

04-22-2002



102062431

Docket No. AM 100303-01  
Patent

Recordation Form Cover Sheet  
Patents Only

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Helmut Baltruschat, Astrid Brandt, Herve Vantieghem, Wessel Nuyken and  
Michael Vonend**

4-11-02

Additional name(s) of conveying party(ies) attached:

[.. ] Yes

[.X ] No

2. Name and address of receiving party(ies):

**BASF Aktiengesellschaft**

Carl-Bosch-Strasse 38

D-67056 Ludwigshafen, Germany

Additional name(s) and address(es) attached:

[.. ] Yes

[.X ] No

3. Nature of conveyance:

[.X ] Assignment

[.. ] Other

Execution Date:

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application Number(s): **09/825,694** filed on **April 4, 2001**.

B. Patent Number(s):

Additional numbers attached?

[ ] Yes

[ X ] No

04/22/2002 TDIAZ1 00000021 021197 09825694

01 FC:581 40.00 CH

[ASNCVR.DOT- Rev 2/24/97]

Page 1 of 2

Recordation Form Cover Sheet

**PATENT**  
**REEL: 012798 FRAME: 0236**

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Susan A. Stetze;**

**BASF CORPORATION**

Intellectual Property Department  
3000 Continental Drive - North  
Mount Olive, New Jersey 07828-1234  
Telephone No. (973) 426-3293  
Facsimile No. (973) 426-3285

6. Total number of applications and patents involved: **1**

7. Total Fee (37 CFR 3.41): \$ 40.00

[ ] Enclosed

[ X ] Authorized to be charged to deposit account.

8. Deposit Account Number: 02-1197

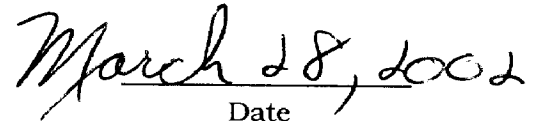
(Attach duplicate copy of this page if paying by deposit account).

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.



Charles F. Costello **Reg. No. 27,324**

  
Date

Total number of pages including cover sheet, attachments, and documents: **7** (not including additional copy of this cover sheet for deposit account charging purposes.)

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEES to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNORS:

- 
- (1) Full Name of Inventor: **Helmut Baltruschat**  
Residence: **Deyertstr. 10-12, D-55444, Schweppenhausen, Germany**  
Citizenship: **Germany**
- 
- (2) Full Name of Inventor: **Astrid Brandt**  
Residence: **Stefanstr. 1, D-55116, Mainz, Germany**  
Citizenship: **Germany**
- 
- (3) Full Name of Inventor: **Herve Vantieghem**  
Residence: **43 Liberty Ridge Road, Basking Ridge, NJ 07920, United States**  
Citizenship: **Belgium**
- 
- (4) Full Name of Inventor: **Wessel Nuyken**  
Residence: **Keltenstr. 1, D-67166 , Otterstadt, Germany**  
Citizenship: **Germany**
- 
- (5) Full Name of Inventor: **Michael Vonend**  
Residence: **Wasserholstr. 43, D-67098, Bad Duerkheim, Germany**  
Citizenship: **Germany**
- 

hereby sells, assigns and transfers to ASSIGNEE:

BASF Aktiengesellschaft  
Carl-Bosch-Strasse 38  
D-67056 Ludwigshafen, Germany

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**SYNERGISTIC HERBICIDAL MIXTURES**

and invented by ASSIGNORS and the following additional inventors, if any:

and which is found in

[check and complete (a), (b), (c), or (d)]

- (a) ☐ U.S. patent application executed on even date herewith.
- (b) ☐ U.S. patent application executed on:
- (c) ☒ U.S. application Serial No. **09/825,694** filed on: **April 4, 2001**
- (d) ☐ U.S. Patent No.      issued on:

[also check (e) if foreign application(s) is(are) also being assigned]

(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR authorizes ASSIGNEES to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEES deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEES, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEES execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEES, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEES, its successors, assigns or other legal representatives.

ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said BASF Aktiengesellschaft, as its ASSIGNEE.

WITNESS my hand and seal this 25th day of May, 2001.

Helmut Baltruschat

Helmut Baltruschat

L.S.

~~~~~  
Acknowledgement

City of Schweppenheim

SS:

Country of Germany }

On the 25th day of May, 2001, personally appeared before me Helmut Baltruschat known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Barbara J. Seal

WITNESS my hand and seal this 15th day of June, 2001.

Astrid Brandt  
Astrid Brandt L.S.

Acknowledgement

City of Mainz }  
SS:  
Country of Germany }

On the 15th day of June, 2001, personally appeared before me Astrid Brandt known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Joan Furtak  
JOAN FURTAK

WITNESS my hand and seal this 4th day of May, 2001.

Herve Vantieghem  
Herve Vantieghem L.S.

Acknowledgement

County of Passaic }  
SS:  
State of New Jersey }

On the 4th day of May, 2001, personally appeared before me Herve Vantieghem known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

JOAN FURTAK  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires June 12, 2002

Joan Furtak

WITNESS my hand and seal this 22<sup>th</sup> day of May, 2001.

Wessel Nuyken  
Wessel Nuyken L.S.

Michael Vonend  
Michael Vonend L.S.

~~~~~  
Acknowledgement

City of Ludwigshafen }  
Country of Germany } SS:

On the 22<sup>th</sup> day of May, 2001, personally appeared before me Wessel Nuyken and Michael Vonend known by me to be the same persons described in and who executed the foregoing instrument, and acknowledged that they executed the same, of their own free will and for the purposes set forth.

Tanja Bortt  
Tanja Bortt