 2, 94469 Deggendorf, GERMANY hereinafter referred to as Assignors, have made a new and useful invention in **DEVICES AND TECHNIQUES FOR A POSTERIOR LATERAL DISC SPACE APPROACH** for which Assignors have made or will make application for Letters Patent of the United States; and

WHEREAS, SDGI Holdings, Inc., hereinafter referred to as Assignee, a corporation of the State of Delaware having a place of business at 300 Delaware Ave., Suite 508, Wilmington, Delaware 19801 desires to acquire all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefor and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under said invention disclosed, described and claimed or intended so to be in said application, and in, to and under said application for United States Letters Patent, and any and all other applications thereon and arising therefrom, including any and all divisions and continuations thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors hereby authorize and request the firm of Woodard, Emhardt, Naughton, Moriarty & McNett of Bank One Center/Tower, 111 Monument Circle, Suite 3700, Indianapolis, Indiana 46204-5137 to insert in parentheses (Serial No. \_\_\_\_\_, Filed \_\_\_\_\_) the date and serial number of said application when officially known, and Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner of Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to sign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignors' hand this 23<sup>rd</sup> day of MARCH 2002.

  
George Frey

WITNESS Assignors' hand this 19<sup>th</sup> day of March 2002.

  
John L. White

WITNESS Assignors' hand this 19<sup>th</sup> day of March 2002.

  
Steven D. DeRidder

WITNESS Assignors' hand this 2<sup>nd</sup> day of April 2002.

  
Harold Ebner