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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gunter FUHR and Rolf HAGEDORN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 14 February 2002

2. Name and address of receiving party(ies)

Name: EVOTEC OAI AG

Internal Address:

Street Address: Schnackenburgallee 114

D-22525

City: Hamburg State: Zip:

Country: Germany

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 10/009,911

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Customer No. 03000

Internal Address: Attn: David M. Tener

Street Address:

City: State: Zip:

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

03-0075

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David M. Tener

Name of Person Signing

Signature

March 28, 2002

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

04/18/2002 GTOM11 00000263 030075 10009911

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PATENT
REEL: 012797 FRAME: 0098

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned GÜNTER FUHR and ROLF HAGEDORN, residing at Kavalierstrasse 15, D-13187 Berlin, Germany, and Wartiner Strasse 16, D-13057 Berlin, Germany, respectively, hereby sell, assign and transfer to EVOTEC OAI AG, a corporation of Germany, having a place of business at Schnackenburgallee 114, D-22525 Hamburg, Germany, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States, in and to any and all inventions which are disclosed in the United States national stage application of International Application No. PCT/EP00/004063, and entitled METHOD AND DEVICE FOR ACCOMMODATING SAMPLES ON CRYOSUBSTRATES, and in and to said national stage application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States on said inventions.

I/We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to, but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me/us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in

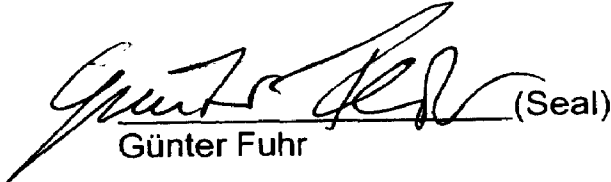
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securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all United States applications for patents and all United States patents on said inventions, in said Assignee.

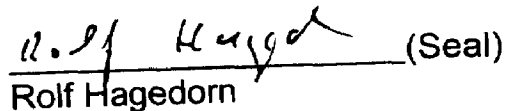
I/We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I/We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us, and that full right to convey the same as herein expressed is possessed by me/us.

IN WITNESS WHEREOF, I, GÜNTER FUHR, have hereunto affixed my hand and seal this 14 day of February, 2002, and further declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

 (Seal)
Günter Fuhr

IN WITNESS WHEREOF, I, ROLF HAGEDORN, have hereunto affixed my hand and seal this 14. day of February, 2002, and further declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

 (Seal)
Rolf Hagedorn

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