

To the Honorable Co
Please record the att



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Attorney Dkt. No.: 38470/241807

1. Name of conveying party(ies):

Yi Sun
Stephen T. Kowel
Gregory P. Nordin

4-9-02

Additional name(s) of conveying party(ies) attached? Yes ☐ No ☒

2. Name and address of receiving party(ies):

University of Alabama in Huntsville
235 Madison Hall
Huntsville, Alabama 35899

3. Nature of conveyance:

- ☒ Assignment
☐ Merger
☐ Security Agreement
☐ Change of Name
☐ Other

Execution Date: (1) March 12, 2002;
(2) March 18, 2002; (3) March 4, 2002

Additional name(s) & address(es) attached? Yes ☐ No ☒4. Application No. 10/053,940Patent No.

If this document is being filed together with a new application, the execution date of the application
is:

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

ALSTON & BIRD LLP
Bank of America Plaza
101 South Tryon Street, Suite 4000
Charlotte, NC 28280-4000

6. Total number of applications and patents involved:

One (1)7. Total fee (37 CFR 3.41) \$40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 16-0605

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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Name of Person Signing: Andrew T. SpenceReg. No. 45,6993/26/02

Date

Total number of pages including cover sheet, attachments, and document: Five (5)

ASSIGNMENT

THIS ASSIGNMENT, made by us, Yi Sun, citizen of the United States of America, residing at Huntsville, Alabama; Stephen D. Kowel, citizen of the United States of America, residing at Cincinnati, Ohio; Gregory P. Nordin, citizen of the United States of America, residing at Huntsville, Alabama, respectively;

JSK
3-18-02

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in LIQUID CRYSTAL ADAPTIVE LENS WITH CLOSED-LOOP ELECTRODES AND RELATED FABRICATION METHODS AND CONTROL METHODS for which an application for United States Letters Patent has been filed in the United States Patent and Trademark Office on January 22, 2002, under Application No. 10/053,940, **and which application claims priority from Application No. 60/264,636, filed January 26, 2001, all applications listed above being hereinafter referred to as the "application";** and

WHEREAS, UNIVERSITY OF ALABAMA IN HUNTSVILLE, an Alabama educational institution having a principal place of business at Huntsville, State of Alabama, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues, reexaminations, or extensions thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as fully

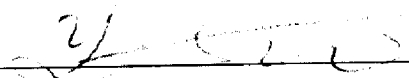
and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made.

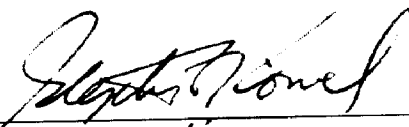
We hereby request that said Letters Patent be issued in accordance with this assignment.

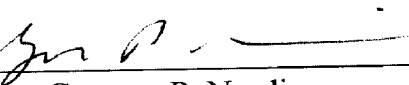
We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this twelveth
day of 3/12 March, 2002.
ys

 (SEAL)
Yi Sun

 (SEAL)
Stephen D. Kowel
S.T.

 (SEAL)
Gregory P. Nordin

STATE OF CALIFORNIA)

COUNTY OF SANTA CLARA)

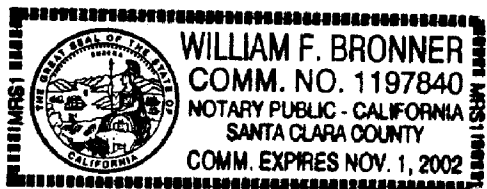
I, WILLIAM F. BRONNER, a Notary Public for said County and State, do hereby certify that Yi Sun personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 12TH day of MARCH, 2002.

(Official Seal)

William F. Bronner
Notary Public
WILLIAM F. BRONNER

My commission expires: NOV 1, 2002



STATE OF Ohio)

COUNTY OF Hamilton)

I, Theresa Ambrosius, a Notary Public for said County and State, do hereby certify that Stephen D. Kowel personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 18th day of March, 2002.

(Official Seal)

Theresa Ambrosius
Notary Public

My commission expires: 7-23-2003

STATE OF Alabama)

COUNTY OF Madison)

I, Michael I. Spearing, a Notary Public for said County and State, do hereby certify that Gregory P. Nordin personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 4 day of March, 2002.

(Official Seal)

Michael I. Spearing
Notary Public

My commission expires: _____

CLT01/4521729v1